

# A NON-AUTONOMOUS SCALAR ONE-DIMENSIONAL DISSIPATIVE PARABOLIC PROBLEM: THE DESCRIPTION OF THE DYNAMICS

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ABSTRACT. The purpose of this paper is to give a characterization of the structure of non-autonomous attractors of the problem  $u_t = u_{xx} + \lambda u - \beta(t)u^3$  when the parameter  $\lambda > 0$  varies. Also, we answer a question proposed in [11], concerning the complete description of the structure of the pullback attractor of the problem when  $1 < \lambda < 4$  and, more generally, for  $\lambda \neq N^2$ ,  $2 \leq N \in \mathbb{N}$ . We construct global bounded solutions, “non-autonomous equilibria”, connections between the trivial solution and these “non-autonomous equilibria” and characterize the  $\alpha$ -limit and  $\omega$ -limit set of global bounded solutions. As a consequence, we show that the global attractor of the associated skew-product flow has a gradient structure. The structure of the related pullback and uniform attractors are derived from that.

## 1. INTRODUCTION

Consider the semilinear parabolic problem

$$\begin{aligned} u_t &= u_{xx} + \lambda u - \beta(t)u^3, & t > s, \quad x \in (0, \pi), \\ u(0, t) &= u(\pi, t) = 0, & t \geq s, \\ u(x, s) &= u_0(x), & u_0 \in H_0^1(0, \pi), \end{aligned} \tag{1}$$

where  $\lambda > 0$  and  $\beta : \mathbb{R} \rightarrow \mathbb{R}$  is a uniformly continuous differentiable function with  $0 < \beta_1 \leq \beta(t) \leq \beta_2$ , for all  $t \in \mathbb{R}$  and some real constants  $\beta_1$  and  $\beta_2$ .

It is well known (see [17]) that, for each  $u_0 \in H_0^1(0, \pi)$  and  $s \in \mathbb{R}$ , there is a unique  $u(\cdot, s, u_0) \in C([s, \infty), H_0^1(0, \pi))$  which is a mild solution for (1). This solution is shown to be classical for each  $t > s$  and if  $\mathcal{P} = \{(t, s) \in \mathbb{R}^2 : t \geq s\}$  the map

$$\mathcal{P} \times H_0^1(0, \pi) \ni ((t, s), u_0) \mapsto u(t, s, u_0) \in H_0^1(0, \pi)$$

is continuous.

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With the above notation, for each  $(t, s) \in \mathcal{P}$  and  $u_0 \in H_0^1(0, \pi)$ , define  $T_\beta(t, s)u_0 = u(t, s, u_0)$ . It is clear that

- (1)  $T_\beta(t, t) = I$
- (2)  $T_\beta(t, \tau)T_\beta(\tau, s) = T_\beta(t, s)$ , for all  $s \leq \tau \leq t$  and
- (3)  $\mathcal{P} \times H_0^1(0, \pi) \ni (t, s, u_0) \mapsto T_\beta(t, s)u_0 \in H_0^1(0, \pi)$  is continuous.

A family of operators  $\{T_\beta(t, s) : (t, s) \in \mathcal{P}\}$  with the above properties is called an *evolution process* in  $H_0^1(0, \pi)$ .

We are interested in the description of the asymptotic dynamics of the solutions of (1), in particular, we are interested in the family of asymptotic sets called pullback attractors. Next we introduce the basic notions needed to define pullback attractors starting with the notions of invariance and pullback attraction (see [7]):

A family  $\{A(t) : t \in \mathbb{R}\}$  of subsets of  $H_0^1(0, \pi)$  is **invariant** under the action of the evolution process  $\{T_\beta(t, s) : (t, s) \in \mathcal{P}\}$  if  $T_\beta(t, s)A(s) = A(t)$  for all  $(t, s) \in \mathcal{P}$ .

Recall that a continuous function  $\xi : \mathbb{R} \rightarrow H_0^1(0, \pi)$  is a global solution for (1) or, equivalently, for the evolution process  $\{T_\beta(t, s) : (t, s) \in \mathcal{P}\}$ , if  $T_\beta(t, s)\xi(s) = \xi(t)$  for all  $(t, s) \in \mathcal{P}$ .

Given  $B_0, B \subset H_0^1(0, \pi)$ , we say that  $B_0$  **pullback-attracts**  $B$  **at time**  $t$  under the action of the evolution process  $\{T_\beta(t, s) : (t, s) \in \mathcal{P}\}$  if

$$\lim_{s \rightarrow -\infty} \text{dist}_H(T_\beta(t, s)B, B_0) = 0,$$

where  $\text{dist}_H$  denotes the Hausdorff semidistance in  $H_0^1(0, \pi)$ . We are now ready to define pullback attractors

**Definition 1.** We say that a family  $\{\mathcal{A}_\beta(t) : t \in \mathbb{R}\}$  is a **pullback-attractor** for  $\{T_\beta(t, s) : (t, s) \in \mathcal{P}\}$  if it is invariant,  $\mathcal{A}_\beta(t)$  is compact,  $\mathcal{A}_\beta(t)$  pullback-attracts bounded subsets of  $H_0^1(0, \pi)$  at time  $t$  for each  $t \in \mathbb{R}$  and  $\{\mathcal{A}_\beta(t) : t \in \mathbb{R}\}$  is the minimal closed family with this pullback-attracting property; that is, each family of closed sets  $\{C(t) : t \in \mathbb{R}\}$  such that  $C(t)$  pullback-attracts bounded subsets of  $H_0^1(0, \pi)$  at time  $t$ , for each  $t \in \mathbb{R}$ , must satisfy  $\mathcal{A}_\beta(t) \subset C(t)$  for each  $t \in \mathbb{R}$ .

If  $\cup_{t \leq 0} \mathcal{A}_\beta(t)$  is bounded, it is easy to see that the minimality condition is automatically satisfied.

Under the requirement that  $\cup_{t \leq 0} \mathcal{A}_\beta(t)$  be bounded, the pullback attractor has the following characterization

$$\mathcal{A}_\beta(t) = \{\xi(t) : \xi : \mathbb{R} \rightarrow H_0^1(0, \pi) \text{ is a backwards bounded global solution of (1)}\}.$$

It is not difficult to prove that  $\{T_\beta(t, s) : (t, s) \in \mathcal{P}\}$  has a pullback attractor  $\{\mathcal{A}_\beta(t) : t \in \mathbb{R}\}$  (see [19]) with the property that  $\cup_{t \leq 0} \mathcal{A}_\beta(t)$  is bounded for each  $t \in \mathbb{R}$ . Moreover, we can easily establish also that  $\cup_{t \in \mathbb{R}} \mathcal{A}(t)$  is bounded in  $H_0^1(0, 1)$ , so in fact we have

$$\mathcal{A}_\beta(t) = \{\xi(t) : \xi : \mathbb{R} \rightarrow H_0^1(0, \pi) \text{ is a bounded global solution of (1)}\}.$$

When  $\beta(t) \equiv \beta = \text{const}$  we have that  $T_\beta(t, s) = T_\beta(t - s, 0)$  (the evolution depends only on the elapsed time) and the evolution processes is said to be autonomous. In this case

$$S_\beta(t) = T_\beta(t, 0), \quad t \geq 0$$

is a semigroup; that is,

- (i)  $S_\beta(0) = I$ ,
- (ii)  $S_\beta(t + s) = S_\beta(t)S_\beta(s)$ , for all  $t, s \geq 0$ , and
- (iii)  $\mathbb{R}^+ \times H_0^1(0, \pi) \ni (t, u_0) \mapsto S_\beta(t)u_0 \in H_0^1(0, \pi)$  is continuous.

For  $\beta = \text{const}$ , the autonomous evolution process  $\{T_\beta(t, s) : t \geq s\}$  has a pullback attractor  $\{\mathcal{A}_\beta(t) : t \in \mathbb{R}\}$  if and only if  $\mathcal{A}_\beta(t) = \mathcal{A}_\beta$  for all  $t \in \mathbb{R}$ , and the associated semigroup  $\{S_\beta(t) : t \geq 0\}$  has a global attractor  $\mathcal{A}_\beta$ ; that is,

$$S_\beta(t)\mathcal{A}_\beta = \mathcal{A}_\beta \text{ for all } t \geq 0 \quad \text{and} \quad \lim_{t \rightarrow \infty} \text{dist}_H(S_\beta(t)B, \mathcal{A}_\beta) = 0$$

for all  $B \subset H_0^1(0, \pi)$  bounded. A compact subset  $\mathcal{A}_\beta$  of  $H_0^1(0, \pi)$  with the above properties is called a global attractor for the semigroup  $\{S_\beta(t) : t \geq 0\}$  in  $H_0^1(0, \pi)$ . It is easy to see that if  $\xi : \mathbb{R} \rightarrow H_0^1(0, \pi)$  is a global bounded solution for  $\{S_\beta(t) : t \geq 0\}$  then  $\overline{\xi(\mathbb{R})} \subset \mathcal{A}_\beta$ .

The aim of this paper is to reveal the little we know about the internal dynamics of the pullback attractor  $\{\mathcal{A}_\beta(t) : t \in \mathbb{R}\}$  for  $\beta(\cdot)$  not necessarily close to a constant.

Very little is known about the internal dynamics of pullback attractors for non-autonomous evolution processes, even for very simple models. The aim of this work is to reveal a little of the dynamics of such processes. The choice of (1) for this study is related to the fact that, in the autonomous case, it is the infinite-dimensional model for which the asymptotic dynamics is best understood. We will take advantage of the work [11], where the existence of the non-autonomous equilibria has been established, and will establish some of the connections between these non-autonomous equilibria, inspired by the description given for the autonomous case, which we state next.

Consider the classical autonomous Chafee-Infante problem (see [13, 16, 17])

$$\begin{aligned} u_t &= u_{xx} + \lambda u - \beta u^3, & t > 0, \quad x \in (0, \pi), \\ u(0, t) &= u(\pi, t) = 0, & t \geq 0 \\ u(x, 0) &= u_0(x), & u_0 \in H_0^1(0, \pi), \end{aligned} \tag{2}$$

$\lambda \in (0, \infty)$  and  $\beta > 0$ .

First note that the semigroup  $\{S_\beta(t) : t \geq 0\}$  associated with (2) is gradient; that is, there is a continuous function  $V : H_0^1(0, \pi) \rightarrow \mathbb{R}$  such that  $[0, \infty) \ni t \mapsto V(S_\beta(t)\phi) \in \mathbb{R}$  is non-increasing and if  $V(S_\beta(t)\phi) = V(\phi)$  for all  $t \geq 0$  we must have that  $\phi$  is an equilibrium solution for (2); that is, an element of the set  $\mathcal{E}$  of solutions of the boundary value problem

$$\begin{aligned} \phi'' + \lambda\phi - \beta\phi^3 &= 0, \quad x \in (0, \pi), \\ \phi(0) &= \phi(\pi) = 0. \end{aligned} \tag{3}$$

A function  $V : H_0^1(0, \pi) \rightarrow \mathbb{R}$  with these properties is called a Lyapunov function for (2) in  $H_0^1(0, \pi)$ . In fact, if  $V : H_0^1(0, \pi) \rightarrow \mathbb{R}$  is defined by

$$V(\phi) = \frac{1}{2} \int_0^\pi \phi'(x)^2 dx - \frac{\lambda}{2} \int_0^\pi \phi(x)^2 dx + \frac{\beta}{4} \int_0^\pi \phi(x)^4 dx$$

then, for  $u_0 \in H_0^1(0, \pi)$  and  $u(t, x) = S_\beta(t)u_0(x)$ ,

$$\frac{d}{dt} V(S_\beta(t)u_0) = - \int_0^\pi u_t(t, x)^2 dx.$$

Hence,  $V$  is a Lyapunov function for (2).

The most elementary bounded solutions of  $\{S_\beta(t) : t \geq 0\}$  are the equilibria and  $\mathcal{E} \subset \mathcal{A}_\beta$ . Next consider the global bounded solutions that converge to an equilibria as  $t \rightarrow -\infty$ . Given  $\phi \in \mathcal{E}$  we define the unstable set of  $\phi$  as  $W^u(\phi) = \{u \in H_0^1(0, \pi) : \text{there exists global solution } \xi : \mathbb{R} \rightarrow H_0^1(0, \pi) \text{ of } \{S_\beta(t) : t \geq 0\} \text{ such that } \xi(0) = u \text{ and } \lim_{t \rightarrow -\infty} \xi(t) = \phi\}$ . It is clear that  $W^u(\phi) \subset \mathcal{A}_\beta$  for all  $\phi \in \mathcal{E}$ .

It is well known that a gradient semigroup  $\{S_\beta(t) : t \geq 0\}$  with a global attractor  $\mathcal{A}_\beta$  and such that the  $\mathcal{E}$  has finitely many elements (we will see that this is the case for  $\{S_\beta(t) : t \geq 0\}$ ) has the property that, given a global bounded solution  $\xi : \mathbb{R} \rightarrow H_0^1(0, \pi)$  for  $\{S_\beta(t) : t \geq 0\}$ , there are  $\phi_\xi^-, \phi_\xi^+ \in \mathcal{E}$  such that  $\phi_\xi^- \xrightarrow{t \rightarrow -\infty} \xi(t) \xrightarrow{t \rightarrow +\infty} \phi_\xi^+$  and  $V(\phi_\xi^-) > V(\phi_\xi^+)$ . This immediately implies that  $\mathcal{A}_\beta = \bigcup_{\phi \in \mathcal{E}} W^u(\phi)$ .

It is proved in [13] that, if  $0 < \lambda \leq 1$  the only possible solution of (3) is  $\phi_0 \equiv 0$ , if  $\lambda \in (1, 4]$ , there will be exactly three elements  $\phi_{0,\beta}$ ,  $\phi_{1,\beta}^+$  (positive in  $(0, \pi)$ ) and  $\phi_{1,\beta}^-$  (negative in  $(0, \pi)$ ) in  $\mathcal{E}$ . For  $\lambda \in (4, 9]$  we will have two additional solutions  $\phi_{3,\beta}^\pm$  which change sign at  $x = \pi/2$  and this procedure yields a sequence of pitchfork bifurcations at  $\lambda_n = n^2$  (see [13, 7] for details). If  $\lambda \in (n^2, (n+1)^2]$  the set  $\mathcal{E}$  of solutions of (3) has exactly  $2n+1$  elements

$$\phi_0, \phi_{j,\beta}^\pm, \quad 1 \leq j \leq n.$$

The solutions  $\phi_{j,\beta}^\pm$  of (3) bifurcate from  $\phi_0 \equiv 0$  at  $\lambda = j^2$ .  $\phi_{j,\beta}^\pm$  has  $j+1$  zeroes in  $[0, \pi]$  ( $x_i = \frac{i\pi}{j}$ ,  $0 \leq i \leq j$ ). As a consequence of that, the set  $\mathcal{E}$  will always be finite and the attractor  $\mathcal{A}_\beta$  will always be  $\mathcal{A}_\beta = \bigcup_{\phi \in \mathcal{E}} W^u(\phi)$ . Furthermore, for any  $u_0 \in H_0^1(0, \pi)$   $S_\beta(t)u_0 \xrightarrow{t \rightarrow \infty} \phi$  for some  $\phi \in \mathcal{E}$ .

**Remark 2.** *In the non-autonomous case, this analysis is no longer available and we must seek different ways to find the solutions that should play the role of equilibria. These solutions indeed exist but they are obtained in a completely different manner (see [6]).*

When  $\lambda \neq j^2$ , for all  $j \in \mathbb{N}$ , all equilibria in  $\mathcal{E}$  are hyperbolic (see [13]). In this case making a small ( $C^1$  small) autonomous perturbation of the righthand side (even if the perturbation contains gradient terms) of (2) will result (see [10]) a perturbed problem which will have a global attractor  $\tilde{\mathcal{A}}_\beta$  with the same number of equilibria ( $\tilde{\mathcal{E}}$  is the new set of equilibria) all of them hyperbolic, and  $\tilde{\mathcal{A}}_\beta = \bigcup_{\phi \in \tilde{\mathcal{E}}} W^u(\tilde{\phi})$ . It was proven in [3] that the perturbed system will also have a Lyapunov function.

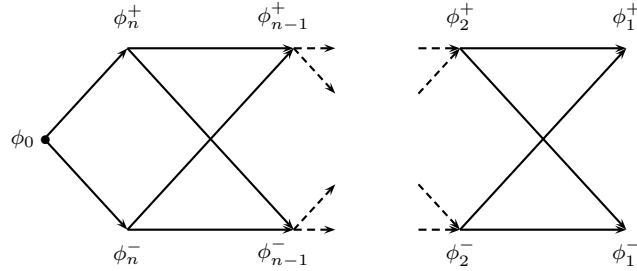


FIGURE 1. Diagram of connections

The solutions  $u : [0, \pi] \times [0, \infty) \rightarrow \mathbb{R}$  of (1) or a linear version of it have another striking property called “Lap Number” (see [1, 20]). This property is roughly described as follows: if  $t_1 > t_2 > 0$ , “the number of times  $u(\cdot, t_1)$  vanishes in the interval  $[0, \pi]$  is at most the number of times  $u(t_2, \cdot)$  vanishes in the interval  $[0, \pi]$ ”. As a consequence of this nice property (which we will properly state later in the paper) we have that:

- If  $\xi : \mathbb{R} \rightarrow H_0^1(0, \pi)$  is a global solution for  $\{S_\beta(t) : t \geq 0\}$  such that  $\xi(t) \xrightarrow{t \rightarrow \pm\infty} \phi^\pm$ , then  $W^u(\phi^-) \cap W^s(\phi^+)$  and the semiflow  $\{S_\beta(t) : t \geq 0\}$  defined by (2) is Morse-Smale (see [18, 2]).
- The connections between equilibria are given by the following diagram (see [15])

The above diagram has to be interpreted in the following way. If there is a sequence of oriented segments connecting  $\phi$  to  $\psi$  then there exists a global solution  $\xi_{\phi, \psi} : \mathbb{R} \rightarrow H_0^1(0, \pi)$  such that  $\phi \xrightarrow{t \rightarrow -\infty} \xi_{\phi, \psi}(t) \xrightarrow{t \rightarrow \infty} \psi$ . Consequently, there are connections from  $\phi_0$  to any other equilibria and no connection from  $\phi_j^\pm$ ,  $1 \leq j \leq n$ , to  $\phi_0$ . There are connections from  $\phi_n^+$  ( $\phi_n^-$ ) to all equilibria except to  $\phi_0$  and  $\phi_n^-$  ( $\phi_n^+$ ) and so on. As a general rule, there are connections between one equilibrium  $\phi$  and another equilibrium  $\psi$  if  $\phi$  vanishes “more times” than  $\psi$  in  $[0, \pi]$ .

**Remark 3.** *At this point we remark that, as a consequence of the structure of attractors and of the “Lap Number Property”, the only global bounded solutions of (2) not lying in the unstable manifold of zero for which the zeroes in  $[0, \pi]$  do not move as  $t$  varies are the equilibrium solutions (the elements of  $\mathcal{E}$ ). This will be the key to find the solutions that will play the role of equilibria when  $\beta(t)$  is not close to a constant (see [6]).*

As a consequence of the transversality, the perturbed attractors  $\tilde{\mathcal{A}}_\beta$  will have exactly the same structure as  $\mathcal{A}_\beta$ , that is, pictorially “the connections between equilibria are the same” in the perturbed or in the unperturbed attractor.

At this point it is natural to ask what happens in the non-autonomous case of (1). Of course, with so much structure for the global attractor of (2) and when  $\lambda \neq j^2$ , for all  $j \in \mathbb{N}$ , we intuitively guess that much of the “structure” of the “attractors” must remain the same.

This is, in fact, the case as a consequence of the results in [10, 3, 5] when  $\beta(t)$  is a small non-autonomous perturbation of a constant. In this paper we will prove that much of this structure remains the same even when  $\beta(t)$  is not a small perturbation of a constant.

**Definition 4.**  $\mathcal{S}^\pm(\beta)$  denotes the set of all functions  $\gamma : \mathbb{R} \rightarrow \mathbb{R}$  obtained as, uniform in bounded sets, limits of sequences  $\beta(\cdot + t_n)$  with  $t_n \xrightarrow{n \rightarrow \infty} \pm\infty$ , respectively.

In fact from [11], for  $N^2 < \lambda < (N + 1)^2$ , there are  $2N$  “non-autonomous” equilibria  $\xi_{j,\beta}^\pm$ ,  $1 \leq j \leq N$ , where the index  $\beta$  indicates the dependence on  $\beta$ .

We prove that for any  $u_0 \in H_0^1(0, \pi)$  the solution converges to  $\{\xi_{j,\gamma}^\pm(t) : \gamma \in \mathcal{S}^+(\beta), t \in \mathbb{R}\}$  as  $t \rightarrow \infty$ . We also prove that if there is a global bounded solution through  $u_0$ , it converges to  $\{\xi_{j,\gamma}^\pm(t) : \gamma \in \mathcal{S}^-(\beta), t \in \mathbb{R}\}$  as  $t \rightarrow -\infty$ . We also prove that there are solutions connecting the zero equilibrium solution to all non-autonomous equilibria.

In Section 2 we recall some basic properties of solutions of (1) and the construction (see [21]) of a global non-degenerate solution in the positive cone for  $\lambda > 1$  (the first non-autonomous equilibria). Section 3 is dedicated to the characterisation of the  $\omega$ - and  $\alpha$ -limit of solutions inspired by [12]. In Section 4 we prove that, for  $1 < \lambda < 4$  all global bounded solutions are forwards asymptotic to one of the non-autonomous equilibria and backwards asymptotic to the zero equilibrium solution. In Section 5 we characterize the  $\omega$ -limit and  $\alpha$ -limit of solutions of (1) giving a characterization of the pullback attractor. In Section 6 we show that the zero equilibrium solution connects with all non-autonomous equilibria. Finally, in Section 7 we make some general comments about the gradient structure of the corresponding skew-product attractors and state a conjecture on our beliefs with respect to some additional structure of the dynamics of (1).

## 2. BASIC FACTS

In this section we collect some basic facts and known results which will be used throughout.

**2.1. Special properties of solutions of parabolic problems.** In this section we collect some special properties of solutions of scalar one-dimensional parabolic equations.

**(a) Scaling:** If  $\beta_1$  and  $\beta_2$  are positive numbers and  $u_{\beta_1}$  is a solution of

$$\begin{aligned} u_t &= u_{xx} + \lambda u - \beta_1 u^3, & t > 0, & x \in (0, \pi), \\ u(0, t) &= u(\pi, t) = 0, & t \geq 0, \end{aligned} \tag{4}$$

then  $u_{\beta_2} = \left(\frac{\beta_1}{\beta_2}\right)^{\frac{1}{2}} u_{\beta_1}$  is a solution of

$$\begin{aligned} u_t &= u_{xx} + \lambda u - \beta_2 u^3, & t > 0, & x \in (0, \pi), \\ u(0, t) &= u(\pi, t) = 0, & t \geq 0. \end{aligned} \tag{5}$$

**(b) Symmetry:** If  $u_0(x) = \pm u_0(\pi - x)$  and  $u(t, s, u_0)(x) := T_\beta(t, s)u_0(x)$ , then

$$u(t, s, u_0)(x) = \pm u(t, s, u_0)(\pi - x), \quad \text{for all } t \geq s, x \in [0, \pi],$$

and the zeroes of  $u(t, s, u_0)(\cdot)$  are symmetric with respect to  $\pi/2$ . In particular, if  $u_0(x) = -u_0(\pi - x)$ , then  $\pi/2$  is a zero for  $u_0(\cdot)$  and  $u(t, s, u_0)(\pi/2) = 0$  for all  $t \geq 0$ .

**(c) Comparison:** If  $u_1 \geq u_2$ , then  $T_\beta(t, s)u_1 \geq T_\beta(t, s)u_2$  for all  $t \geq s$  and if  $u_0 \geq 0$ , since  $\beta_1 \leq \beta(t) \leq \beta_2$ ,

$$S_{\beta_2}(t - s)u_0 \leq T_\beta(t, s)u_0 \leq S_{\beta_1}(t - s)u_0$$

for all  $t \geq s$ .

**(d) Lap Number:** Now, let  $\mathcal{C}_P = \{u \in \mathcal{C}(\mathbb{R}) : u \text{ is } 2\pi \text{ periodic}\}$  and define the map  $\ell : \mathcal{C}_P \rightarrow \mathbb{N} \cup \{\infty\}$ , by

$$\ell(w) = \text{the number of points in } [-\pi, \pi] \text{ for which } w(x) = 0.$$

The following result is immediate from the definition

**Lemma 5.** *Let  $\mathcal{C}_P^1 = \{u \in \mathcal{C}^1(\mathbb{R}) : u \text{ is } 2\pi \text{ periodic}\}$ . The set  $\Psi = \{w \in \mathcal{C}_P^1 : w'(x) \neq 0 \text{ whenever } w(x) = 0\}$  is an open dense subset of  $\mathcal{C}_P^1$ ,  $\ell(w)$  is finite if  $w \in \Psi$  and  $\ell$  is locally constant in  $\Psi$ .*

The following result is due to Angenent (see [1]).

**Lemma 6.** *Let  $q(t, x)$  and  $r(t, x)$  be locally bounded functions in  $(\tau, T) \times (-\pi, \pi)$  with  $q_x, q_t$  locally bounded, and  $w(t, x)$  be a classical solution of*

$$\begin{aligned} w_t &= w_{xx} + q(t, x)w_x + r(t, x)w, \quad x \in (-\pi, \pi), \quad t \in (\tau, T) \\ w(-\pi, t) &= w(\pi, t), \quad w_x(-\pi, t) = w_x(\pi, t), \quad t \geq 0. \end{aligned} \tag{6}$$

Suppose that  $w$  is not identically zero. Then,

- (i)  $\ell(w(t, \cdot))$  is finite for each  $t \in (\tau, T)$  and is monotone non-increasing in  $t$ .
- (ii) For each  $t^* \in (\tau, T)$ ,  $w(t, \cdot)$  belongs to  $\Psi$  for each  $t \in [t^*, T)$  except possibly for a finite number of points  $t_1, \dots, t_k$ .
- (iii) If  $w(t^*, \cdot) \notin \Psi$  for some  $t^* \in (\tau, T)$ , then

$$\ell(w(t, \cdot)) > \ell(w(s, \cdot))$$

for any  $t \in (\tau, t^*)$  and  $s \in (t^*, T)$ .

**2.2. The construction of a positive global bounded solution when  $\lambda > 1$ .** In this section we will derive a characterization of the pullback attractor  $\{\mathcal{A}_\beta^{\mathcal{C}}(t) : t \in \mathbb{R}\}$  of  $\{T_\beta^{\mathcal{C}}(t, s) : (t, s) \in \mathcal{P}\}$  where  $T_\beta^{\mathcal{C}}(t, s) = T_\beta(t, s)|_{\mathcal{C}}$  and  $\mathcal{C}$  represents the positive cone within  $H_0^1(0, \pi)$ . The following lemmas will be helpful to obtain such characterization.

**Lemma 7.** *If  $\mathcal{C} = \{\phi \in H_0^1(0, \pi) : \phi(x) \geq 0, x \in [0, \pi]\}$ , then  $T_\beta(t, s)\mathcal{C} \subset \mathcal{C}$ , for all  $t \geq s$  and, if  $0 \neq u_0 \in \mathcal{C}$ , then*

$$\begin{aligned} u(t, s, u_0)(x) &> 0, \quad x \in (0, \pi), \quad t > s \quad \text{and} \\ u_x(t, s, u_0)(0) &> 0, \quad u_x(t, s, u_0)(\pi) < 0, \quad t > s. \end{aligned}$$

**Proof:** The result follows immediately from the Lap Number (Lemma 6) property of solutions of (1) (after an odd  $2\pi$ -periodic extension of  $u$ ) and the fact that  $T_\beta(t, s)\mathcal{C} \subset \mathcal{C}$  for all  $(t, s) \in \mathcal{P}$ .  $\blacksquare$

**Lemma 8.** *If  $\lambda > 1$  and  $0 \neq u_0 \in \mathcal{C}$ , then  $S_\beta(t)u_0 \longrightarrow \phi_{1,\beta}^+$ , as  $t \rightarrow +\infty$ .*

**Proof:** Recall that, for any  $u_0 \in H_0^1(0, \pi)$ ,  $S_\beta(t)u_0 \xrightarrow{t \rightarrow \infty} \phi$  for some  $\phi \in \mathcal{E}$ ,  $S_\beta(t)\mathcal{C} \subset \mathcal{C}$  for all  $t \geq 0$  and  $\phi_{1,\beta}^+$  is the only element of  $\mathcal{E}$  in  $\mathcal{C}$ . The result now follows trivially.  $\blacksquare$

Using these lemmas we can construct a global bounded solution in the positive cone which will play the role of the equilibria  $\phi_{1,\beta}^+$  of (2); that is,

**Theorem 9.** *If  $\lambda > 1$ ,  $\{T_\beta(t, s) : t \geq s\}$  has a global solution  $\xi_1^+ : \mathbb{R} \rightarrow \mathcal{C}$  such that:*

- (i)  $\phi_{1,\beta_2}^+ \leq \xi_1^+(t) \leq \phi_{1,\beta_1}^+$ , for all  $t \in \mathbb{R}$ ,
- (ii) If  $0 \neq u_0 \in \mathcal{C}$  and  $u_0 \geq \xi_1^+(s)$  for all  $s \in \mathbb{R}$ , then

$$\xi_1^+(t) = \lim_{s \rightarrow -\infty} T_\beta(t, s)u_0.$$

- (iii) Any bounded global solution  $\psi : \mathbb{R} \rightarrow H_0^1(0, \pi)$  of  $\{T_\beta(t, s) : t \geq s\}$  must satisfy  $\psi(t) \leq \xi_1^+(t)$ , for all  $t \in \mathbb{R}$ .
- (iv) If  $\beta$  is constant,  $\xi_1^+(t) = \phi_{1,\beta}^+$  for all  $t \in \mathbb{R}$ .

**Proof:** (i) Note that

$$\begin{aligned} \phi_{1,\beta_2}^+ &= S_{\beta_2}(t-s)\phi_{1,\beta_2}^+ \leq T_\beta(t, s)\phi_{1,\beta_2}^+ \\ &\leq T_\beta(t, s)\phi_{1,\beta_1}^+ \leq S_{\beta_1}(t-s)\phi_{1,\beta_1}^+ = \phi_{1,\beta_1}^+, \end{aligned}$$

since  $\phi_{1,\beta_2}^+ \leq \phi_{1,\beta_1}^+$ . Hence, for  $s_1 \leq s_2 \leq t$ ,

$$\begin{aligned} \phi_{1,\beta_2}^+ &\leq T_\beta(t, s_1)\phi_{1,\beta_1}^+ = T_\beta(t, s_2)T_\beta(s_2, s_1)\phi_{1,\beta_1}^+ \\ &\leq T_\beta(t, s_2)S_{\beta_1}(s_2-s_1)\phi_{1,\beta_1}^+ = T_\beta(t, s_2)\phi_{1,\beta_1}^+ \leq \phi_{1,\beta_1}^+. \end{aligned}$$

$$\text{Let } \xi_1^+(t) = \lim_{s \rightarrow -\infty} T_\beta(t, s)\phi_{1,\beta_1}^+.$$

It is easy to see that  $\xi_1^+ : \mathbb{R} \rightarrow H_0^1(0, \pi)$  is a global bounded solution of  $\{T_\beta(t, s) : t \geq s\}$  and that  $\phi_{1,\beta_2}^+ \leq \xi_1^+(t) \leq \phi_{1,\beta_1}^+$  for all  $t \in \mathbb{R}$ .

(ii) If  $0 \neq u_0 \in \mathcal{C}$ ,  $u_0 \geq \xi_1^+(s)$  for all  $s \in \mathbb{R}$ , then  $T_\beta(r, s)u_0 \geq T_\beta(r, s)\xi_1^+(s) = \xi_1^+(r)$  for all  $s \leq r$ , so

$$\begin{aligned} \xi_1^+(t) &= T_\beta(t, r)\xi_1^+(r) \leq T_\beta(t, r) \liminf_{s \rightarrow -\infty} T_\beta(r, s)u_0 \\ &\leq T_\beta(t, r) \limsup_{s \rightarrow -\infty} T_\beta(r, s)u_0 \\ &\leq T_\beta(t, r) \lim_{s \rightarrow -\infty} S_{\beta_1}(r-s)u_0 = T_\beta(t, r)\phi_{1,\beta_1}^+ \xrightarrow{r \rightarrow -\infty} \xi_1^+(t), \end{aligned}$$

and consequently  $\xi_1^+(t) = \lim_{s \rightarrow -\infty} T_\beta(t, s)u_0$ .



(iii) Let  $\phi \in \mathcal{C}$  be such that  $\phi \geq \psi(s)$  for all  $s \in \mathbb{R}$  and  $s_n \xrightarrow{n \rightarrow \infty} -\infty$ . Then,

$$\begin{aligned} \psi(t) &= T_\beta(t, s_n)\psi(s_n) \leq T_\beta(t, s_n)\phi \\ &\leq T_\beta(t, r)S_{\beta_1}(r - s_n)\phi \xrightarrow{n \rightarrow \infty} T_\beta(t, r)\phi_{1, \beta_1}^+ \xrightarrow{r \rightarrow -\infty} \xi_1^+(t). \end{aligned}$$

■

To conclude this section we recall the characterization result for the pullback attractor of (1) in the positive cone (see [21]).

**Definition 10.** A function  $u : (-\infty, \tau] \rightarrow \mathcal{C}$  is said to be non-degenerate as  $t \rightarrow -\infty$  if there exists  $t_0 \leq \tau$  and  $\phi \in \mathcal{C}$  with  $\phi(x) > 0$  for all  $x \in (0, \pi)$ ,  $\phi'(0) > 0$  and  $\phi'(\pi) < 0$  such that  $u(t) \geq \phi$  for all  $t \leq t_0$ . Similarly we define non-degeneracy as  $t \rightarrow +\infty$ .

**Theorem 11** (Rodriguez-Bernal & Vidal-Lopez [21]). If  $\lambda > 1$ , the global solution  $\xi_1^+ : \mathbb{R} \rightarrow \mathcal{C}$  of  $\{T_\beta(t, s) : t \geq s\}$  given by Theorem 9 is the unique solution non-degenerate as  $t \rightarrow -\infty$ . If  $0 \neq u_0 \in \mathcal{C}$ , then  $\|T_\beta(t, s)u_0 - \xi_1^+(t)\|_{H_0^1(0, \pi)} \xrightarrow{t \rightarrow +\infty} 0$ .

### 3. CHARACTERIZATION OF THE $\omega$ -LIMIT AND $\alpha$ -LIMIT SETS

In this section we study the  $\omega$ -limit sets of solutions and the  $\alpha$ -limit sets of global bounded solutions of (1).

We will study the  $\alpha$ -limit set adapting the ideas of Chen and Matano in [12] for the  $\omega$ -limit set. The computations for the  $\omega$ -limit are analogous. To that end we first consider the same equation but with periodic boundary conditions

$$\begin{cases} u_t = u_{xx} + \lambda u - \beta(t)u^3, & x \in (-\pi, \pi), \quad t > 0, \\ u(-\pi, t) = u(\pi, t), \quad u_x(-\pi, t) = u_x(\pi, t), & t \geq 0, \\ u(0) = u_0 \in H_P^1(-\pi, \pi). \end{cases} \quad (7)$$

We know that the problem (7) is globally well posed in  $H_P^1(-\pi, \pi)$  and that the associated evolution process has a pullback attractor.

**Definition 12.** For each  $2\pi$ -periodic function  $w$  and each  $a \in \mathbb{R}$  we define the function  $(\rho_a w)(x) = w(2a - x)$ ,  $x \in \mathbb{R}$ . The operator  $w \mapsto \rho_a w$  is called **reflection**.

**Remark 13.** The solutions  $u(t, x)$  of (7) satisfy an equation of the type (6) with  $q(t, x) = 0$  and  $r(t, x) = \lambda - \beta(t)u(t, x)^2$ . Furthermore if  $u$  also denotes the  $2\pi$ -periodic extension of  $u$  to  $\mathbb{R}$ , the functions  $\rho_a u$  restricted to  $[-\pi, \pi]$  are also solutions of (7) for each  $a \in \mathbb{R}$ .

**Definition 14.** Let  $v \in \mathcal{C}_P^1$ . We say that  $v$  is a **symmetrically oscillating function** if there exists a  $x_0 \in \mathbb{R}$  and  $m \in \mathbb{N}$  such that

$$\begin{aligned} v(x) &= v(2x_0 - x), \quad x \in \mathbb{R}, \\ v'(x) &> 0, \quad x \in (x_0, x_0 + \pi/m), \\ v(x) &= v(x + (2\pi)/m), \quad x \in \mathbb{R}. \end{aligned}$$

We will denote the set of symmetrically oscillating functions by  $\mathfrak{F}_m(x_0)$ .

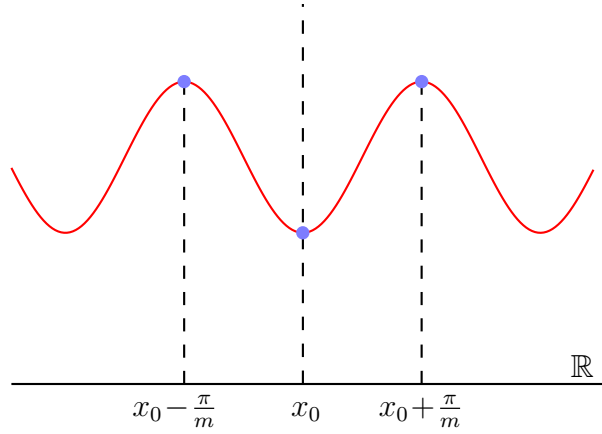


FIGURE 2. A common spatial symmetry property to the family of functions  $\mathfrak{F}_m(x_0)$

**Definition 15.** Let  $v \in \mathcal{C}^1([0, \pi])$  be such that  $v(0) = v(\pi) = 0$ . We say  $v$  is a **symmetrically oscillating function under the Dirichlet boundary conditions** if the odd  $2\pi$ -periodic extension of  $v$  belongs to either  $\mathfrak{F}_m^+ := \mathfrak{F}_m(-\frac{\pi}{2m})$  or  $\mathfrak{F}_m^- := \mathfrak{F}_m(\frac{\pi}{2m})$  for some  $m \in \mathbb{N}$ . The set of all functions satisfying one of the above conditions is denoted by  $\mathfrak{F}_m^\pm$ .

The main results in this section are the following:

**Theorem 16.** Let  $u \in \mathcal{C}([0, +\infty), H^1(0, \pi))$  be the solution of problem

$$\begin{cases} u_t = u_{xx} + \lambda u - \beta(t)u^3, & 0 < x < \pi, \quad t > 0, \\ u(0, t) = u(\pi, t) = 0, \\ u(0) = u_0. \end{cases} \quad (8)$$

Then there exists  $m \in \mathbb{N}$  such that  $\omega(u_0) \subset \mathfrak{F}_m^\pm \cup \{0\}$ . Here,  $\omega(u_0) = \{\phi \in H_0^1(0, \pi) : \text{there is a sequence } t_n \xrightarrow{n \rightarrow \infty} \infty \text{ such that } T_\beta(t_n, 0)u_0 \xrightarrow{n \rightarrow \infty} \phi\}$ .

**Theorem 17.** Let  $\xi : \mathbb{R} \rightarrow H^1(0, \pi)$  be a global bounded solution of problem

$$\begin{cases} u_t = u_{xx} + \lambda u - \beta(t)u^3, & 0 < x < \pi, \quad t \in \mathbb{R} \\ u(0, t) = u(\pi, t) = 0, \\ u(0, x) = u_0(x). \end{cases} \quad (9)$$

Then there exists  $m \in \mathbb{N}$  such that  $\alpha_\xi(u_0) \subset \mathfrak{F}_m^\pm \cup \{0\}$ . Here,  $\alpha_\xi(u_0) = \{\phi \in H_0^1(0, \pi) : \text{there is a sequence } t_n \xrightarrow{n \rightarrow -\infty} \infty \text{ such that } \xi(-t_n) \xrightarrow{n \rightarrow -\infty} \phi\}$ .

**Remark 18.** The sets  $\omega(u_0), \alpha_\xi(u_0)$  are non-empty for any  $u_0 \in H_0^1(0, \pi)$  and any bounded complete solution  $\xi$  with  $\xi(0) = u_0$ .

Before we prove Theorem 17, we need some notations and preliminary lemmas.

Let  $\{t_n\}_{n \in \mathbb{N}}$  be a sequence in  $\mathbb{R}$ . For each  $n \in \mathbb{N}$ , let  $\beta_n : \mathbb{R} \rightarrow \mathbb{R}$  be the function defined by  $\beta_n(t) = \beta(t + t_n)$ . Under the assumptions of the function  $\beta$ , we have that the family  $\{\beta_n\}_{n \in \mathbb{N}}$

is uniformly bounded and uniformly equicontinuous. Consequently, it has a subsequence (that we denote the same) and a globally Lipschitz and bounded function  $\gamma : \mathbb{R} \rightarrow (0, +\infty)$  such that  $\beta_n(t) \rightarrow \gamma(t)$  as  $n \rightarrow +\infty$  uniformly in compact subsets of  $\mathbb{R}$ .

Now we consider the sequence of nonlinear problems

$$\begin{cases} u_t = u_{xx} + \lambda u - \beta(t)u^3, & x \in (-\pi, \pi), \quad t > 0, \\ u(-\pi, t) = u(\pi, t), \quad u_x(-\pi, t) = u_x(\pi, t), & t \geq 0, \\ u(0) = u_0 \in H_P^1(-\pi, \pi), \end{cases} \quad (10)$$

$$\begin{cases} u_t = u_{xx} + \lambda u - \beta_n(t)u^3, & x \in (-\pi, \pi), \quad t > 0, \\ u(-\pi, t) = u(\pi, t), \quad u_x(-\pi, t) = u_x(\pi, t), & t \geq 0, \\ u(0) = u_0 \in H_P^1(-\pi, \pi), \end{cases} \quad (11)$$

$$\begin{cases} u_t = u_{xx} + \lambda u - \gamma(t)u^3, & x \in (-\pi, \pi), \quad t > 0, \\ u(-\pi, t) = u(\pi, t), \quad u_x(-\pi, t) = u_x(\pi, t), & t \geq 0, \\ u(0) = u_0 \in H_P^1(-\pi, \pi). \end{cases} \quad (12)$$

Denote by  $T_\beta(t, s)$ ,  $T_{\beta_n}(t, s)$  and  $T_\infty(t, s)$  the processes associated with (10)-(12) in  $H_P^1(-\pi, \pi) = \{u \in H^1(-\pi, \pi) : u(-\pi) = u(\pi)\}$ . We have that  $T_\beta(t + t_n, s + t_n) = T_{\beta_n}(t, s)$  for all  $t \geq s$ . In fact, by the variation of constants formula

$$\begin{aligned} T_\beta(t + t_n, s + t_n)u_0 &= e^{-A(t-s)}u_0 + \\ &\int_{s+t_n}^{t+t_n} e^{-A(t+t_n-\theta)} \left\{ \lambda T_\beta(\theta, s + t_n)u_0 - \beta(\theta) \left[ T_\beta(\theta, s + t_n)u_0 \right]^3 \right\} d\theta \\ &= e^{-A(t-s)}u_0 + \\ &\int_s^t e^{-A(t-\bar{\theta})} \left\{ \lambda T_\beta(\bar{\theta} + t_n, s + t_n)u_0 - \beta_n(\bar{\theta}) \left[ T_\beta(\bar{\theta} + t_n, s + t_n)u_0 \right]^3 \right\} d\bar{\theta}. \end{aligned}$$

Since  $T_\beta(t + t_n, s + t_n)u_0$  and  $T_{\beta_n}(t, s)u_0$  are solutions of the same integral equation we have the result.

Now, let  $\xi : \mathbb{R} \rightarrow H_P^1(-\pi, \pi)$  be a global bounded solution of (10). Define the sequence of functions  $\xi_n : \mathbb{R} \rightarrow H_P^1(-\pi, \pi)$  by  $\xi_n(t) = \xi(t + t_n)$ . We prove that this sequence has a subsequence which converges to a global bounded solution of problem (12). To simplify the notation, we define the functions

$$F(t, u) = \lambda u - \beta(t)u^3, \quad F_n(t, u) = \lambda u - \beta_n(t)u^3, \quad F_\infty(t, u) = \lambda u - \gamma(t)u^3, \quad (13)$$

for  $(t, u) \in \mathbb{R} \times H_P^1(-\pi, \pi)$ . With the hypotheses on the function  $\beta$  we can easily prove that the functions  $F$ ,  $F_n$  and  $F_\infty$  take bounded subsets of  $H_P^1(-\pi, \pi)$  in uniformly bounded subsets (in  $t$ ) of  $H_P^1(-\pi, \pi)$ . With this, we can prove that  $\xi$  is also bounded in  $X^1 := \{u \in H^2(-\pi, \pi) \cap H_P^1(-\pi, \pi) : u'(-\pi) = u'(\pi)\}$ . In fact, as the linear semigroup decays exponentially we have  $\xi$  is a solution of the integral equation

$$\xi(t) = \int_{-\infty}^t e^{-A(t-s)} F(s, \xi(s)) ds. \quad (14)$$

Then,

$$\begin{aligned}
\|\xi(t)\|_1 &\leq \int_{-\infty}^t \|Ae^{-A(t-s)}F(s, \xi(s))\|_{L^2} ds \\
&\leq \int_{-\infty}^t \|A^{1/2}e^{-A(t-s)}\| \|F(s, \xi(s))\|_{1/2} ds \\
&\leq \int_{-\infty}^t C_{1/2}(t-s)^{1/2}e^{-\delta(t-s)} K ds \\
&= C_{1/2}K\delta^{-1/2}\Gamma(1/2) < +\infty,
\end{aligned}$$

where we use that  $\sup_{s \in \mathbb{R}} \|F(s, \xi(s))\|_{1/2} \leq K$ , for some constant  $K$  and recall that  $X^{1/2} = H_P^1(-\pi, \pi)$ . Therefore,

$$\sup_{t \in \mathbb{R}} \{\|\xi(t)\|_{1/2}, \|\xi(t)\|_1, \|\xi_t(t)\|_{L^2}\} < \infty.$$

Thus, by the Arzelà-Ascoli Theorem, we have that the sequence  $\xi_n$  in  $\mathcal{C}(\mathbb{R}, H_P^1(-\pi, \pi))$  has a subsequence which converges uniformly in compact subsets of  $\mathbb{R}$  to a continuous function  $\zeta : \mathbb{R} \rightarrow H_P^1(-\pi, \pi)$ . Now, as  $\xi_n(t) = \xi(t + t_n)$  we have

$$\begin{aligned}
\xi_n(t) &= \int_{-\infty}^{t+t_n} e^{-A(t+t_n-s)}[\lambda\xi(s) - \beta(s)\xi(s)^3] ds \\
&= \int_{-\infty}^t e^{-A(t-s)}[\lambda\xi(s+t_n) - \beta(s+t_n)\xi(s+t_n)^3] ds \\
&= \int_{-\infty}^t e^{-A(t-s)}[\lambda\xi_n(s) - \beta_n(s)\xi_n(s)^3] ds.
\end{aligned}$$

From this, it is not difficult to see that

$$\zeta(t) = \int_{-\infty}^t e^{-A(t-s)}[\lambda\zeta(s) - \gamma(s)\zeta(s)^3] ds$$

and, in particular,  $\zeta$  is a global bounded solution of the problem (12).

The following lemma plays a fundamental role and is adapted from Lemma 3.7 [12].

**Lemma 19.** *Let  $\xi : \mathbb{R} \rightarrow H_P^1(-\pi, \pi)$  be a global bounded solution of*

$$\begin{cases} u_t = u_{xx} + \lambda u - \beta(t)u^3, & x \in (-\pi, \pi), \quad t > 0, \\ u(-\pi, t) = u(\pi, t), \quad u_x(-\pi, t) = u_x(\pi, t), & t \geq 0, \\ u(0) = u_0 \in H_P^1(-\pi, \pi), \end{cases} \quad (15)$$

and let  $\varphi$  be an element of the set  $\alpha_\xi(u_0)$ . Then, for each  $a \in [-\pi, \pi]$ , we have

- (i) either  $\rho_a \varphi = \varphi$  or  $\rho_a \varphi - \varphi \in \Psi$ ,
- (ii)  $\rho_a \varphi = \varphi$  if and if  $\varphi'(a) = 0$ .

**Proof:** We need only to prove the assertion (i), because (ii) follows immediately from (i). To prove (i), take a sequence  $t_n \rightarrow +\infty$  such that  $\xi(-t_n) \rightarrow \varphi$  in  $C_P^1$ . Define  $\beta_n(t) = \beta(t - t_n)$ ,  $t \in \mathbb{R}$ . The family  $\{\beta_n\}_{n \in \mathbb{N}}$  is uniformly bounded and uniformly equicontinuous. Consequently, it has a subsequence (which we denote the same) and a globally Lipschitz and bounded function  $\gamma : \mathbb{R} \rightarrow [\beta_1, \beta_2]$  such that  $\beta_n(t) \rightarrow \gamma(t)$  as  $n \rightarrow +\infty$  uniformly in compact subsets of  $\mathbb{R}$ . Similarly, we define  $\xi_n(t) = \xi(t - t_n)$ , for all  $t \in \mathbb{R}$  and  $n \in \mathbb{N}$ .

Since  $\sup_{t \in \mathbb{R}} \{\|\xi(t)\|_{H^1}, \|\xi(t)\|_1, \|\xi_t(t)\|_{L^2}\} < \infty$  there is a subsequence, which again we denote by  $\{\xi_n\}$ , converging to a function, denoted by  $p$ , which satisfies

$$\begin{cases} p_t = p_{xx} + \lambda p - \gamma(t)p^3, & x \in (-\pi, \pi), \quad t \in \mathbb{R}, \\ p(-\pi, t) = p(\pi, t), \quad p_x(-\pi, t) = p_x(\pi, t), & t \in \mathbb{R}, \\ p(0, x) = \varphi(x), & x \in (-\pi, \pi). \end{cases} \quad (16)$$

Now, we define the function  $w = \rho_a p - p$ , which is a solution of linear problem

$$\begin{cases} w_t = w_{xx} + r_\infty(t, x)w, & x \in (-\pi, \pi), \quad t \in \mathbb{R}, \\ w(-\pi, t) = w(\pi, t), \quad w_x(-\pi, t) = w_x(\pi, t), & t \in \mathbb{R}, \\ w(0, x) = (\rho_a \varphi - \varphi)(x), & x \in (-\pi, \pi), \end{cases}$$

where  $r_\infty(t, x) = \lambda - \gamma(t) \left[ \frac{(\rho_a p)^3 - p^3}{\rho_a p - p} \right]$ . Suppose that  $\rho_a \varphi \neq \varphi$ . From Lemma 6, there is  $\delta > 0$  such that  $w(\delta, \cdot)$  and  $w(-\delta, \cdot)$  have only simple zeroes, that is, belong to  $\Psi$ . But

$$(\rho_a \xi_n - \xi_n)(\pm\delta, \cdot) = (\rho_a \xi - \xi)(\pm\delta - t_n, \cdot) \rightarrow w(\pm\delta, \cdot)$$

in  $C_P^1$ . So, there exists a positive integer  $N_1$  such that

$$\ell\left((\rho_a \xi - \xi)(\delta - t_n, \cdot)\right) = \ell(w(\delta, \cdot)), \quad (17)$$

for all  $n \geq N_1$ . But  $\rho_a \xi - \xi$  satisfies a parabolic equation of the form (6) with  $q \equiv 0$  and  $r$  locally bounded, so we conclude from Lemma 6(i) and (17) that

$$\ell\left((\rho_a \xi - \xi)(t, \cdot)\right) = \ell(w(\delta, \cdot)), \quad (18)$$

for all  $t \leq \delta - t_{N_1}$ . Similarly, choosing a positive integer  $N_2$  sufficiently large, we have

$$\ell\left((\rho_a \xi - \xi)(t, \cdot)\right) = \ell(w(-\delta, \cdot)). \quad (19)$$

for all  $t \leq -\delta - t_{N_2}$ . It follows from (18) and (19) that  $\ell(w(\delta, \cdot)) = \ell(w(-\delta, \cdot))$ . From Lemma 6(iii), this implies that  $w(0, \cdot) = \rho_a \varphi - \varphi \in \Psi$ . The proof of item (i) is complete.  $\blacksquare$

**Lemma 20.** *Let  $\xi$  be as in Lemma 19. Then the set*

$$\alpha_\xi(u_0) \subset \bigcup_{\substack{x \in \mathbb{R} \\ m \in \mathbb{N}}} \mathfrak{F}_m(x) \cup \mathfrak{F},$$

where  $\mathfrak{F}$  is the set of all constant functions.

**Proof:** Let  $\varphi$  be a nonconstant element of set  $\alpha_\xi(u_0)$ . Choose  $x^*$  such that  $\varphi'(x^*) \neq 0$ . Without loss of generality, suppose that  $\varphi'(x^*) > 0$  and let  $I = (x_0, x_1)$  be the maximal interval containing  $x^*$  such that  $\varphi'(x) > 0$  for all  $x \in I$ . Since  $\varphi$  is  $\mathcal{C}^1$ , we have that  $\varphi'(x_0) = \varphi'(x_1) = 0$ . It follows from Lemma 19 that  $\rho_{x_0}\varphi = \varphi = \rho_{x_1}\varphi$  implying that there is  $m \in \mathbb{N}$  such that  $x_1 - x_0 = \pi/m$ . Thus  $\varphi \in \mathfrak{F}_m(x_0)$ . ■

**Proof of Theorem 17:** Let  $\varphi$  and  $\psi$  be nonzero functions belonging to the set  $\alpha_\xi(u_0)$ ,  $\{t_n\}_{n \in \mathbb{N}}$  and  $\{s_n\}_{n \in \mathbb{N}}$  sequences tending to  $+\infty$  such that  $\xi(-t_n) \rightarrow \varphi$  and  $\xi(-s_n) \rightarrow \psi$  in  $C^1([0, \pi])$ . Let  $\tilde{\xi}$ ,  $\tilde{\varphi}$  and  $\tilde{\psi}$  be the odd extensions  $2\pi$ -periodic of functions  $\xi$ ,  $\varphi$  and  $\psi$ . Then  $\tilde{\xi}(t, x)$  is a global bounded solution of a nonautonomous nonlinear parabolic equation, with periodic boundary conditions on the interval  $[-\pi, \pi]$ . Furthermore,  $\tilde{\xi}(-t_n) \rightarrow \tilde{\varphi}$  and  $\tilde{\xi}(-s_n) \rightarrow \tilde{\psi}$  in  $C^1([-\pi, \pi])$ . From Lemma 20, there are  $x_0 \in [-\pi, \pi]$  and  $m \in \mathbb{N}$  such that  $\tilde{\varphi} \in \mathfrak{F}_m(x_0)$ . Note that  $x_0 \neq 0$  since  $\tilde{\varphi}(-x) \neq \tilde{\varphi}(x)$ , this implies that  $\tilde{\varphi}'(0) \neq 0$ . It follows from this, Lemma 19 and 20 that  $\tilde{\varphi}$  has only simple zeroes. Let us prove that  $\tilde{\psi}$  also belongs to the set  $\mathfrak{F}_m(x_0)$ . Since the convergence of  $\tilde{\xi}(-t_n)$  to  $\tilde{\varphi}$  is in  $C_P^1$  and  $\tilde{\varphi}$  has only simple zeroes, we ensure the existence of  $n_0 \in \mathbb{N}$  such that  $\tilde{\xi}(-t_n) \in \Psi$  and

$$\ell(\tilde{\xi}(-t_n)) = \ell(\tilde{\varphi}),$$

for all  $n \geq n_0$ . But  $\tilde{\xi}(t)$  is a solution of a parabolic equation of the form (6), then  $\ell(\tilde{\xi}(t))$  is a non-increasing function of  $t$ . So,

$$\ell(\tilde{\xi}(-t)) = \ell(\tilde{\varphi}) \tag{20}$$

for all  $t \geq t_{n_0}$ . Similarly, we can conclude that there is a positive integer  $n_1$  such that

$$\ell(\tilde{\xi}(-t)) = \ell(\tilde{\psi}) \tag{21}$$

for all  $t \geq s_{n_1}$ . Therefore, for all  $t \geq \max\{t_{n_0}, s_{n_1}\}$ , we have that  $\ell(\tilde{\xi}(-t)) = \ell(\tilde{\varphi}) = \ell(\tilde{\psi})$  and  $\tilde{\xi}(-t) \in \Psi$ .

Since the functions of the set  $\mathfrak{F}_m(x_0)$  have  $2\pi/m$  as the fundamental period, we have that  $\tilde{\varphi}'(x) > 0$  for all  $x_0 < x < x_0 + \frac{1}{2}\left(\frac{2\pi}{m}\right)$ . Without loss of generality, we suppose that  $\tilde{\varphi}'(0) > 0$ . Since  $\tilde{\varphi}$  is odd and  $\frac{2\pi}{m}$ -periodic, we conclude that

$$\tilde{\varphi}'(x) > 0, \text{ for all } x \in \left(-\frac{\pi}{2m}, \frac{\pi}{2m}\right),$$

consequently,  $\tilde{\varphi} \in \mathfrak{F}_m\left(-\frac{\pi}{2m}\right)$ , in other words,  $\varphi \in \mathfrak{F}_m^+$ . Since  $\tilde{\varphi}'(0) > 0$  we conclude that  $\tilde{\xi}_x(-t_n, 0) > 0$  for all  $n \geq N_1$ , for some  $N_1$ . So, we can see that  $\tilde{\psi}'(0) > 0$ , as otherwise  $\tilde{\psi}'(0) < 0$  and therefore  $\tilde{\xi}_x(-s_n, 0) < 0$ , for all  $n \geq N_2$ , for some  $N_2$ . This would imply the existence of  $t_n^*$  between  $t_n$  and  $s_n$  such that  $\tilde{\xi}_x(-t_n^*, 0) = 0$ , which would contradict the fact that  $\tilde{\xi}(-t) \in \Psi$  for all  $t \geq \max\{t_{n_0}, s_{n_1}\}$ . Thus,  $\tilde{\psi}'(0) > 0$  and since  $\ell(\tilde{\varphi}) = \ell(\tilde{\psi})$  we conclude that  $\tilde{\psi} \in \mathfrak{F}_m(x_0)$ , where  $x_0 = -\frac{\pi}{2m}$ . Note that assuming  $\tilde{\varphi}'(0) < 0$ ,  $\tilde{\varphi}$  and  $\tilde{\psi}$  would belong to  $\mathfrak{F}_m\left(\frac{\pi}{2m}\right)$ , i.e.,  $\varphi$  and  $\psi$  would belong to the set  $\mathfrak{F}_m^-$ . ■

#### 4. THE STRUCTURE OF THE PULLBACK ATTRACTOR FOR $0 < \lambda < 4$

In [11] the authors proved that if  $0 < \lambda \leq 1$ , then all solutions of problem (1) tend to the trivial solution. One of our results in this paper was to determine the structure of the pullback attractor when the parameter  $1 < \lambda < 4$ . We know from [11] that the pullback attractor is the set of all global bounded solutions of problem (1). In Section 2.2 we proved the existence of two global bounded solutions,  $\pm \xi_1^+(t)$ , which are non-degenerate as  $t \rightarrow \pm\infty$ . Let us find the others global bounded solutions when  $1 < \lambda < 4$ . For this end, we resume to the analysis of the  $\alpha$ -limit sets and  $\omega$ -limit sets. In principle, we consider the solutions which  $w$ -limit set and  $\alpha$ -limit set are different from the unitary set  $\{0\}$ . According to Theorems 16 and 17 we have the following possible cases:

**1<sup>st</sup> Case:**  $\omega(u_0) \subset \mathfrak{F}_1^+ \cup \{0\}$

The proof of this case is valid for any  $\lambda > 1$ . Indeed, assume there is a nonzero function  $\varphi \in \omega(u_0)$  and, that is, a sequence  $t_n \rightarrow +\infty$  such that  $T_\beta(t_n, 0)u_0 = u(t_n, \cdot) \rightarrow \varphi$  in  $C^1([0, \pi])$  as  $n \rightarrow +\infty$ . Furthermore,  $\varphi(x) > 0$  for all  $0 < x < \pi$  and  $\rho_{\frac{\pi}{2}}\varphi = \varphi$ . Thus, for some positive integer  $n_0$  sufficiently large we have

$$\left[ T_\beta(t_{n_0}, 0)u_0 \right](x) = u(t_{n_0}, x) > 0,$$

for all  $0 < x < \pi$ , so  $0 \neq u(t_{n_0}) \in \mathcal{C}$  and from Theorem 11 we can conclude

$$\|T_\beta(t, t_{n_0})u(t_{n_0}) - \xi_1^+(t)\|_{H_0^1(0, \pi)} \xrightarrow{t \rightarrow +\infty} 0.$$

Then,  $0 \notin \omega(u_0)$  and  $\omega(u_0) \subset \omega(\xi_1^+(0)) \subset [\phi_{\beta_2}^+, \phi_{\beta_1}^+]$ .

Analogously, if  $\omega(u_0) \subset \mathfrak{F}_1^- \cup \{0\}$  we conclude that  $0 \notin \omega(u_0)$  and  $\omega(u_0) \subset \omega(-\xi_1^+(0)) \subset [\phi_{\beta_1}^-, \phi_{\beta_2}^-]$ . ■

**2<sup>nd</sup> Case:**  $\alpha_\xi(u_0) \subset \mathfrak{F}_1^+ \cup \{0\}$

As in the first case, this proof is valid for any  $\lambda > 1$ . Assume that there is a nonzero function  $\psi \in \alpha_\xi(u_0) \cap \mathfrak{F}_1^+$ , that is, a sequence  $t_n \rightarrow +\infty$  such that  $\xi(-t_n) \rightarrow \psi$  in  $C^1([0, \pi])$ . Again,  $\psi(x) > 0$  for all  $0 < x < \pi$  and then, by Lemma 6,  $\xi(t, x) \geq 0$ , for all  $t \in \mathbb{R}$  and  $0 < x < \pi$ . Let us prove that  $\xi$  is non-degenerate as  $t \rightarrow -\infty$ , i.e., there exists  $t^* \in \mathbb{R}$  and a nonzero function  $\vartheta \geq 0$  such that  $\xi(-t) \geq \vartheta$  for all  $t > t^*$ . In fact, consider  $\tilde{\beta}_2$  sufficiently large,  $\beta(t) \leq \beta_2 \leq \tilde{\beta}_2$ , so that the positive equilibrium for the autonomous problem with  $\tilde{\beta}_2$  instead of  $\beta(t)$  satisfies:

$$\phi_{1, \tilde{\beta}_2}^+ \leq \frac{1}{2}\psi.$$

So, there exists  $n_0$  such that, for all  $n \geq n_0$ , we have

$$\phi_{1, \tilde{\beta}_2}^+ \leq \frac{1}{2}\psi \leq \xi(-t_n).$$

Let us see that  $\phi_{1,\tilde{\beta}_2}^+ \leq \xi(-t)$  for all  $t \geq t_{n_0}$ . Fixed  $t \geq t_{n_0}$  consider  $m \geq n_0$  such that  $t_m \leq t < t_{m+1}$ . From the comparison results, we have

$$\phi_{1,\tilde{\beta}_2}^+ = S_{\tilde{\beta}_2}(t_{m+1} - t)\phi_{1,\tilde{\beta}_2}^+ \leq T_\beta(-t, -t_{m+1})\phi_{1,\tilde{\beta}_2}^+ \leq T_\beta(-t, -t_{m+1})\xi(-t_{m+1}) = \xi(-t),$$

as we wanted. Hence, from Theorem 11, we conclude that  $\xi(t) = \xi_1^+(t)$ , since  $\xi_1^+$  is the unique positive solution which is non-degenerate as  $t \rightarrow -\infty$ . Thus,  $0 \notin \alpha_\xi(u_0) = \alpha_{\xi_1^+}(u_0)$  and  $\alpha_\xi(u_0) \subset [\phi_{\beta_2}^+, \phi_{\beta_1}^+]$ .

Analogously, if  $\alpha_\xi(u_0) \subset \mathfrak{F}_1^- \cup \{0\}$  we conclude that  $\xi(t) = -\xi_1^+(t)$  and consequently  $0 \notin \alpha_\xi(u_0) = \alpha_{-\xi_1^+}(u_0)$  and  $\alpha_\xi(u_0) \subset [\phi_{\beta_1}^-, \phi_{\beta_2}^-]$ .  $\blacksquare$

**Remark 21.** *The second case, which we have just seen, consider global bounded positive solutions which do not tend to trivial solution as  $t \rightarrow -\infty$ . As a corollary of this proof, we have the uniqueness of solution for  $t$  negative for such initial data. In fact, if  $\xi_1(t)$  and  $\xi_2(t)$  are global solutions which pass through  $u_0$  such that  $\alpha_{\xi_1}(u_0)$  and  $\alpha_{\xi_2}(u_0)$  are contained in the set  $\mathfrak{F}_1^+ \cup \{0\}$ , it follows that both solutions are non-degenerate as  $t \rightarrow -\infty$  and, from the results of [11], we have  $\xi_1(t) = \xi_2(t) = \xi_1^+(t)$ .*

**3<sup>rd</sup> Case:**  $\omega(u_0) \subset \mathfrak{F}_j^\pm \cup \{0\}$ ,  $j = 2, 3, \dots$ .

Let us show that these cases do not occur for nonzero functions when  $\lambda \in (1, 4)$ . To simplify the proof we will make it for  $\mathfrak{F}_2^+$ , the proof is analogous for the other cases. Suppose by contradiction that there exists a non-zero function  $\varphi \in \omega(u_0)$  and consider a sequence  $t_n \rightarrow +\infty$  such that  $T_\beta(t_n, 0)u_0 = u(t_n, \cdot) \rightarrow \varphi$  in  $C^1([0, \pi])$  as  $n \rightarrow +\infty$ . As we mentioned,  $\varphi \in \mathfrak{F}_2^+$  implies that  $\varphi(x) > 0$  for  $0 < x < \pi/2$  and  $\varphi(\pi - x) = -\varphi(x)$ , for all  $x \in [0, \pi]$ .

Since  $T_\beta(t, 0)u_0 = u(t, \cdot)$  is bounded, we may define  $u_n(t, \cdot) = u(t + t_n, \cdot)$ , for all  $t \geq -t_n$ , and  $\beta_n(t) = \beta(t + t_n)$ ,  $t \in \mathbb{R}$ . Then there are subsequences of  $\{u_n\}_n$  and  $\{\beta_n\}_n$  which converge, respectively, to  $p \in \gamma$ , uniformly in compact subsets of  $\mathbb{R}$ , being  $p$  a global bounded solution of the initial value problem

$$\begin{cases} p_t = p_{xx} + \lambda p - \gamma(t)p^3, & 0 < x < \pi, \quad t \in \mathbb{R}, \\ p(0, t) = p(\pi, t) = 0, \\ p(0, x) = \varphi(x). \end{cases} \quad (22)$$

Since  $\varphi(\pi - x) = -\varphi(x)$ , for all  $x \in [0, \pi]$ , and from the uniqueness solution we have  $p(t, \pi - x) = -p(t, x)$ , for all  $x \in [0, \pi]$  and  $t \geq 0$ . Consequently,  $p(t, \pi/2) = 0$  for all  $t \geq 0$ . Let us see that  $p(t, \pi/2) = 0$  also for  $t < 0$ . In fact, fix  $t > 0$  and consider  $t_{n_0}$  such that  $t \leq t_{n_0}$ . So, for all  $n \geq n_0$ , we have  $u_n(-t, \cdot)$  well defined and  $u_n(-t, \cdot) = u(t_n - t, \cdot) \rightarrow p(-t, \cdot)$ . Then,  $p(-t, \cdot) \in \omega(u_0)$  and, consequently, in this case,  $p(-t, \cdot) \in \mathfrak{F}_2^+$  implying  $p(-t, \pi/2) = 0$ . In this way, the equation in (22) can be considered, together with the Dirichlet boundary conditions, in the interval  $[0, \pi/2]$ . However, the operator  $-\frac{\partial^2}{\partial x^2}$  with the Dirichlet boundary conditions in the interval  $[0, \pi/2]$  has the eigenvalues  $\lambda_n = 4n^2$ ,  $n \in \mathbb{N}$ . Since the parameter  $\lambda \in (1, 4)$ , we are in the case where, according [11], any solution tend to zero. Thus, the



unique global bounded solution of equation in (22) with Dirichlet boundary conditions in the interval  $[0, \pi/2]$  is the null solution, contradicting the fact that  $p(t, \cdot)$  is a global bounded nonzero solution. Thus, for  $\lambda \in (1, 4)$ , the set  $\omega(u_0)$  cannot be contained in the set  $\mathfrak{F}_2^+$ . ■

**4<sup>th</sup> Case:**  $\alpha_\varepsilon(u_0) \subset \mathfrak{F}_j^\pm \cup \{0\}$ ,  $j = 2, 3, \dots$ .

For the same reasons given in the previous case we have that such cases cannot occur for nonzero functions for  $\lambda \in (1, 4)$ . ■

Now, for  $N^2 < \lambda < (N + 1)^2$ ,  $N \geq 1$ , we prove that there is no non-constant solution connecting the zero equilibrium to itself (homoclinic orbit). Suppose by contradiction that  $\xi(t) \rightarrow 0$  as  $t \rightarrow \pm\infty$ . Consider the linearized operator about the trivial solution  $L = \partial_x^2 + \lambda I_d$ . We know that the eigenvalues are defined by  $\lambda_n = \lambda - n^2$ ,  $n = 1, 2, \dots$ , and the eigenfunctions  $\psi_n(x) = \sin(nx)$  have  $n + 1$  simple zeros in  $[0, \pi]$ . In this way, zero is not an eigenvalue and  $\lambda_1 > \dots > \lambda_N > 0 > \lambda_{N+1} > \dots > \lambda_n \rightarrow -\infty$  implying that the trivial equilibrium is hyperbolic and, from [17], its local unstable manifold is an  $N$ -dimensional Lipschitz manifold and the rate of approach from  $\xi(t)$  to zero is exponential. Furthermore  $\xi(t)$  is a nontrivial solution of the linear parabolic equation

$$v_t = v_{xx} + \lambda v - \beta(t)\xi(t, x)^2 v, \quad 0 < x < \pi, \quad v(0, t) = v(\pi, t) = 0.$$

Define the operator  $L(t) : D(L(t)) \subset L^2(0, \pi) \rightarrow L^2(0, \pi)$ ,  $L(t)v = v_{xx} + \lambda v - \beta(t)\xi(t, x)^2 v$ , where  $D(L(t)) = H^2(0, \pi) \cap H_0^1(0, \pi)$ . If  $\lambda_n(t)$ ,  $n = 1, 2, \dots$ , are the eigenvalues of  $L(t)$ , note that  $\lambda_n(t) \rightarrow \lambda_n$  as  $t \rightarrow \pm\infty$ . Now, we use some results on asymptotic behavior of solutions of linear parabolic equations from [18] (see Theorem 3, 4 and 5). From [18, Theorem 4] we conclude that there is an integer  $j \geq 1$  and a constant  $C_1 \neq 0$  such that

$$\xi(t, x) = \exp\left(\int_0^t \lambda_j(s) ds\right) [C_1 \psi_j(x) + o(1)] \quad \text{as } t \rightarrow \infty,$$

with convergence in the sense of  $C^1([0, \pi])$ . Since  $\xi(t) \rightarrow 0$ ,  $\psi_j$  has to be related to a negative eigenvalue, that is,  $j \geq N + 1$ . From  $C^1$  convergence, we conclude that  $\xi(t)$  vanishes  $j + 1$  times in  $[0, \pi]$  for  $t$  large enough. On the other hand, from [18, Theorems 3 and 5], we also conclude that there is an integer  $k \geq 1$  and a constant  $C_2 \neq 0$  such that

$$\xi(t, x) = \exp\left(-\int_t^0 \lambda_k(s) ds\right) [C_2 \psi_k(x) + o(1)] \quad \text{as } t \rightarrow -\infty,$$

with convergence in the sense of  $C^1([0, \pi])$ . Again, since  $\xi(t) \rightarrow 0$ ,  $\psi_k$  has to be related a positive eigenvalue, that is,  $k \leq N$ . From  $C^1$  convergence, we conclude that  $\xi(t)$  vanishes  $k + 1$  times in  $[0, \pi]$  for  $-t$  large enough. Finally, applying Lemma 6 we see that  $j + 1 \leq k + 1$ , consequently,  $N + 2 \leq j + 1 \leq k + 1 \leq N + 1$ , a contradiction, proving that there is no homoclinic orbit at zero.

Consequently, in the case  $1 < \lambda < 4$ , that is,  $N = 1$ , the unstable manifold of the trivial solution is 1-dimensional, then a global bounded solution that goes out from zero tends to

one of the solutions  $\pm\xi_1^+$  as  $t \rightarrow \infty$ , according to the analysis of the  $\omega$ -limit sets seen in this section. Without loss of generality, if the solution tends to  $\xi_1^+(t)$  as  $t \rightarrow \infty$ , it must be positive in  $(0, \pi)$  for  $t$  sufficiently large. But from Lemma 6, we conclude the solution is positive for all  $t \in \mathbb{R}$  (see Proposition 33 for more detailed explanation).

We summarize the results of this section in the next theorem.

**Theorem 22.** *If  $1 < \lambda < 4$ , the unstable manifold of the zero is one-dimensional and the global bounded solutions,  $\pm\zeta(t)$ , which leave from the zero, tend to the non-degenerate solutions  $\pm\xi_1^+(t)$ . In particular, the pullback attractor is given by  $\mathcal{A}(t) = W^u(0)(t) \cup \{\xi_1^+(t)\} \cup \{-\xi_1^+(t)\}$ ,  $t \in \mathbb{R}$ . In addition, for any  $u_0 \in H_0^1(0, \pi)$ ,  $\omega(u_0) \subset \omega(\xi_1^+(0)) \cup \omega(-\xi_1^+(0)) \cup \{0\}$ .*

This theorem, besides giving a thorough characterization of the pullback attractor  $\{\mathcal{A}(t), t \in \mathbb{R}\}$ , also shows that the pullback and the skew product attractor associated to (1) have gradient structure.

## 5. THE STRUCTURE OF THE ATTRACTOR FOR $N^2 < \lambda < (N + 1)^2$ , $N \geq 2$

We have seen in the two previous sections that the non-degenerate global bounded solutions  $\pm\xi_1^+(t) := \xi_1^\pm(t)$  of (1) play the same role, when  $1 < \lambda < 4$ , that the equilibria  $\phi_{1,\beta}^\pm$  of the autonomous problem. Now, assuming that  $N^2 < \lambda < (N + 1)^2$  and knowing that there are  $2N$  non-zero equilibria of the autonomous equation,  $\phi_{j,\beta_i}^\pm$ ,  $j = 1, \dots, N$  and  $i = 1, 2$ , we can construct the following global bounded solutions of (1):

$$\xi_j^\pm(t) = \lim_{s \rightarrow -\infty} T_\beta(t, s)\phi_{j,\beta_1}^\pm, \quad (23)$$

$j = 2, \dots, N$ . Note that, each solution  $\xi_j^\pm(t)$  is non-degenerate as  $t \rightarrow \pm\infty$  and belongs to  $\mathfrak{F}_j^\pm$  and

$$Y_j^\pm = \left\{ v \in H_0^1(0, \pi) : \min(\phi_{j,\beta_1}^\pm(x), \phi_{j,\beta_2}^\pm(x)) \leq v(x) \leq \max(\phi_{j,\beta_1}^\pm(x), \phi_{j,\beta_2}^\pm(x)) \right\}.$$

The existence of the above limit is a consequence of the symmetry preserving properties of  $\{T_\beta(t, s) : t \geq s\}$  (takes  $\mathfrak{F}_j^\pm \cap Y_j^\pm$  into itself) and, just as we did for  $\xi_1^\pm$ , of the monotonicity properties of solutions (for the restrictions in each of the sub-intervals where  $\phi_{j,\beta_i}^\pm$  do not change sign) and compactness. The uniqueness of the non-degenerate as  $t \rightarrow \pm\infty$  solution in  $\mathfrak{F}_j^\pm$  is proved by using Theorem 11 in each of the sub-intervals. See [11] for details of its construction and uniqueness.

Furthermore, it is not difficult to prove that if  $u_0 \in \mathfrak{F}_j^\pm$  then  $\omega(u_0) \subset \omega(\xi_j^\pm(0))$ . We will call such solutions by “non-autonomous equilibria”.

**Lemma 23.** *Assume that  $N^2 < \lambda < (N + 1)^2$ ,  $N \geq 2$  and that  $\omega(u_0) \subset \mathfrak{F}_j^\pm \cup \{0\}$ ,  $2 \leq j \leq N$ . If  $0 \neq \varphi \in \omega(u_0)$  then, there exists  $\tilde{\varphi} \in \omega(u_0) \cap Y_j^\pm$ .*

**Proof:** We prove the case when  $j$  is even and  $\varphi \in \mathfrak{F}_j^+$ . There exists a sequence  $t_n \rightarrow +\infty$  such that  $T_\beta(t_n, 0)u_0 = u(t_n) \rightarrow \varphi$  in  $C^1([0, \pi])$ . Moreover,  $\varphi$  satisfies

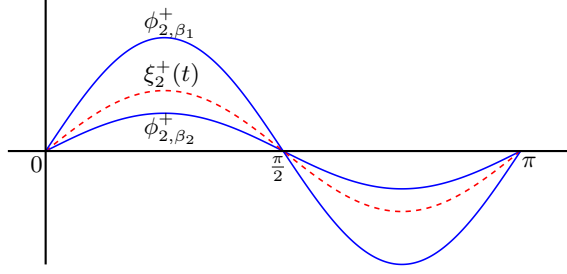


FIGURE 3. The second “non-autonomous equilibrium”

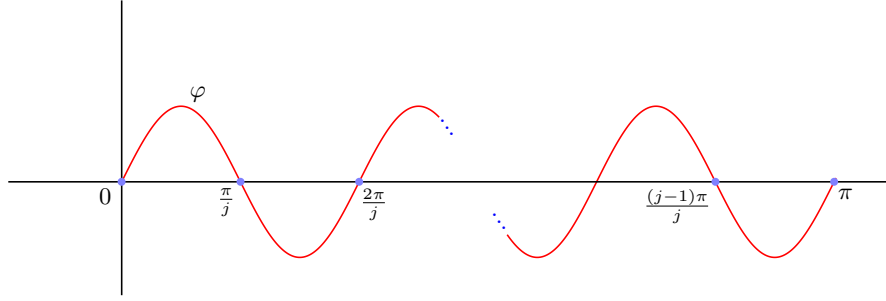
$$\begin{aligned}
 \varphi\left(\frac{\pi}{j} - x\right) &= \varphi(x) \text{ if } x \in \left[0, \frac{\pi}{j}\right], \quad \varphi\left(\frac{\pi}{j}\right) = 0, \\
 \varphi\left(\frac{2\pi}{j} - x\right) &= -\varphi(x) \text{ if } x \in \left[0, \frac{2\pi}{j}\right], \quad \varphi\left(\frac{2\pi}{j}\right) = 0, \\
 \varphi\left(\frac{3\pi}{j} - x\right) &= \varphi(x) \text{ if } x \in \left[0, \frac{3\pi}{j}\right], \quad \varphi\left(\frac{3\pi}{j}\right) = 0, \\
 &\vdots \\
 \varphi(\pi - x) &= -\varphi(x) \text{ if } x \in [0, \pi], \\
 \varphi(x) > 0, \text{ if } x \in I_1 &\doteq \bigcup_{k=0}^{\frac{j-2}{2}} \left(\frac{2k\pi}{j}, \frac{(2k+1)\pi}{j}\right), \\
 \varphi(x) < 0, \text{ if } x \in I_2 &\doteq \bigcup_{k=0}^{\frac{j-2}{2}} \left(\frac{(2k+1)\pi}{j}, \frac{(2k+2)\pi}{j}\right).
 \end{aligned} \tag{24}$$

The figure below sketches the function  $\varphi$  when  $j$  is even. If  $j$  is odd,  $\varphi(\pi - x) = \varphi(x)$  for all  $x \in [0, \pi]$  and, consequently,  $\varphi(x) > 0$ ,  $\frac{(j-1)\pi}{j} < x < \pi$ .

Let  $v_s(t) = T_\beta(t, s)\varphi$ ,  $t \geq s$ . It is clear that

$$v_s(t, x) = \begin{cases} v_s^1(t, x) & \text{if } 0 \leq x \leq \frac{\pi}{j}, \\ v_s^2(t, x) & \text{if } \frac{\pi}{j} \leq x \leq \frac{2\pi}{j}, \\ \vdots & \\ v_s^j(t, x) & \text{if } \frac{(j-1)\pi}{j} \leq x \leq \pi, \end{cases} \tag{25}$$

where  $v_s^1(t)$ ,  $v_s^2(t)$ ,  $\dots$ ,  $v_s^j(t)$  are the solutions of (1) with initial data  $\varphi$  but restricted to the intervals  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ ,  $\dots$ ,  $[\frac{(j-1)\pi}{j}, \pi]$ , respectively. Denote by  $\varphi^1$ ,  $\varphi^2$ ,  $\dots$ ,  $\varphi^j$  the restriction

FIGURE 4. Case  $j$  even

of  $\varphi$  to the intervals  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ ,  $\dots$ ,  $[\frac{(j-1)\pi}{j}, \pi]$ , respectively. Also,  $S_{\beta_i}^1, S_{\beta_i}^2, \dots, S_{\beta_i}^j$  will be the corresponding semigroups for  $\beta$  constant in the same intervals. Then by comparison we have

$$\begin{aligned}
0 \leq S_{\beta_2}^1(t-s)\varphi^1 &\leq v_s^1(t) \leq S_{\beta_1}^1(t-s)\varphi^1, \\
S_{\beta_1}^2(t-s)\varphi^2 &\leq v_s^2(t) \leq S_{\beta_2}^2(t-s)\varphi^2 \leq 0, \\
&\vdots \\
0 \leq S_{\beta_1}^{j-1}(t-s)\varphi^{j-1} &\leq v_s^{j-1}(t) \leq S_{\beta_2}^{j-1}(t-s)\varphi^{j-1}, \\
S_{\beta_1}^j(t-s)\varphi^j &\leq v_s^j(t) \leq S_{\beta_2}^j(t-s)\varphi^j \leq 0,
\end{aligned} \tag{26}$$

assuming  $j$  is even. If  $j$  is odd, the last expression would be

$$0 \leq S_{\beta_2}^j(t-s)\varphi^j \leq v_s^j(t) \leq S_{\beta_1}^j(t-s)\varphi^j,$$

since  $\varphi^j(x) > 0$ , for all  $\frac{(j-1)\pi}{j} < x < \pi$ .

Recall that the eigenvalues of the operator  $-\partial_x^2$  with Dirichlet conditions on the interval  $[0, \frac{\pi}{j}]$  are  $\lambda_{n, \frac{\pi}{j}} = n^2 j^2$ ,  $n = 1, 2, \dots$ . Then  $\lambda > N^2 \geq j^2 = \lambda_{1, \frac{\pi}{j}}$ . Hence, for any  $\varepsilon > 0$ , there exists  $T(\varepsilon) > 0$  such that

$$\begin{aligned}
\|S_{\beta_1}^1(t-s)\varphi^1 - \phi_{1, \beta_1, \frac{\pi}{j}}^+\|_{L^\infty(0, \frac{\pi}{j})} &\leq \varepsilon, \\
\|S_{\beta_2}^1(t-s)\varphi^1 - \phi_{1, \beta_2, \frac{\pi}{j}}^+\|_{L^\infty(0, \frac{\pi}{j})} &\leq \varepsilon,
\end{aligned}$$

if  $t-s \geq T(\varepsilon)$ , where  $\phi_{1, \beta_1, \frac{\pi}{j}}^+$  and  $\phi_{1, \beta_2, \frac{\pi}{j}}^+$  represent the equilibria of the semigroups  $S_{\beta_1}^1, S_{\beta_2}^1$  in  $H_0^1(0, \frac{\pi}{j})$ , respectively. Hence

$$\phi_{1, \beta_2, \frac{\pi}{j}}^+(x) - \varepsilon \leq v_s^1(t, x) \leq \phi_{1, \beta_1, \frac{\pi}{j}}^+(x) + \varepsilon \tag{27}$$

if  $t-s \geq T(\varepsilon)$ . In the same way,

$$-\phi_{1, \beta_1, \frac{\pi}{j}}^+\left(\frac{2\pi}{j} - x\right) - \varepsilon \leq v_s^2(t, x) \leq -\phi_{1, \beta_2, \frac{\pi}{j}}^+\left(\frac{2\pi}{j} - x\right) + \varepsilon, \tag{28}$$

$$\begin{aligned} & \vdots \\ -\phi_{1,\beta_1,\frac{\pi}{j}}^+(\pi-x) - \varepsilon & \leq v_s^j(t,x) \leq -\phi_{1,\beta_2,\frac{\pi}{j}}^+(\pi-x) + \varepsilon \end{aligned} \quad (29)$$

if  $t - s \geq T(\varepsilon)$ .

Further, we can show that there is a constant  $R > 0$ , which does not depend on  $t$  and  $s$  (see Proposition 12.8 [7]), such that

$$\begin{aligned} \|u(t)\|_{H_0^1(0,\pi)} & \leq R, \quad \forall t \geq 0, \\ \|v_s(t)\|_{H_0^1(0,\pi)} & \leq R, \quad \forall t \geq s. \end{aligned}$$

Using the variation of constants formula, Gronwal's inequality and the embedding of  $H^1$  into  $L^\infty$ , the difference satisfies

$$\|u(t) - v_s(t)\|_{L^\infty} \leq e^{\delta(t-s)} \|u(s) - \varphi\|_{H_0^1} \quad (30)$$

for some  $\delta = \delta(R) > 0$ . Since  $u(t_n) \rightarrow \varphi$  in  $H_0^1(0, \pi)$ , for any  $\varepsilon > 0$ ,  $T(\varepsilon)$  (where  $T(\varepsilon)$  is taken from (27)) there exists  $t_{n_\varepsilon}$  such that

$$\|u(t_{n_\varepsilon}) - \varphi\|_{H_0^1} \leq \varepsilon e^{-\delta T(\varepsilon)}.$$

Hence,

$$\|u(t_{n_\varepsilon} + T(\varepsilon)) - v_{t_{n_\varepsilon}}(t_{n_\varepsilon} + T(\varepsilon))\|_{L^\infty} \leq \varepsilon. \quad (31)$$

It follows from (27)-(31) that

$$\phi_{j,\beta_2,\frac{\pi}{j}}^+(x) - 2\varepsilon \leq u(t_{n_\varepsilon} + T(\varepsilon), x) \leq \phi_{j,\beta_1,\frac{\pi}{j}}^+(x) + 2\varepsilon,$$

for all  $x \in I_1$  and

$$\phi_{j,\beta_1,\frac{\pi}{j}}^+(x) - 2\varepsilon \leq u(t_{n_\varepsilon} + T(\varepsilon), x) \leq \phi_{j,\beta_2,\frac{\pi}{j}}^+(x) + 2\varepsilon,$$

for all  $x \in I_2$ .

We choose  $\varepsilon_m \rightarrow 0$ . Then, passing to a subsequence

$$u(t_{n_{\varepsilon_m}} + T(\varepsilon_m)) \rightarrow \tilde{\varphi} \in \mathfrak{F}_j^+ \text{ in } C^1([0, \pi]),$$

and

$$\phi_{j,\beta_2,\frac{\pi}{j}}^+(x) \leq \tilde{\varphi}(x) \leq \phi_{j,\beta_1,\frac{\pi}{j}}^+(x),$$

for all  $x \in I_1$ , and

$$\phi_{j,\beta_1,\frac{\pi}{j}}^+(x) \leq \tilde{\varphi}(x) \leq \phi_{j,\beta_2,\frac{\pi}{j}}^+(x),$$

for all  $x \in I_2$ . Therefore,  $\tilde{\varphi} \in \omega(u_0) \cap Y_j^+$ . ■

Let us define the hull of  $\beta(\cdot)$  by

$$\mathcal{H}(\beta) = cl_{C(\mathbb{R},\mathbb{R})} \{\beta(\cdot + s) : s \in \mathbb{R}\}, \quad (32)$$

where the closure is taken with respect to the metric of the uniform convergence in compact subsets of  $\mathbb{R}$ . Also, let  $\xi_{j,\gamma}^\pm(\cdot)$  be the “non-autonomous equilibria” in  $\mathfrak{F}_j^\pm$  for problem (1) with  $\beta(\cdot)$  replaced by  $\gamma(\cdot)$ .

**Theorem 24.** *Let  $N^2 < \lambda < (N+1)^2$ ,  $N \geq 2$ ,  $\omega(u_0) \subset \mathfrak{F}_j^\pm \cup \{0\}$  and let  $\varphi \in \omega(u_0)$  be such that  $\varphi \neq 0$ . Then  $\varphi \in Y_j^\pm$ . Hence,  $\omega(u_0) \subset Y_j^\pm$ . Moreover,*

$$\omega(u_0) \subset \{\xi_{j,\gamma}^\pm(t) : \gamma \in \mathcal{S}^+(\beta), t \in \mathbb{R}\}, \quad (33)$$

where  $\mathcal{S}^+(\beta)$  is given by Definition 4.

**Remark 25.** *In fact, more is true. If  $\rho$  is the metric of the uniform convergence in bounded intervals in  $C(\mathbb{R}, \mathbb{R})$ ,  $\Sigma = \overline{\{\beta(\cdot + t) := \theta_t \beta : t \in \mathbb{R}\}}^\rho$  and*

$$\omega_\sigma(u_0) = \{\phi \in H_0^1(0, \pi) : \exists t_n \xrightarrow{n \rightarrow \infty} \infty \text{ and } \sigma \in \Sigma \text{ such that } T_\sigma(t_n, 0)u_0 \xrightarrow{n \rightarrow \infty} \phi\},$$

then, given  $\sigma \in \Sigma$ , there exists  $j_\sigma \in \mathbb{N}$  such that  $\omega_\sigma(u_0) \subset \{\xi_{j_\sigma, \gamma}^\pm(t) : \gamma \in \mathcal{S}^+(\sigma), t \in \mathbb{R}\}$ . Note that  $\cup_{\sigma \in \Sigma} \omega_\sigma(u_0)$  is connected and is contained in  $\cup_{j=1}^N \{\xi_{j,\sigma}^\pm(t) : \sigma \in \Sigma, t \in \mathbb{R}\} \cup \{0\}$ , therefore it must be contained in a single set of this union.

**Proof:** We note that all sets involved in the asymptotics are compact in  $C^1([0, \pi])$  and therefore we may use indistinctly  $H^1(0, \pi)$  or  $C^1([0, \pi])$  convergence. Again, we prove the case for  $\mathfrak{F}_j^+$ . Suppose by contradiction that  $\varphi \notin Y_j^+ \cap C^1([0, \pi])$ . Since  $Y_j^+$  is closed, there exist disjoint open neighbourhoods (in  $C^1([0, \pi])$ )  $\mathcal{O}$ ,  $\mathcal{O}_\varphi$  of  $Y_j^+ \cap C^1([0, \pi])$  and  $\varphi$ , respectively.

By Lemma 23 there is  $\tilde{\varphi} \in \omega(u_0) \cap Y_j^+ \cap C^1[0, \pi]$ . Then we can choose a sequence  $t_m \rightarrow +\infty$  such that  $u(t_m) \rightarrow \tilde{\varphi}$ , where  $u(t) = T_\beta(t, 0)u_0$ .

We note that  $u(t_m) \in \mathcal{O}$  and that for each  $t_m$  there exists a first time  $\sigma_m$  such that

$$\begin{aligned} u(t) &\in \mathcal{O} \text{ for } t_m \leq t < t_m + \sigma_m, \\ u(t_m + \sigma_m) &\in \overline{\mathcal{O}}, \\ u(t) &\notin \mathcal{O} \text{ for } t_m + \sigma_m \leq t \leq t_m + \sigma_m + T_m, \end{aligned}$$

for some  $T_m > 0$ . The sequence  $\sigma_m$  goes to  $+\infty$ . Indeed, we define  $v_m(t) = u(t + t_m)$ , which is a solution of (1) with  $\beta_m(t) = \beta(t + t_m)$ . Up to a subsequence,  $v_m(t)$  converges uniformly in compact sets to a complete bounded solution  $q(t)$  of problem (1) but replacing  $\beta(t)$  by the limit of  $\beta_m(t)$ , denoted by  $\gamma(t)$ . It follows that  $q(t) \in \omega(u_0) \subset \mathfrak{F}_j^+ \cup \{0\}$ , for all  $t \in \mathbb{R}$ , and that  $q(0) = \tilde{\varphi}$ . Restricting the system to the subintervals  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ ,  $\dots$ , and using comparison, we obtain that  $q(t) \in Y_j^+ \subset \mathcal{O}$ , for all  $t \geq 0$ . If  $\sigma_m$  is bounded, then we can assume that  $\sigma_m \rightarrow \sigma$ , and  $v_m(\sigma_m) \notin \mathcal{O}$  implies that  $q(\sigma) \notin \mathcal{O}$ , which is a contradiction.

Further, we define the sequence  $u_m(t) = u(t + t_m + \sigma_m)$ . It is clear that

$$\begin{aligned} u_m(t) &\in \mathcal{O} \text{ for } -\sigma_m \leq t < 0, \\ u_m(0) &\in \overline{\mathcal{O}}, \\ u_m(t) &\notin \mathcal{O} \text{ for } 0 \leq t \leq T_m. \end{aligned}$$

This function is a solution of problem (1) with  $\bar{\beta}_m(t) = \beta(t + t_m + \sigma_m)$ . Up to a subsequence,  $u_m(t)$  converges uniformly in compact sets to a complete bounded solution  $p(t)$  of problem (1) but replacing  $\beta(t)$  by the limit of  $\bar{\beta}_m(t)$ , denoted by  $\bar{\gamma}(t)$ . It follows that  $p(t) \in \mathfrak{F}_j^+ \cap \{0\}$  for all  $t$ , and that  $p(t) \in \bar{\mathcal{O}}$ , for all  $t \leq 0$ .

We note that there is a function  $\phi \in C^1([0, \pi])$  such that  $(-1)^{k-1}p(t) \geq (-1)^{k-1}\phi$  in  $[\frac{(k-1)\pi}{j}, \frac{k\pi}{j}]$ ,  $1 \leq k \leq j$ ,  $t \leq 0$ ,  $(-1)^{k-1}\phi_x(\frac{(k-1)\pi}{j}) > 0$ ,  $1 \leq k \leq j+1$ , and  $(-1)^{k-1}\phi(x) > 0$ , for  $x \in (\frac{(k-1)\pi}{j}, \frac{k\pi}{j})$ ,  $1 \leq k \leq j$ . This follows from the fact that  $p(\frac{k\pi}{j}, t) = 0$ ,  $0 \leq k \leq j$ ,  $p(t) \in C^1([0, \pi])$  and that  $p(t)$  lies in a  $C^1([0, \pi])$  small neighbourhood of  $Y_j^+ \cap C^1([0, \pi])$  for all  $t \leq 0$ .

Restricting the system to the subintervals  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ ,  $\dots$ , we obtain that  $p(t)$  is the unique nondegenerate solution as  $t \rightarrow -\infty$ , so that  $p(t) = \xi_{j, \bar{\gamma}}^+(t) \in Y_j^+ \subset \mathcal{O}$  for all  $t \in \mathbb{R}$ . Furthermore,  $u_m(0) \rightarrow p(0)$  and  $u_m(0) \notin \mathcal{O}$  imply that  $p(0) \notin Y_j^+$ , a contradiction. It follows that  $\varphi \in Y_j^+$ .

As  $\omega(u_0)$  is connected, we have that  $0 \notin \omega(u_0)$ , and then  $\omega(u_0) \subset Y_j^+$ .

Finally, let us prove (33). Let  $\varphi \in \omega(u_0) \subset Y_j^+$ . We can choose a sequence  $t_n \rightarrow +\infty$  such that  $u(t_n) \rightarrow \varphi$ , where  $u(t) = T_\beta(t, 0)u_0$ . Arguing as before we can prove that  $u_n(t) = u(t + t_n)$  converges to a complete bounded solution  $p(t)$  of problem (1) but replacing  $\beta(t)$  by the limit of  $\beta_n(t) = \beta(t + t_n)$ , denoted by  $\gamma(t) \in \mathcal{S}^+(\beta)$ . Since  $p(t) \in Y_j^+$  for all  $t \in \mathbb{R}$ , it is clear that  $p(t) = \xi_{j, \gamma}^+(t)$ . Hence,  $\varphi = p(0) = \xi_{j, \gamma}^+(0)$ .  $\blacksquare$

The characterization of the  $\alpha$ -limit is obtained in a similar way with suitable changes.

**Lemma 26.** *Let  $N^2 < \lambda < (N + 1)^2$ ,  $N \geq 2$ , and let  $\xi$  be a bounded global solution with initial condition  $u_0$  such that  $\alpha_\xi(u_0) \subset \mathfrak{F}_j^\pm \cup \{0\}$ ,  $2 \leq j \leq N$ . Suppose the existence of  $\varphi \in \alpha_\xi(u_0)$  such that  $\varphi \neq 0$ , then there exists  $\tilde{\varphi} \in \alpha_\xi(u_0) \cap Y_j^\pm$ .*

**Proof:** We prove the case  $\mathfrak{F}_j^+$  with  $j$  even. There exists a sequence  $t_n \rightarrow +\infty$  such that  $\xi(-t_n) \rightarrow \varphi$  in  $C^1([0, \pi])$ . Moreover,  $\varphi$  satisfies (24).

If  $j$  is odd,  $\varphi(\pi - x) = \varphi(x)$  for all  $x \in [0, \pi]$  and, consequently,  $\varphi(x) > 0$ ,  $\frac{(j-1)\pi}{j} < x < \pi$ .

Let  $v_s(t) = T_\beta(t, s)\varphi$ ,  $t \geq s$ . It is clear that  $v_s$  satisfies (25) with  $v_s^j$  and  $\varphi^j$  are as before. Also with  $S_{\beta_1}^j(t - s)\varphi^j$  as before, by comparison we have (26) for  $j$  even.

If  $j$  is odd, the last expression would be

$$0 \leq S_{\beta_2}^j(t - s)\varphi^j \leq v_s^j(t) \leq S_{\beta_1}^j(t - s)\varphi^j,$$

since  $\varphi^j(x) > 0$ , for all  $\frac{(j-1)\pi}{j} < x < \pi$ .

As before, for any  $\varepsilon > 0$ , there exists  $T(\varepsilon) > 0$  such that

$$\begin{aligned} \left\| S_{\beta_1}^1(t - s)\varphi^1 - \phi_{1, \beta_1, \frac{\pi}{j}}^+ \right\|_{L^\infty(0, \frac{\pi}{j})} &\leq \varepsilon, \\ \left\| S_{\beta_2}^1(t - s)\varphi^1 - \phi_{1, \beta_2, \frac{\pi}{j}}^+ \right\|_{L^\infty(0, \frac{\pi}{j})} &\leq \varepsilon, \end{aligned}$$

if  $t - s \geq T(\varepsilon)$ , where  $\phi_{1,\beta_1,\frac{\pi}{j}}^+$  and  $\phi_{1,\beta_2,\frac{\pi}{j}}^+$  represent the equilibria of the semigroups  $S_{\beta_1}^1$ ,  $S_{\beta_2}^1$ , respectively. We can choose  $T(\varepsilon)$  such that  $T(\varepsilon) \rightarrow +\infty$  as  $\varepsilon \rightarrow 0$ .

Hence

$$\phi_{1,\beta_2,\frac{\pi}{j}}^+(x) - \varepsilon \leq v_s^1(x, t) \leq \phi_{1,\beta_1,\frac{\pi}{j}}^+(x) + \varepsilon \quad (34)$$

if  $t - s \geq T(\varepsilon)$ . In the same way,

$$-\phi_{1,\beta_1,\frac{\pi}{j}}^+\left(\frac{2\pi}{j} - x\right) - \varepsilon \leq v_s^2(x, t) \leq -\phi_{1,\beta_2,\frac{\pi}{j}}^+\left(\frac{2\pi}{j} - x\right) + \varepsilon \quad (35)$$

⋮

$$-\phi_{1,\beta_1,\frac{\pi}{j}}^+(\pi - x) - \varepsilon \leq v_s^j(x, t) \leq -\phi_{1,\beta_2,\frac{\pi}{j}}^+(\pi - x) + \varepsilon \quad (36)$$

if  $t - s \geq T(\varepsilon)$ .

Further, we can show as before that there is a constant  $R > 0$ , which does not depend on  $t$  and  $s$  such that

$$\begin{aligned} \|\xi(t)\|_{H_0^1(0,\pi)} &\leq R, \quad \forall t \geq 0, \\ \|v_s(t)\|_{H_0^1(0,\pi)} &\leq R, \quad \forall t \geq s. \end{aligned}$$

Proceeding as before we obtain (30), and for any  $\varepsilon > 0$  and  $T(\varepsilon)$  taken from (34) there exists  $t_{n_\varepsilon} \geq 2T(\varepsilon)$  such that

$$\|\xi(-t_{n_\varepsilon}) - \varphi\|_{H_0^1} \leq \varepsilon e^{-\delta T(\varepsilon)}.$$

Hence,

$$\|\xi(-t_{n_\varepsilon} + T(\varepsilon)) - v_{-t_{n_\varepsilon}}(-t_{n_\varepsilon} + T(\varepsilon))\|_{L^\infty} \leq \varepsilon. \quad (37)$$

It follows from (34)-(37) that

$$\phi_{j,\beta_2}^+(x) - 2\varepsilon \leq \xi(x, -t_{n_\varepsilon} + T(\varepsilon)) \leq \phi_{j,\beta_1}^+(x) + 2\varepsilon,$$

for all  $x \in I_1$  and

$$\phi_{j,\beta_1}^+(x) - 2\varepsilon \leq \xi(x, -t_{n_\varepsilon} + T(\varepsilon)) \leq \phi_{j,\beta_2}^+(x) + 2\varepsilon,$$

for all  $x \in I_2$ .

We choose  $\varepsilon_m \rightarrow 0$ . Then, as  $-t_{n_{\varepsilon_m}} + T(\varepsilon_m) \leq -2T(\varepsilon_m) \rightarrow -\infty$ , passing to a subsequence

$$\xi(-t_{n_{\varepsilon_m}} + T(\varepsilon_m)) \rightarrow \tilde{\varphi} \in \mathfrak{F}_j^+ \text{ in } C^1([0, \pi]),$$

and

$$\begin{aligned} \phi_{j,\beta_2}^+(x) &\leq \tilde{\varphi}(x) \leq \phi_{j,\beta_1}^+(x), \text{ for all } x \in I_1, \\ \phi_{j,\beta_1}^+(x) &\leq \tilde{\varphi}(x) \leq \phi_{j,\beta_2}^+(x), \text{ for all } x \in I_2. \end{aligned}$$

Therefore,  $\tilde{\varphi} \in \alpha_\xi(u_0) \cap Y_j^+$ . ■

Now we are ready to characterize the  $\alpha$ -limit of points in the phase space.



**Theorem 27.** *Let  $N^2 < \lambda < (N + 1)^2$ ,  $N \geq 2$ , and let  $\xi$  be a bounded global solution with initial condition  $u_0$  such that  $\alpha_\xi(u_0) \subset \mathfrak{F}_j^\pm \cup \{0\}$ ,  $2 \leq j \leq N$ . Suppose the existence of  $\varphi \in \alpha_\xi(u_0)$  be such that  $\varphi \neq 0$ . Then  $\varphi \in Y_j^\pm$ . Hence,  $\alpha_\xi(u_0) \subset Y_j^\pm$ . Moreover,*

$$\alpha_\xi(u_0) \subset \{\xi_{j,\gamma}^\pm(t) : \gamma \in \mathcal{S}^-(\beta), t \in \mathbb{R}\}. \quad (38)$$

**Remark 28.** *As before, more is true. If  $\sigma \in \Sigma$  and a global solution  $\xi_\sigma$  of (9), with  $\beta$  replaced by  $\sigma$ ,*

$$\alpha_{\xi_\sigma}(u_0) = \{\phi \in H_0^1(0, \pi) : \exists t_n \xrightarrow{n \rightarrow \infty} \infty \text{ such that } \xi_\sigma(-t_n) \xrightarrow{n \rightarrow \infty} \phi\},$$

*then there exists  $j_\sigma \in \mathbb{N}$  such that  $\alpha_{\xi_\sigma}(u_0) \subset \{\xi_{j_\sigma,\gamma}^\pm(t) : \gamma \in \mathcal{S}^-(\sigma), t \in \mathbb{R}\}$ .*

**Proof:** We note that all sets involved in the asymptotics are compact in  $C^1([0, \pi])$  and therefore we may use indistinctly  $H^1(0, \pi)$  or  $C^1([0, \pi])$  convergence. Again, we prove the case for  $\mathfrak{F}_j^+$ . Suppose by contradiction that  $\varphi \notin Y_j^+$ . Since  $Y_j^+$  is closed, there exist disjoint open neighbourhoods  $\mathcal{O}$ ,  $\mathcal{O}_\varphi$  of  $Y_j^+$  and  $\varphi$ , respectively.

By Lemma 26 there is  $\tilde{\varphi} \in \alpha_\xi(u_0) \cap Y_j^+$ . Then we can choose sequences  $s_n, t_m \rightarrow +\infty$  such that  $\xi(-s_n) \rightarrow \varphi$ ,  $\xi(-t_m) \rightarrow \tilde{\varphi}$ .

There exists  $N > 0$  such that  $\xi(-t_m) \in \mathcal{O}$ ,  $\xi(-s_n) \in \mathcal{O}_\varphi$  for  $n, m \geq N$ . Hence, for each  $n \geq N$  there exist  $t_{m_n} > s_n$  and  $\sigma_n, T_n > 0$  such that

$$\begin{aligned} \xi(t) &\in \mathcal{O} \text{ for } -t_{m_n} \leq t < -t_{m_n} + \sigma_n, \\ \xi(-t_{m_n} + \sigma_n) &\in \overline{\mathcal{O}}, \\ \xi(t) &\notin \mathcal{O} \text{ for } -t_{m_n} + \sigma_n \leq t \leq -t_{m_n} + \sigma_n + T_n. \end{aligned}$$

The sequence  $\sigma_n$  goes to  $+\infty$ . Indeed, we define  $v_n(t) = \xi(t - t_{m_n})$ , which is a solution of (1) with  $\beta_n(t) = \beta(t - t_{m_n})$ . Up to a subsequence,  $v_n(t)$  converges uniformly in compact sets to a complete bounded solution  $q(t)$  of problem (1) but replacing  $\beta(t)$  by the limit of  $\beta_n(t)$ , denoted by  $\gamma(t)$ . It follows that  $q(t) \in \alpha_\xi(u_0) \subset \mathfrak{F}_j^+ \cup \{0\}$ , for all  $t \in \mathbb{R}$ , and that  $q(0) = \tilde{\varphi}$ .

Restricting the system to the subintervals  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ ,  $\dots$ , and using comparison, we obtain that  $q(t) \in Y_j^+ \subset \mathcal{O}$ , for all  $t \geq 0$ . If  $\sigma_n$  is bounded, then we can assume that  $\sigma_n \rightarrow \sigma$ , and then  $v_n(\sigma_n) \notin \mathcal{O}$  implies that  $q(\sigma) \notin \mathcal{O}$ , which is a contradiction.

Further, we define the sequence  $u_n(t) = \xi(t - t_{m_n} + \sigma_n)$ . It is clear that

$$\begin{aligned} u_n(t) &\in \mathcal{O} \text{ for } -\sigma_n \leq t < 0, \\ u_n(0) &\in \overline{\mathcal{O}}, \\ u_n(t) &\notin \mathcal{O} \text{ for } 0 \leq t \leq T_n. \end{aligned}$$

This function is a solution of problem (1) with  $\bar{\beta}_n(t) = \beta(t - t_{m_n} + \sigma_n)$ .

Up to a subsequence,  $u_n(t)$  converges uniformly in compact sets to a complete bounded solution  $p(t)$  of problem (1) but replacing  $\beta(t)$  by the limit of  $\bar{\beta}_n(t)$ , denoted by  $\bar{\gamma}(t)$ . It follows that  $p(t) \in \mathfrak{F}_j^+ \cup \{0\}$  for all  $t$ , and that  $p(t) \in \overline{\mathcal{O}}$ , for all  $t \leq 0$ . Restricting the system

to the subintervals  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ ,  $\dots$ , we obtain that  $p(t)$  is the unique nondegenerate solution as  $t \rightarrow -\infty$ , so that  $p(t) = \xi_{j,\gamma}^+(t) \in Y_j^+ \subset \mathcal{O}$  for all  $t \in \mathbb{R}$ .

Furthermore,  $u_n(0) \rightarrow p(0)$  implies, as  $u_n(0) \notin \mathcal{O}$ , that  $p(0) \notin Y_j^+$ , which is a contradiction. It follows that  $\varphi \in Y_j^+$ .

As  $\alpha_\xi(u_0)$  is connected, we have that  $0 \notin \alpha_\xi(u_0)$ , and then  $\alpha_\xi(u_0) \subset Y_j^+$ .

Finally, let us prove (38). Let  $\varphi \in \alpha_\xi(u_0) \subset Y_j^+$ . We can choose a sequence  $t_n \rightarrow +\infty$  such that  $\xi(-t_n) \rightarrow \varphi$ . Arguing as before we can prove that  $u_n(t) = u(t - t_n)$  converges to a complete bounded solution  $p(t)$  of problem (1) but replacing  $\beta(t)$  by the limit of  $\beta_n(t) = \beta(t - t_n)$ , denoted by  $\gamma(t) \in \mathcal{S}^-(\beta)$ .

Since  $p(t) \in Y_j^+$  for all  $t \in \mathbb{R}$ , it is clear that  $p(t) = \xi_{j,\gamma}^+(t)$ . Hence,  $\varphi = p(0) = \xi_{j,\gamma}^+(0)$ . ■

**Lemma 29.** *Let  $N^2 < \lambda < (N + 1)^2$ ,  $N \geq 1$ . Then:*

- (1) *There cannot exist a nonzero element  $\varphi \in \omega(u_0)$  such that  $\varphi \in \mathfrak{F}_j^\pm \cup \{0\}$ , with  $j \geq N + 1$ .*
- (2) *If  $\xi$  is a bounded global solution with initial condition  $u_0$ , there cannot exist a nonzero element  $\varphi \in \alpha_\xi(u_0)$  such that  $\varphi \in \mathfrak{F}_j^\pm \cup \{0\}$ , with  $j \geq N + 1$ .*

**Proof:** Suppose by contradiction that such element exists in  $\omega(u_0)$ . Then

$$u(t_n) = T_\beta(t_n, 0)u_0 \rightarrow \varphi \text{ in } C^1([0, \pi]), \text{ as } n \rightarrow +\infty,$$

for some sequence  $t_n \rightarrow +\infty$ .

We prove the case where  $\varphi \in \mathfrak{F}_j^+$  with  $j$  even. Thus,  $\varphi$  satisfies (24).

Let  $u_n(t) = u(t + t_n)$ ,  $t \geq -t_n$ ,  $\beta_n(t) = \beta(t + t_n)$ ,  $t \in \mathbb{R}$ . Passing to a subsequence we obtain that  $u_n \rightarrow p$ ,  $\beta_n \rightarrow \gamma$  uniformly on bounded subsets of  $\mathbb{R}$ , where  $p(\cdot)$  is a global bounded solution of the problem

$$\begin{cases} p_t = p_{xx} + \lambda p - \gamma(t)p^3, & 0 < x < \pi, \quad t \in \mathbb{R}, \\ p(t, 0) = p(t, \pi) = 0, \\ p(0, x) = \varphi(x). \end{cases} \quad (39)$$

From the uniqueness of solutions we have

$$0 = p(t, \frac{\pi}{j}) = p(t, \frac{2\pi}{j}) = \dots = p(t, \frac{(j-1)\pi}{j}) \quad \forall t \geq 0. \quad (40)$$

Let us prove this fact for  $t < 0$  as well.

For any  $t > 0$  take  $t_{n_0} \geq t$ . Then  $u(-t)$  is well defined for  $n \geq n_0$  and  $u_n(-t) = u(t_n - t) \rightarrow p(-t)$ . Therefore,  $p(-t) \in \omega(u_0)$ , so  $p(-t) \in \mathfrak{F}_j^+$  and then (40) is true. The equation (39) can be considered separately in each interval  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ ,  $\dots$

Consider for instance the first interval. The operator  $-\frac{\partial^2}{\partial x^2}$  with Dirichlet boundary conditions in the interval  $[0, \frac{\pi}{j}]$  has the eigenvalues  $\lambda_n = j^2 n^2$ ,  $n \in \mathbb{N}$ . Since  $\lambda \in (N^2, (N + 1)^2)$  and  $j \geq N + 1$ , every solution tends to 0 [11]. Thus, the unique global bounded solution to problem (39) in  $[0, \frac{\pi}{j}]$  is the null solution, which contradicts the fact that  $p(t)$  is a global bounded nonzero solution. ■

**Lemma 30.** *Let  $\lambda > 1$ . If  $\xi(\cdot)$  is a bounded global solution through  $u_0$  such that  $\omega_\xi(u_0), \alpha_\xi(u_0) \subset \Xi_j = \{\xi_{j,\gamma}^\pm(t) : \gamma \in \mathcal{S}^+(\beta) \cup \mathcal{S}^-(\beta), t \in \mathbb{R}\}$ , then for all  $a \in [-\pi, \pi]$  we have:*

- (1) *Either  $\rho_a \xi(t) - \xi(t) = 0$  or  $\rho_a \xi(t) - \xi(t) \in \Psi$  for any  $t \in \mathbb{R}$ , where  $\xi : \mathbb{R} \rightarrow H_P^1(-\pi, \pi)$  is considered as the odd periodic extension of itself;*
- (2)  *$\rho_a \xi(t) = \xi(t)$  if and only if  $\xi_x(t, a) = 0$ .*

**Proof:** Throughout this proof we consider every function defined in  $[-\pi, \pi]$  by taking its odd extension.

Consider two sequences  $t_n, t_m \rightarrow +\infty$  such that

$$\begin{aligned} \xi(t_n) &\rightarrow \varphi^+ \in \Xi_j, \\ \xi(-t_m) &\rightarrow \varphi^- \in \Xi_j. \end{aligned} \tag{41}$$

For any  $a \in [-\pi, \pi]$  the function  $w(t) = \rho_a \xi(t) - \xi(t)$  is a global solution of the linear problem

$$\begin{cases} w_t = w_{xx} + r(t, x)w, & x \in (-\pi, \pi), t \in \mathbb{R}, \\ w(-\pi, t) = w(\pi, t), & w_x(-\pi, t) = w_x(\pi, t), t \in \mathbb{R}, \\ w(0, x) = (\rho_a \xi(0) - \xi(0))(x), & x \in (-\pi, \pi), \end{cases}$$

where  $r(t, x) = \lambda - \beta(t) \left[ \frac{(\rho_a \xi)^3 - \xi^3}{\rho_a \xi - \xi} \right]$ . For simplicity of notation we omit the dependence of  $w$  on  $a$ . First, take  $a$  such that  $\rho_a \varphi^+ - \varphi^+ \neq 0$  and  $\rho_a \varphi^- - \varphi^- \neq 0$ . In such a case the lap numbers of these functions satisfy:

$$\ell(\rho_a \varphi^+ - \varphi^+) = \ell(\rho_a \varphi^- - \varphi^-) < \infty.$$

Indeed, for any  $\varphi \in \mathfrak{F}_j(-\frac{\pi}{2j}) \cup \mathfrak{F}_j(\frac{\pi}{2j})$  such that  $f_a = \rho_a \varphi - \varphi \neq 0$  and  $\rho_a \varphi \notin \mathfrak{F}_j(-\frac{\pi}{2j}) \cup \mathfrak{F}_j(\frac{\pi}{2j})$  the zeros of the function  $f_a$  are located at the points

$$-\pi + a, -\frac{(j-1)\pi}{j} + a, \dots, -\frac{\pi}{j} + a, a, \frac{\pi}{j} + a, \dots, \frac{(j-1)\pi}{j} + a,$$

that is, there are  $2j$  zeros. If  $f_a \neq 0$  and  $\rho_a \varphi \in \mathfrak{F}_j(-\frac{\pi}{2j}) \cup \mathfrak{F}_j(\frac{\pi}{2j})$ , then the zeros are located at

$$-\pi, -\frac{(j-1)\pi}{j}, \dots, -\frac{\pi}{j}, 0, \frac{\pi}{j}, \dots, \frac{(j-1)\pi}{j}, \pi,$$

that is, there are  $2j + 1$  zeros.

Since  $w(t_n) \rightarrow \rho_a \varphi^+ - \varphi^+$ ,  $w(-t_m) \rightarrow \rho_a \varphi^- - \varphi^-$ , we obtain that

$$\begin{aligned} \ell(w(t)) &= \ell(\rho_a \varphi^+ - \varphi^+) \quad \forall t \geq t_1, \\ \ell(w(t)) &= \ell(\rho_a \varphi^- - \varphi^-) \quad \forall t \leq -t_2, \end{aligned}$$

Hence, choosing an arbitrary  $t^* \geq \max\{t_1, t_2\}$  we obtain

$$\ell(w(t^*)) = \ell(w(-t^*)).$$

Using again point (iii) of Lemma 6 it follows that  $w(t) \in \Psi$  for all  $t \in \mathbb{R}$ .

Consider now  $a$  such that  $\rho_a \varphi^+ - \varphi^+ = \rho_a \varphi^- - \varphi^- = 0$ . Then

$$w(t) \rightarrow 0 \text{ as } t \rightarrow \pm\infty.$$

But we proved in Section 4, before of the Theorem 22, that there cannot exist homoclinic solutions. Then  $w(t) \equiv 0$  and the first statement is proved. The second one is an easy consequence of the first one.  $\blacksquare$

**Lemma 31.** *Let  $\lambda > 1$ . If  $\xi(\cdot)$  is a bounded global solution through  $u_0$  for  $\beta(\cdot)$  such that  $\omega_\xi(u_0), \alpha_\xi(u_0) \subset \Xi_j = \{\xi_{j,\gamma}^\pm(t) : \gamma \in \mathcal{S}^+(\beta) \cup \mathcal{S}^-(\beta), t \in \mathbb{R}\}$ ,  $j \geq 1$ , then either  $\xi = \xi_{j,\beta}^+$  or  $\xi = \xi_{j,\beta}^-$ .*

**Proof:** Let  $t \in \mathbb{R}$  be arbitrary. We consider the odd extension of  $\xi(t)$  over  $[-\pi, \pi]$  and choose  $x^* \in [-\pi, \pi]$  such that  $\xi_x(t, x^*) \neq 0$ . For example, let  $\xi_x(t, x^*) > 0$ . Let  $I = (x_0, x_1)$  be the maximal interval containing  $x^*$  where  $\xi_x(t, x)$  for any  $x \in I$ .  $\xi(t, \cdot) \in C^1([-\pi, \pi])$  implies that  $\xi_x(t, x_0) = \xi_x(t, x_1) = 0$ . Then using Lemma 30 we get  $\rho_{x_0} \xi(t) = \rho_{x_1} \xi(t) = \xi(t)$ . Therefore, there exists  $m \in \mathbb{N}$  such that  $x_1 - x_0 = \frac{\pi}{m}$ , which gives that either  $\xi(t) \in \mathfrak{F}_m(\frac{\pi}{2m})$  or  $\xi(t) \in \mathfrak{F}_m(-\frac{\pi}{2m})$ .

Consider two sequences  $t_n, t_m \rightarrow +\infty$  such that

$$\begin{aligned} \xi(t_n) &\rightarrow \varphi^+ \in \Xi_j, \\ \xi(-t_m) &\rightarrow \varphi^- \in \Xi_j. \end{aligned} \tag{42}$$

From (42) we deduce that

$$\begin{aligned} \ell(\xi(t)) &= \ell(\varphi^+) \quad \forall t \geq t_1, \\ \ell(\xi(t)) &= \ell(\varphi^-) \quad \forall t \leq -t_2, \end{aligned}$$

Hence, choosing an arbitrary  $t^* \geq \max\{t_1, t_2\}$  we obtain

$$\ell(\xi(t^*)) = \ell(\xi(-t^*)) = 2j + 1.$$

Therefore, since the number of zeros of  $\xi(t)$  is non-increasing,  $m = j$  for any  $t \in \mathbb{R}$ . Also, we observe that as a consequence of Lemma 6 the function  $\xi(t)$  cannot jump from  $\mathfrak{F}_j(\frac{\pi}{2j})$  into  $\mathfrak{F}_j(-\frac{\pi}{2j})$  or viceversa, that is, either  $\xi(t) \in \mathfrak{F}_j(\frac{\pi}{2j})$ , for all  $t \in \mathbb{R}$ , or  $\xi(t) \in \mathfrak{F}_j(-\frac{\pi}{2j})$ , for all  $t \in \mathbb{R}$ .

Now we can consider the solution  $\xi(t)$  separately in each subinterval  $[0, \frac{\pi}{j}]$ ,  $[\frac{\pi}{j}, \frac{2\pi}{j}]$ , ... Since  $\alpha_\xi(u_0) \subset \Xi_j$ ,  $\xi(t)$  restricted to the interval  $[0, \frac{\pi}{j}]$  is non-degenerate at  $-\infty$ . Moreover, by Lemma 29 we know that  $\lambda > j^2$ . As the eigenvalues of the operator  $-\partial_x^2$  on  $[0, \frac{\pi}{j}]$  are  $\lambda_{n, \frac{\pi}{j}} = n^2 j^2$ , we obtain that  $\lambda > \lambda_{1, \frac{\pi}{j}}$ . If for example  $\xi(t) \in \mathfrak{F}_j(-\frac{\pi}{2j})$ , this implies that  $\xi(t) |_{[0, \frac{\pi}{j}]} = \xi_{1, \beta, \frac{\pi}{j}}^+$ , that is, it coincides with the unique positive non-degenerate solution of problem (1) on the interval  $[0, \frac{\pi}{j}]$ . Repeating the same argument in each interval we finally prove the equality  $\xi = \xi_{j,\beta}^+$ . If  $\xi(t) \in \mathfrak{F}_j(\frac{\pi}{2j})$ , in the same way we have  $\xi = \xi_{j,\beta}^-$ .  $\blacksquare$

## 6. CONNECTIONS BETWEEN THE ZERO AND THE “NON-AUTONOMOUS EQUILIBRIA”

In this section, for  $N^2 < \lambda < (N+1)^2$ ,  $N \geq 1$ , we will prove the existence of global bounded solutions,  $\zeta_j^\pm(t)$ , which connect the trivial solution  $\xi_0 \equiv 0$  to the non-autonomous equilibria  $\xi_j^\pm(t)$ ,  $1 \leq j \leq N$ . We remember that an analogous fact also occurs in the autonomous case. This makes us believe that the solutions  $\xi_j^\pm(t)$  play, for the non-autonomous problem, the same role that the equilibria  $\phi_{j,\beta_i}^\pm$  in the autonomous case.

Resuming our goal, we observe that, due to the symmetries of the equation, it is enough to verify the existence of  $\zeta_j^+(t)$ , so the solution  $\zeta_j^-(t) := -\zeta_j^+(t)$  will connect  $\xi_0$  to  $\xi_j^-(t) = -\xi_j^+(t)$ .

Further, we will see that it is sufficient to show the existence of a positive solution  $\zeta_1^+(t)$ ,  $t \in \mathbb{R}$ , in the unstable manifold of zero, that the existence of the others solutions  $\zeta_j^+(t)$ ,  $2 \leq j \leq N$ , will be a consequence. The global solutions  $\zeta_j^+(t)$  will get out from zero and come in the strip  $Y_j^+$ , for  $t$  sufficiently large. It follows from the Theorem 11 that  $\zeta_j^+(t) - \xi_j^+(t) \rightarrow 0$ , as  $t \rightarrow +\infty$ .

**Theorem 32.** *If  $N^2 < \lambda < (N+1)^2$ , for each  $1 \leq j \leq N$  there are global solutions  $\zeta_j^\pm$  of (1) such that  $0 \xrightarrow{t \rightarrow -\infty} \zeta_j^\pm(t) \xrightarrow{t \rightarrow +\infty} \xi_j^\pm$  where  $\xi_j^\pm$  are the non-autonomous equilibria.*

The proof of this theorem is a consequence of the symmetry properties of solutions and of the following proposition.

**Proposition 33.** *If  $1 < \lambda \neq n^2$ ,  $n \geq 2$ , then the solution  $\zeta(t) := \zeta_1^+(t)$ , given by Theorem 22, is positive for any  $t \in \mathbb{R}$ .*

**Proof:** If  $1 < \lambda < 4$ , consider the space  $X_1$  generated by the first eigenfunction  $\sin(x)$ . The local unstable manifold of zero can be obtained as the graph of a map  $\sigma : \mathbb{R} \times X_1 \rightarrow H_0^1(0, \pi)$ , i.e.,

$$W_{loc}^u(0) \subset \{(t, u_1, u_2) : u_2 = \sigma(t, u_1), t \in \mathbb{R}, u_1 \in X_1\}.$$

Furthermore, the graph of  $\sigma(t, \cdot)$  is tangent to the subspace  $X_1$  at the origin. Equivalently, given  $\tau \in \mathbb{R}$  and  $s_0 > 0$  sufficiently small, we have that the global solution which constitutes the unstable manifold of the zero can be written as  $\zeta(t) = \zeta_1(t) + \sigma(t, \zeta_1(t)) = \zeta_1(t) + \zeta_2(t)$ ,  $t \leq \tau$ , where  $\zeta(\tau) = s_0 \sin(x) + \sigma(\tau, s_0 \sin(x))$  and

$$\frac{\|\zeta_2(t)\|_{H_0^1}}{\|\zeta_1(t)\|_{H_0^1}} = \frac{\|\sigma(t, \zeta_1(t))\|_{H_0^1}}{\|\zeta_1(t)\|_{H_0^1}} \rightarrow 0, \text{ as } t \rightarrow -\infty.$$

Hence

$$\zeta(t, x) = s_1(t) \sin(x) + \sum_{n \geq 2} s_n(t) \sin(nx)$$

where  $s_1(t) > 0$  and  $\zeta(t, x) > 0$  for all  $0 < x < \pi$  and  $t \in \mathbb{R}$ , as consequence of Lemma 6. For the general case the proof is similar with the only difference that there is a local invariant manifold, given as a graph over  $X_1$  and tangent to it at the origin, within the higher dimensional unstable manifold.  $\blacksquare$

The proof of Theorem 32 now follows from symmetry properties of solutions, as will be sketched in the case  $4 < \lambda < 9$ . In this case,  $N = 2$  and there are four non-autonomous equilibria  $\xi_1^\pm(t)$  and  $\xi_2^\pm(t)$ ,  $t \in \mathbb{R}$ . Remember that, for any  $t \in \mathbb{R}$ ,

$$\begin{aligned}\xi_2^+(t, \frac{\pi}{2}) &= 0 \\ \xi_2^+(t, x) &> 0, \quad 0 < x < \frac{\pi}{2} \\ \xi_2^+(t, \pi - x) &= -\xi_2^+(t, x) = \xi_2^-(t, x), \quad 0 \leq x \leq \pi\end{aligned}$$

So,  $\xi_2^+(t)$  restricted to the interval  $[0, \frac{\pi}{2}]$  is a global solution of the non-autonomous equation, with homogeneous Dirichlet conditions on the interval  $[0, \frac{\pi}{2}]$ , and the operator  $-\partial_x^2$ , under these conditions, has eigenvalues  $\lambda_{n, \frac{\pi}{2}} = 4n^2$ ,  $n = 1, 2, \dots$ . Thus,  $4 = \lambda_{1, \frac{\pi}{2}} < \lambda \leq 9 < \lambda_{2, \frac{\pi}{2}}$ , this is,  $\lambda$  is between the first and second eigenvalue of the operator  $-\partial_x^2$  on the interval  $[0, \pi/2]$  and, therefore, we can apply the Proposition 33 to ensure the existence of a positive global bounded solution on the interval  $(0, \frac{\pi}{2})$ , which we will call  $\zeta_{1, \frac{\pi}{2}}^+(t)$  and such that

$$\zeta_{1, \frac{\pi}{2}}^+(-t) \rightarrow 0 \quad \text{and} \quad \zeta_{1, \frac{\pi}{2}}^+(t) - \xi_2^+(t) \Big|_{[0, \frac{\pi}{2}]} \rightarrow 0, \quad \text{as } t \rightarrow \infty.$$

Note that, when we have the autonomous problem on the interval  $[0, \frac{\pi}{2}]$ , the equilibrium solutions are given by

$$\phi_{k, \beta, \frac{\pi}{2}}^\pm = \phi_{2k, \beta}^\pm \Big|_{[0, \frac{\pi}{2}]}.$$

Consequently, the non-autonomous equilibria of the non-autonomous equation on the interval  $[0, \frac{\pi}{2}]$  are given by  $\xi_{k, \frac{\pi}{2}}^\pm(t) = \xi_{2k}^\pm(t) \Big|_{[0, \frac{\pi}{2}]}$ . Thus, the function defined on  $\mathbb{R} \times [0, \pi]$  by

$$\zeta_2^+(t, x) = \begin{cases} \zeta_{1, \frac{\pi}{2}}^+(t, x), & 0 \leq x \leq \frac{\pi}{2} \\ -\zeta_{1, \frac{\pi}{2}}^+(t, \pi - x), & \frac{\pi}{2} < x \leq \pi \end{cases}$$

is a solution of equation (1) which goes out of zero and tends to the solution  $\xi_2^+(t)$ . Also, the zeroes of  $\zeta_2^+(t)$  are fixed:  $0$ ,  $\pi/2$  and  $\pi$ .  $\blacksquare$

## 7. FINAL COMMENTS AND FURTHER PROBLEMS

As a consequence of the results in this paper, we have completely characterised the skew product attractor for (1). If  $N^2 < \lambda < (N + 1)^2$ ,  $\Sigma = cl_{C(\mathbb{R}, \mathbb{R})} \{\beta(\cdot + t) := \theta_t \beta : t \in \mathbb{R}^+\}$ ,  $\mathcal{S}^+(\beta)$  (with respect to the metric of the uniform convergence in bounded subsets of  $\mathbb{R}^+$ ) is the global attractor of  $\{\theta_t : t \in \mathbb{R}^+\}$  in  $\Sigma$ , and defining  $\Xi_j^\pm = \{(\xi_{j, \gamma}^\pm(t), \gamma) : \gamma \in \mathcal{S}^+(\beta), t \in \mathbb{R}\}$ ,  $1 \leq j \leq N$ ,  $\Xi_{N+1}^+ = \{0\}$ , then  $\{\mathbb{Z}_1, \dots, \mathbb{Z}_{2N+1}\}$ , where  $\mathbb{Z}_{2j-1} = \Xi_j^+$ ,  $1 \leq j \leq N + 1$ ,  $\mathbb{Z}_{2j} = \Xi_j^-$ ,  $1 \leq j \leq N$ , is a Morse decomposition for the skew product semiflow  $\Pi(t) : H_0^1(0, \pi) \times \Sigma \rightarrow H_0^1(0, \pi) \times \Sigma$  given by  $\Pi(t)(u_0, \gamma) = (T_\gamma(t, 0)u_0, \theta_t \gamma)$  and  $T_\gamma(t, 0)u_0$  is the solution at time  $t$  of (1) with  $\beta$  replaced by  $\gamma$  that have started at  $u_0$ . In fact Theorem 24 and Theorem 27 ensure that all global bounded solutions either connect two of the invariant sets  $\mathbb{Z}_j$  or are contained in one of them, these sets are invariant, closed and disjoint and Lemma 31 ensures the non-existence of homoclinic structures, so they are maximal invariant.

This gives a non-trivial example of skew-product semigroup with gradient structure. We end this paper by expressing our belief that there are yet some needed work for this very nice example.

**Conjecture 34.** *Concerning (1) and for  $N^2 < \lambda < (N + 1)^2$ , we conjecture that:*

- (1) *for each  $j = 1, 2, \dots, N$ , the non-autonomous equilibria  $\xi_j^\pm(t)$  are connected to all the equilibria  $\xi_k^\pm(t)$ ,  $k = 1, \dots, j - 1$ ,*
- (2) *the pullback attractor  $\mathcal{A}(t) = \overline{W^u(0)(t)} = \bigcup_{j=1}^N W^u(\xi_j^\pm)(t) \cup W^u(0)(t)$ ,*
- (3) *the non-autonomous equilibria  $\xi_j^\pm$ ,  $1 \leq j \leq N$ , are hyperbolic,*
- (4) *the unstable and stable manifolds of the non-autonomous equilibria intersect transversally along a connection.*

*All of these are true for the autonomous case  $\beta(t) \equiv \text{const}$ .*

We also comment that the exact form of the function  $f(t, u) = \lambda u - \beta(t)u^3$  was not essential for the proofs. In fact it is possible to generalize the results by taking an odd (w.r.t.  $u$ ) smooth function  $f(t, u)$  with non-increasing partial derivative in the positive semiaxis of  $u$  satisfying some extra suitable assumptions.

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