# Near infrared spectroscopy to quantify the temperature reached in burned soils: importance of calibration set variability

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- 4 Andrea Pérez-Bejarano, César Guerrero\*
- 5 Departamento de Agroquímica y Medio Ambiente, Universidad Miguel Hernández de Elche,
- 6 Avenida de la Universidad, s/n. E-03202 (Elche, Alicante), Spain
- 7 \* Corresponding author e-mail: cesar.guerrero@umh.es

https://doi.org/10.1016/j.geoderma.2018.03.038

#### 9 Abstract

10 Near infrared (NIR) spectroscopy is a potential technique for the quantification of the 11 temperature reached (TR) in burned soils. Due to spatial variation, inaccurate predictions can 12 result from calibrating a model with heat-sensitive compounds that are not present in the samples of the burned area. Therefore, we investigated how to develop robust models. The 13 14 progressive augmentation of the model size successively enhanced the precision, while the 15 increase of the calibration set's variability gradually improved the accuracy through decreases 16 in bias. The increase in calibration set variability enhances the probability of calibration using 17 only the most common heat-sensitive compounds, facilitating reliable predictions of TR 18 regardless of the spatial variation. On the other hand, models calibrated with heated aliquots 19 from a unique sample, even from a composite sample, should be totally avoided because, regardless of their apparent utility, they are prone to inaccurate predictions. 20

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Keywords: wildfire effects; heat-sensitive compounds; fire intensity; NIR spectroscopy;
 robust models; postfire assessment

#### 25 **1. Introduction**

26 Fire is an important process in several terrestrial ecosystems throughout the world. The immediate fire effects on soil properties are mainly related with the fire severity, where 27 28 the TR in soil is a crucial parameter (Neary et al., 1999; Vieira et al., 2015). Despite its 29 importance, soil heating or TR in soil is a parameter neither measured by post-fire assessment 30 teams, such as the Burned Area Emergency Response (BAER), nor by researchers, mostly 31 due to the lack of a standard, easy, cheap, rapid and accurate method (Fernández and Vega et 32 al., 2016; Parson et al., 2010). Some of the existing methods are subjective (Vega et al., 2013), complex and expensive (Merino et al., 2014, 2015; Neris et al., 2014; Santín et al., 33 34 2016; Verdes and Salgado, 2011), have moderate accuracy (Melguiades and Thomaz, 2016; 35 Pérez and Moreno, 1998), or need several indicators to derive wide classes or levels, such as 36 the soil burn severity index (Jain et al. 2008; Morgan et al., 2014; Parsons et al., 2010). To fill 37 this gap, Guerrero et al. (2007) proposed the use of near infrared (NIR) reflectance 38 spectroscopy as a potential technique for the quantification of TR in burned soils. The 39 approach suggested by Guerrero et al. (2007) is based on two basic premises: i) the NIR 40 spectrum of a soil sample contains information about the organic matter (quantity and 41 quality), clay (quantity and quality), minerals (such as carbonates and iron oxides) and water 42 content (Nocita et al., 2015; Stenberg et al., 2010; Viscarra Rossel and Behrens, 2010; 43 Viscarra Rossel et al., 2016); and ii) these components have different sensitivity to thermal shocks (DeBano et al., 1998; Knicker, 2007; Neary et al., 1999; Raison, 1979; Santín et al., 44 45 2016). Consequently, each temperature causes a group of changes in soil properties, leaving a 46 typical fingerprint in the NIR spectra (Guerrero et al., 2007; Lugassi et al., 2010, 2014). 47 Therefore, in this approach, the NIR spectrum is used as an integrative measurement of soil 48 properties, which can be modified by the temperature (i.e., heat-sensitive compounds). 49 However, as in other empirical approaches using NIR, a model is needed to relate the TR with 50 its fingerprint in the NIR spectrum. For the development of such a model, soil samples are 51 heated at known temperatures, which are used as standards (i.e., as calibration set) to calibrate 52 the model. Hence, once the model has been calibrated (using chemometrics), the TR can be 53 efficiently measured in very large numbers of samples because the NIR spectrum of a soil 54 sample is obtained in seconds, easily and without the need of chemical reagents (avoiding the 55 generation of toxic wastes in laboratories). To obtain high resolution maps of TR, which can 56 serve to locate prior intervention areas in burned sites, it is necessary to measure this 57 parameter (TR) in hundreds or even thousands of soil samples (Parsons et al., 2010; Jain et 58 al., 2012). Additionally, the TR can provide relevant information for a better description of 59 fire effects at very fine scale and to enhance the understanding of fire ecology, such as for the 60 *in situ* analysis of fire-mediated germination patterns (Keeley et al., 2008; Lentile et al., 2007; 61 Pausas et al., 2003).

62 The assessment of TR using NIR in a wildfire-affected area implies the collection of 63 burned samples in those target points where TR is needed (for instance, for mapping) but also 64 the collection of unburned soil samples to calibrate the model (Guerrero et al., 2007). As a consequence of the short-scale natural variation of the soil properties, a sample located at an 65 66 arbitrary position (regardless if burned or not) may present its particular composition of heat-67 sensitive compounds, and this composition can differ with respect to other samples located at 68 different positions. Two issues are imposed by the presence of spatial variation, which, 69 through its design, a suitable model should overcome. The first is the impossibility of 70 constructing a model using samples with similar composition to those to be predicted (except 71 for planned events, such as prescribed fires). This limitation may exert a negative effect on 72 prediction accuracy because the model might be fitted with heat-sensitive compounds that 73 might not be the same as those present in samples located in the wildfire-affected area. The second implication is that the wildfire will affect samples with different heat-sensitive 74

75 compounds; therefore, the model should be able to properly predict samples with different 76 spectral responses. For these reasons, we found it interesting to investigate how we can 77 develop robust models able to overcome such problems linked to the natural short-scale 78 spatial variation. The calibration set characteristics play an important role in model 79 performance. If the calibration set is composed by several different samples, only common 80 heat-sensitive compounds (i.e., common spectral changes) should be used to fit the model. 81 Consequently, accurate predictions are expected in those samples where the common heat-82 sensitive compounds are present. Therefore, the higher the variability included, the larger the 83 commonality of the predictors, and the wider the model applicability. Thus, we hypothesised 84 that increasing the calibration set variability should result in a progressive improvement of the 85 predictions accuracy. To test the hypothesis, different model types were constructed and were 86 then used to predict TR. The quality of the predictions was analysed with the coefficient of 87 determination (R<sup>2</sup>), root mean square error of prediction (RMSEP), bias, standard error of 88 prediction (SEP) and ratio of performance to deviance (RPD). The study was repeated in three 89 sites located in Alicante province (Spain).

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#### 91 **2. Materials and methods**

#### 92 **2.1. Sites**

This study was performed using forest soil samples collected in three different sites located in Alicante province (Spain): Aitana, Maigmó and Pinoso. These sites were approximately 30 km apart from each other. The vegetation of these sites is composed of *Pinus halepensis* Mill., as the dominant species in the tree stratum, the understory vegetation being dominated by species such as *Quercus coccifera* L., *Rosmarinus officinalis* L., *Juniperus oxycedrus* L., *Stipa tenacissima* L. and *Brachypodium retusum* (Pers.) P. Beauv. The main characteristics of the three study sites are given in Table 1.

#### 101 **2.2. Sample collection**

102 In each site, five microplots (1 m<sup>2</sup>) were randomly selected in a small area of 103 approximately 2500 m<sup>2</sup>. The minimum and maximum distance between microplots was 5 and 104 50 m, respectively. In each microplot, a topsoil sample (0–5 cm depth) of approximately three 105 kilograms was collected. These microplot-scale soil samples (hereafter MPS) collected in 106 Aitana site were identified as A1, A2, A3, A4 and A5. Those MPS collected in Maigmó were 107 identified as M1, M2, M3, M4 and M5. Similarly, the MPS collected in the Pinoso site were 108 identified as P1, P2, P3, P4 and P5. In each site, an additional "composite sample" was 109 obtained by bulking several subsamples collected at different points across the whole area; 110 these composite samples were identified as Ac, Mc and Pc for Aitana, Maigmó and Pinoso, 111 respectively.

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#### 113 **2.3. Obtaining standards (laboratory-heated samples)**

114 Once in the laboratory, the 18 samples (15 MPS + 3 composite samples) were air-115 dried for two weeks (at 25°C) and sieved to <2 mm. These 18 samples were the "sources of 116 standards." Twenty-four aliquots of approximately 10 g were obtained from each sample. 117 These aliquots were heated in a muffle furnace at 24 different combinations of temperatures 118 (70°C, 100°C, 200°C, 300°C, 400°C, 500°C, 600°C and 700°C) and exposure times (10, 20 119 and 40 minutes). In this way, a set of 24 heated aliquots was obtained from each MPS sample 120 (also from each composite sample). A total of 432 heated aliquots (24 heating combinations 121 per sample  $\times$  6 samples [5 MPS + 1 composite]  $\times$  3 sites) were obtained, which were used as 122 standards for the models (see section 2.6). Each aliquot was introduced in the pre-heated 123 furnace as a 1-mm layer in order to guarantee homogeneous heating (Guerrero, 2010). During 124 the heating, to register the exact TR, the temperature of the aliquot was monitored and

125 recorded every 10 seconds using a thermocouple (Guerrero et al., 2007).

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#### 127 **2.4. Obtaining the NIR spectra of the heated aliquots**

128 Once cooled, the NIR spectra (12000-3800 cm<sup>-1</sup>) of the 432 heated aliquots were 129 obtained using a FT-NIR diffuse reflectance spectrophotometer (MPA Bruker, Germany). 130 Further details about the scanning can be found in Guerrero et al. (2007). The x-scale of the 131 spectra was transformed to nanometres (834–2630 nm) and resampled to 1 nm. All the spectra 132 were transformed to absorbance, and then were pre-processed with the first derivative 133 (Savitzky-Golay, 25 points) and vector normalization- (Standard Normal Variate). The 134 absorbance spectra of the 432 heated aliquots can be found as supplementary content. The 135 OPUS spectroscopic software (OPUS version 6.5 software; BrukerOptik GmbH, Ettlingen, 136 Germany) was used for spectral pre-processing.

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#### 138 **2.5. Regression method**

We have constructed different types of models relating the TR with the NIR spectra (see section 2.6). In all cases, the aliquots heated in laboratory (see section 2.3) were used as the "standards" to calibrate the models. All models were calibrated with partial least squares (PLS) as the multivariate regression method, using the OPUS spectroscopic software (OPUS version 6.5 software; BrukerOptik GmbH, Ettlingen, Germany). The leave-one-out crossvalidation was used to decide the number of PLS vectors to be included in models on the basis of the root mean square error of cross-validation (RMSECV) in a scree plot.

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#### 147 **2.6. Model types**

148 Ten different model types were constructed in this study. The models differed in the 149 size of the calibration set, and in the variability included. In this study, the *size* is the number of standards (i.e., number of heated aliquots) used to calibrate the model, and the *variability* is the number of different samples used as source(s) of heated aliquots. The "*label*" of the model type provides information on the size and the variability (Table 2). For instance, the label 72s–v3 denotes a model calibrated with 72 heated aliquots (72 standards) which were obtained from three different samples (three MPS have been used as sources of heated aliquots). At each site, ten different model types were constructed as follows (Table 2):

1) Models 24s-v1: these models were calibrated with 24 heated aliquots (24 standards)
obtained from one MPS. In each site, five models were constructed (models #1 to #5; Table
2).

159 2) Models 48s-v2: these models were calibrated with 48 heated aliquots (48 standards)
160 obtained from two MPS. In each site, ten models were constructed (#6 to #15; Table 2).

161 3) Models 72s–v3: these models were calibrated with 72 heated aliquots (72 standards) 162 obtained from three MPS. In each site, ten models were constructed (#16 to #25; Table 2).

163 4) Models 96s-v4: models calibrated with 96 heated aliquots (96 standards) obtained from

164 four MPS. In each site, five models were constructed (#26 to #30; Table 2).

165 5) Models 24s-v2: models were calibrated with 24 standards selected from two MPS. In each
166 site, ten models were constructed (#31 to #40; Table 2).

167 6) Models 24s-v3: models calibrated with 24 standards selected from three MPS. In each site,
168 ten models were constructed (#41 to #50; Table 2).

169 7) Models 24s–v4: models calibrated with 24 standards selected from four MPS. In each site,

170 five models were constructed (#51 to #55; Table 2).

171 8) Models 48s–v4: models calibrated with 48 standards selected from four MPS. In each site,

172 five models were constructed (#56 to #60; Table 2).

173 9) Models 72s–v4: models calibrated with 72 standards selected from four MPS. In each site,

174 five models were constructed (#61 to #65; Table 2).

175 10) Models 24s–v1c: models calibrated with the 24 heated aliquots (24 standards) obtained
176 from the composite sample. In each site, one model was constructed (model #66; Table 2).

177

178 A total of 66 models were constructed for each site (Table 2). The description of the 179 MPS used for each of model can be found in Tables S1, S2 and S3 for Aitana, Maigmó and 180 Pinoso site, respectively. In some cases (those marked with an asterisk in Table 2), the 181 required model size is smaller than the total number of available spectra. In these cases, a 182 principal component analysis (PCA) was performed with the NIR spectra of the available 183 spectra. Then, the Kennard–Stone algorithm was used to select the desired number of spectra. 184 The selected spectra were those whose scores were evenly distributed across the space defined 185 by the first three principal components. For instance, a model 48s-v4 (as an example) is a 186 model calibrated with 48 heated aliquots (48 standards), which were obtained from four 187 different samples. Since four MPS were used as sources of heated aliquots, a total of 96 188 spectra were available. Thus, a PCA was conducted with the 96 spectra, but only 48 spectra 189 were used to calibrate the model. These 48 spectra were selected with the Kennard-Stone 190 algorithm. The minimum variability would have been reached when all the standards used to 191 calibrate the model had been derived from a unique MPS sample (such as in models 24s-v1). 192 The maximum variability would have been reached when each standard included in the 193 calibration set has been obtained from a different sample (i.e., only one heated aliquot per 194 MPS sample). Such maximum variability has not been evaluated in this study, as the 195 maximum number of different MPS used as sources of heated aliquots was four (Table 2). 196

197 **2.7 Predictions and data analysis** 

198 The previously described models were used to predict the TR. Several prediction 199 performance parameters were computed to analyse the quality of the predictions:

determination coefficient (R<sup>2</sup>), root mean square error of prediction (RMSEP), standard error
of prediction (SEP), bias and ratio of performance to deviance (RPD)., which is the standard
deviation of the prediction set divided by the RMSEP (Stenberg et al., 2010; Bellon-Maurel et
al., 2010). The RMSEP was used to measure the accuracy, and the SEP was used to measure
the precision (Bellon-Maurel et al., 2010; Næs et al., 2002).

These prediction performance parameters were computed using predictions obtained in 24 heated aliquots from a MPS not used to calibrate the model. Therefore, the setup provided independent predictions, similar to a MPS-hold-out cross-validation or leave-one-MPS-out cross-validation. In this way (although with some limitations), the approach tried to mimic a realistic scenario, where the samples used to construct the models, and those to be predicted, are irremediably located on different plots, since the former would be located in the unburned area and the latter in the burned area.

A complete identification of the MPS used as prediction set in each case can be found in Tables S1, S2 and S3 (indicated by a cross in the right side of the tables). At each site, the 66 models were used 145 times, and therefore, 145 different values of  $R^2$ , RMSEP, SEP, bias and RPD were obtained for each site (see supplementary Tables S1, S2 and S3). In each site, those values of  $R^2$ , RMSEP, SEP, bias and RPD obtained with the same model type were considered as replicates and were therefore averaged by model type. Since the bias can be positive or negative, in order to get a meaningful average, we used its absolute value.

The prediction performance parameters, once averaged by model type, were arranged in four groups, to facilitate the analysis of the results (Table 2). To facilitate the description and meaning of groups and its comparisons, some results included in the Group *i* have also been included in Group *ii* (24s–v1) and Group *iii* (96s–v4), as follows:

223 – Group *i*: this group contains results from models that differed in both the variability
224 (number of MPS used as source of standards) and model size (number of standards included

in the calibration set; Table 2).

226 – Group *ii*: this group contains results from models that differed only in variability because
227 the model size was constant (Table 2).

228 – Group *iii*: this group contains results from models that differed only in size because the
229 variability was constant (Table 2).

- Group *iv*: this group contains results obtained from the model calibrated with the composite
sample (Table 2).

232

**3. Results** 

#### **3.1.** Changes in soils and NIR spectra

235 The colour of the soil samples was modified as a consequence of heating. Due to the 236 carbonization of the soil organic matter, a progressive darkening was observed when the 237 exposure temperature was increased (up 450°C). As a consequence of such darkening, the 238 baseline of the NIR spectra (absorbance) was increased, especially at shorter wavelengths 239 close to the visible spectral range (see supplementary figures Figs. S1-S27). The dark colour 240 abruptly disappeared when the temperature was above  $\sim$ 450°C, mainly due to the combustion 241 of the organic compounds. The transformation of some iron oxides into hematite was an additional change in the NIR spectra (approximately 890-892 nm; Fig. 1a) and in soil colour, 242 243 causing sample reddening (Ketterings and Bigham, 2000; Torrent and Barrón, 2002; Terefe et 244 al., 2005; Ulery and Graham, 1993), which was especially evident at high temperatures 245 (>500°C).

While changes at naked eye are the basis of visual estimators of fire severity (Pérez and Moreno, 1998; Vega et al., 2013), the NIR spectra can provide further additional information about the changes in soil properties, since NIR spectroscopy has been used to quantify a large number of soil properties, which in turn are affected by temperature (Santín et 250 al., 2016; Stenberg et al., 2010). Other important changes in the NIR spectra were those 251 observed in features located approximately 1414 nm (Fig. 1b), 1920 nm (Fig. 1c) and 2210 252 nm (Fig. 1d), related with adsorbed and free water -OH (at 1414 nm and 1920 nm) and clay -253 OH (at 1414 nm and 2210 nm). These spectral features tended to decrease with the increase in 254 TR, mostly due to dehydration and dehydroxylation processes but, as other authors have 255 observed (Guerrero et al., 2007; Lugassi et al., 2010, 2014), also due to the combustion of the 256 organic matter. A detailed discussion of changes in soil properties can be found in Certini 257 (2005), and a detailed discussion of changes in the NIR spectra can be found in Lugassi et al. 258 (2014).

259

#### 260 **3.2.** Calibrations

All the above mentioned changes, and others, facilitated the calibration of models to 261 262 quantify TR. Therefore, we could surmise that several heat-sensitive compounds were 263 involved in the calibrations. Despite the large differences between calibration sets, the 198 264 models showed high R<sup>2</sup> values (ranging from 0.92 to 0.99), and low RMSECV (RMSE of 265 cross-validation) values, ranging from 21°C to 58°C (data not shown). These values of R<sup>2</sup> and 266 RMSECV obtained in the cross-validations were similar to those from previous studies 267 (Guerrero et al., 2007; Guerrero, 2010; Maia et al., 2012). Fig. 2 shows the cross-validation 268 results of four randomly selected models, as a representative illustration of the 198 models 269 calibrated for this study. These results indicate the great capacity of NIR spectroscopy to 270 measure some of the soil properties that change with temperature (i.e., heat-sensitive 271 compounds). Apparently, they all seem to be useful to predict TR.

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#### **3.3. Predictions**

274 The 435 values of RMSEP obtained with the different 198 models ranged from 14.3°C 275 to 99.7°C. Three out of these 435 cases are shown in Fig. 3, as representative predictions 276 obtained with three different types of models. Fig. 4 shows the RMSEP obtained with the 277 models included in Group *i*, which were models constructed with all the heated aliquots from 278 one (24s-v1), two (48s-v2), three (72s-v3) and four MPS (96s-v4). The grey bars in Fig. 4 279 represent the RMSEP values averaged by model type (separately for each study site), whereas 280 the dots and triangles are used to show the minimum and the maximum RMSEP observed for 281 each model type, respectively. For the Aitana site (Fig. 4a), the RMSEP of predictions 282 obtained with the 20 models labelled as 24s-v1 ranged from 31.2°C to 60.2°C, with an 283 average of 40.9°C. In Maigmó (Fig. 4b), these 20 RMSEP values were slightly lower than in 284 Aitana, and ranged from 18.6°C to 44.9°C, with an average of 31.2°C. In Pinoso, the 20 285 RMSEP values obtained with models 24s-v1 were slightly higher than the other study sites, 286 and ranged from 24.8°C to 99.7°C, with an average of 49.4°C (Fig. 4c). Regardless of the site 287 considered, the progressive increase of the calibration set variability (i.e., number of MPS) 288 and size (i.e., number of standards) coincided with the gradual decline of RMSEP (Fig. 4). 289 Other prediction performance parameters (such as R<sup>2</sup>, SEP, etc.) also indicated an 290 improvement of predictions with the increase in variability and size of the calibration sets. 291 Since similar patterns were observed for the three study sites (data not shown), the values of 292 the three sites were pooled together, and averaged by model type (Fig. 5). Once averaged by 293 model type, the RMSEP dropped progressively from 40.5°C in models 24s-v1 to 29.6°C in 294 models 96s-v4 (Fig. 5a). A similar gradual change was also observed for the other prediction 295 performance parameters, such as the R<sup>2</sup>, SEP, bias and RPD (Fig. 5). For instance, RPD was 296 6.2 in models 24s-v1, and it increased to RPD 8.1 in models 96s-v4 (Fig. 5b). The 297 improvement of the predictions might be attributed to the higher variability included in the 298 calibration set, which was four times higher in models 96s-v4 than in models 24s-v1,

299 although such improvement could also be attributed to the calibration set size, which was also 300 four times higher. To discern whether the calibration set variability affects accuracy, we 301 constructed another group of models (Group *ii*) where the number of MPS varied while the 302 model size was constant at 24 in all cases (Table 2). For the average of the three sites, the 303 RMSEP decreased from 40.5°C in 24s-v1 to 35.7°C in 24s-v4, confirming the positive effect 304 of the increase in the calibration set variability on accuracy (Fig. 5a). The decrease in bias 305 from 15.1°C in 24s-v1 to 8.2°C in 24s-v4 was the main contributor to the RMSEP reduction 306 observed in Group *ii*, because SEP only decreased by 2°C (Fig. 5a). The increase of the 307 calibration set size was the additional factor explaining the progressive decrease of RMSEP 308 observed in the models from Group *i* (Fig. 4 and Fig. 5). Nevertheless, the size effect was clearly observed when the RMSEP was compared for the models included in Group *iii* (Table 309 310 2), where the size was the unique difference between them. For that group of models (Group 311 *iii*), the decrease in RMSEP from 35.7°C in 24s–v4 to 29.6°C in 96s–v4 is mostly attributable 312 to an improvement in precision (lower SEP) because the bias remained fairly stable (only 313 decreased less than 1°C), whereas the SEP decreased by approximately 6.5°C (Fig. 5a).

The less accurate predictions were obtained with models constructed with the heated aliquots from the composite sample (model type labelled 24s–v1c). On average across the three sites, the bias was 22°C, explaining a substantial portion of the RMSEP (47.6°C). These errors were clearly higher than those obtained with models of similar size, such as models 24s–v4. Furthermore, these errors were also higher than those obtained with models having a similar degree of variability (i.e., same number of MPS) such as the models 24s–v1.

The  $R^2$  values shown in Fig. 5b should be interpreted with some caution since they are somehow affected by the approach used to compute results. In this study, the prediction performance parameters (such as  $R^2$ ) have been computed using the predictions obtained in sets of 24 heated aliquots, which belong to the same MPS (see tables S1, S2 and S3). 324 Consequently, the prediction performance parameters have been obtained at MPS scale (and 325 were then averaged by model type). At MPS scale, biased predictions do not affect the R<sup>2</sup>. 326 This can be seen in Figs. 6a to 6d. Fig. 6a shows TR predictions obtained in 24 heated 327 aliquots from one randomly selected MPS. The cases shown in Figs. 6b, 6c and 6d are the same predictions shown in Fig. 6a but after the manipulation of the predicted values in order 328 329 to have differently biased predictions. In Fig. 6b, 100°C was added to each predicted value 330 shown in Fig. 6a; in Fig. 6c, 50°C was subtracted from each predicted value; in Fig. 6d, each 331 predicted value was multiplied by 0.5 (divided by two). In all cases (Figs. 6a to 6d), the R<sup>2</sup> is 332 the same ( $R^2=0.99$ ) regardless of the bias (due to slope or due to offset). If we compute the 333 mean value of these four cases, the R<sup>2</sup> is 0.99 despite the different bias in each case. However, 334 under realistic conditions, the evaluation of the burned area implies making predictions from 335 samples collected at several positions. If the model predictions have a different bias 336 depending on the sample composition (which may vary with position), then we must expect 337 patterns as those shown in Figs. 6e and 6f, where due to differences in bias, such 338 heterogeneity in the prediction set results in much lower R<sup>2</sup> values. Figs. 6e and 6f contain a 339 random selection of 24 cases from those shown in Figs. 6a to 6d, and simulate two prediction 340 sets composed by samples with different bias. In these cases (Figs. 6e and 6f), the different 341 values of bias (by slope or by offset) were negatively affecting the R<sup>2</sup>. In these examples, the 342 values were  $R^2=0.72$  and  $R^2=0.63$ , resulting in a mean  $R^2=0.675$ , which clearly contrasts with 343 the R<sup>2</sup>=0.99, obtained as a mean of four values of R<sup>2</sup> obtained at MPS scale. To have a direct 344 measure of such discrepancy, we also computed the R<sup>2</sup> after pooling predictions from the 345 different MPS, mimicking prediction sets composed by samples that can have a different bias. 346 For that, predictions from two illustrative model types were selected: 1) predictions obtained 347 with models 24s-v1c (Fig. 6g), which was the worst option in terms of bias (highest bias in 348 Fig. 5a); 2) predictions obtained with models 96s-v4 (Fig. 6h), which was the best option in

terms of bias (lowest bias in Fig. 5a). A small discrepancy between the approaches was 349 350 observed when the predictions were obtained with models 96s-v4 (low bias; Fig. 6h), with 351  $R^2=0.981$  when the  $R^2$  was computed once predictions were pooled (Fig. 6h) and  $R^2=0.985$ when R<sup>2</sup> was computed as an average of values obtained at MPS scale (Fig. 5b). As expected, 352 353 a larger discrepancy was observed when predictions were obtained with models 24s-v1c 354 (high bias; Fig. 6g), with  $R^2=0.954$  when predictions were pooled (Fig. 6g) while  $R^2=0.971$ 355 when it was computed as an average of the five cases (Fig. 5b). Regardless of the approach, 356 the R<sup>2</sup> values were high because the bias values were not very large—clearly lower than those 357 shown in Figs. 6b or 6d. Moreover, the wide range included in the prediction sets also contributed to the high R<sup>2</sup> values (Davies and Fearn, 2006). Despite their absolute values, the 358 359 R<sup>2</sup> values shown in Fig. 5b were in concordance with other performance parameters and 360 therefore support the same conclusions about the importance of the calibration set size and 361 variability. It is worth highlighting that other prediction performance parameters, such as RMSEP, in contrast to R<sup>2</sup>, are minimally affected by the way results were computed because 362 363 RMSEP is a parameter related to the residuals, given that it is linked to each predicted value.

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#### 365 **4. Discussion**

366 Soil contains heat-sensitive compounds, which can be can be measured with NIR 367 spectroscopy, allowing the development of models to quantify the TR. However, the 368 composition of soils, including its heat-sensitive compounds, varies with space, even at short 369 distances. Therefore, each sample might possess its particular composition of heat-sensitive 370 compounds and that can exert an important effect on prediction reliability. We have observed 371 an effect of the calibration set variability on accuracy, which highlights important issues about 372 how adequate calibration sets should be developed to manage the spatial variation effects. To 373 facilitate the explanation of mechanisms connecting the calibration set variability with the

374 robustness of predictions, we discuss the results with the help of a schematic representation of 375 spectra, models and predictions (Fig. 7), since a direct analysis of the b-coefficients of PLS-376 models is not straightforward. Such simplification enhances the illustration and understanding 377 of mechanisms involved. A schematic representation of the NIR spectra of three MPS and one composite sample is shown in Fig. 7a: a black circle denotes the wavelength ( $\lambda$ ) where the 378 379 spectral feature of a heat-sensitive compound appears, and a grey circle denotes its absence in 380 the sample. Fig. 7b contains the schematic representation of seven models calibrated with 381 aliquots from samples shown in Fig. 7a. The black arrows denote those wavelengths included 382 in the models, which depend on the heat-sensitive compounds present in the calibration set. 383 Thus, a black arrow at  $\lambda_n$  denotes where the *b*-coefficient has a large contribution in 384 predicting TR. As consequence of heating, some compounds trend to disappear, decreasing 385 their spectral features as temperature increases, such those related with -OH loss by 386 dehydroxilation (and organic matter combustion, in general). However, other compounds can 387 be generated by heating, such as certain iron oxides (as hematite), and thus they appear as 388 new spectral features as the temperature increases. Consequently, the sign of *b*-coefficients 389 could be negative or positive, being denoted as down or up arrows, respectively.

390 The first case shown at the top of Fig. 7b (case i) represents an example of a model 391 whose calibration set had minimal variability (variability=1) because all the heated aliquots 392 included in the calibration set had been obtained from the same sample (MPS1). Therefore, 393 this is a sample-specific model. This model had been fitted only on the basis on changes 394 produced in the heat-sensitive compounds present in that sample (those located at  $\lambda_3$  and  $\lambda_4$ ; 395 see black dots in Fig. 7a). As consequence, this model will predict TR using two b-396 coefficients located at  $\lambda_3$  and  $\lambda_4$  (see black arrows at  $\lambda_3$  and  $\lambda_4$  in Fig. 7b, case *i*). The second 397 and third models shown in Fig. 7b (cases *ii* and *iii*) are also examples of models constructed 398 with heated aliquots obtained from a unique sample (MPS2 for case *ii*; MPS3 for case *iii*),

399 therefore, these are also sample-specific models (variability=1). Since each MPS sample would have had a different composition (Fig. 7a), we would therefore expect that the models 400 401 were based on the different wavelengths from each sample, as shown in Fig. 7b for cases *i* to 402 *iii*. The models shown in cases *iv* and *v* of Figure 7b represent models constructed with heated 403 aliquots from two MPS samples (i.e., variability=2). While these two MPS samples might 404 have had a different composition, only the common changes (common heat-sensitive 405 compounds) were used to fit the model. Similarly, when three MPS were used as the source of 406 the aliquots (Fig. 7b, case vii), three different sets of heat-sensitive compounds might have 407 been be present in the calibration set, but the model was fitted only with the common changes 408 (i.e., common heat-sensitive compounds, as in that located at  $\lambda_3$ ). Thus, the increase in the 409 number of MPS used as sources of standards (i.e., the increase of the calibration set 410 variability) forces the calibration of models on the basis of the most common heat-sensitive 411 compounds. It is worth mentioning that a model based on the most common heat-sensitive 412 compounds could also have been obtained with only two samples (MPS1 and MPS2), as 413 illustrated in Fig. 7b iv. However, two samples might not be enough, as in the case shown in 414 Fig. 7b v, explaining why a gradual augmentation in calibration set variability progressively 415 increases the chance to fit a model based only on the most common heat-sensitive 416 compounds. Fig. 7b clearly illustrates that several different models can be obtained with the 417 samples from a given site. Apparently, all of them seem to be useful since they relate NIR and 418 TR; however, most of them would have restricted applicability.

Figs. 7c to 7f represent the predictions obtained in MPS1, MPS2 and MPS3 from four different models. The model used in each case is described by its *b*-coefficients (black arrows). When the model is used in each of the three samples, a pass or fail symbol is added to denote unbiased or biased predictions, respectively. We can expect accurate predictions when the sample used for prediction has the same heat-sensitive compounds as the predictors 424 in the model. For instance, Model 1 (shown in Fig. 7c) predicts TR using the changes in the 425 heat-sensitive compounds located at  $\lambda_1$  and  $\lambda_3$  (black arrows). Thus, accurate predictions are 426 expected when Model 1 is used for sample MPS2 (Fig. 7c). Conversely, biased predictions 427 are expected when Model 1 (Fig. 7c) is used for samples MPS1 and MPS3 because these 428 samples lack the heat-sensitive compound located at  $\lambda_1$ . Since the contribution of  $\lambda_1$  to the prediction is missing, then we would expect negatively biased predictions in MPS1 and MPS3 429 430 (a 50% underestimation assuming a similar contribution from both heat-sensitive 431 compounds). However, Figs. 7d and 7e show how we can obtain accurate predictions from 432 samples that contain a different composition of heat-sensitive compounds. This is the case for 433 Model 2 when used for sample MPS2 (Fig. 7d). The presence of an additional heat-sensitive 434 compound in MPS2 (the one located at  $\lambda_3$ ) does not distort the predictions obtained with 435 Model 2, because this model does not consider the changes in wavelength  $\lambda_3$  when predicting 436 TR. Whereas Model 2 can predict without bias only for one sample (MPS2; Fig. 7d), Model 3 437 can do so for three samples (Fig. 7e). The reason is that Model 3 (Fig. 7e) predicts TR with 438 the heat-sensitive compound located at  $\lambda_3$ , which is the most common. Therefore, a model 439 such as this can predict without bias in a wide number of different samples. The unique 440 requirement is the presence of that heat-sensitive compound, which most of the samples 441 would contain since it is a very common compound. Therefore, the better identification of the 442 most common heat-sensitive compounds might be the link which explains why the 443 progressive augmentation of the calibration set variability successively improved prediction 444 accuracy.

In agreement with this supposed mechanism, a high variability in the calibration set would allow obtaining reliable (not biased) predictions in dissimilar samples as well (Fig. 7e), overcoming the restriction of predictions based only on similar samples. Consequently, it increases the spatial applicability of the models, since they would not only be restricted to 449 adjacent sites, where similar samples would be expected. A high variability does not 450 completely exclude the possibility of bias in predictions, although this would be expected 451 only for those samples where the most common heat-sensitive compound is absent. Especially 452 if the collection of unburned samples used as sources of aliquots is sufficiently representative 453 of the unburned area, this should be a minor proportion of the total samples. Additionally, in 454 turn, the unburned area would also have to be representative of the burned area. Under these 455 conditions, a calibration set constituted by a number of different samples could be considered 456 a site-specific model.

457 This theory also explains why the most biased predictions were obtained with the 24s-458 v1c models. For an arbitrary study site, all the heated aliquots used for the model 24s-v1c 459 were obtained from the composite sample; therefore, it can considered as a sample-specific 460 model. The composite sample, as a bulked mixture of several subsamples, should contain 461 most of the heat-sensitive compounds (Fig. 7a). As a consequence, all these compounds 462 would probably have contributed to the calibration of the model (Fig. 7b, case vi). Thus, this 463 model (such as Model 4, Fig. 7f) should produce biased predictions in those samples where at 464 least one of the heat-sensitive compounds is absent. The observed results fully support this 465 theory, since the most biased predictions were obtained with models 24s-v1c. Clearly, any 466 attempt to include site variability through the use of a composite sample is not recommended. 467 A composite sample would describe a representative fingerprint of heat on soil properties, but 468 this would not be useful to obtain robust models because they would be prone to produce 469 biased predictions in many samples. Therefore, the development of models using a composite 470 sample should be totally avoided. This result might explain why, when using predictions from 471 this type of model, some authors did not observe the expected relationships between changes 472 in soil properties and temperature (Maia et al., 2012; Mataix-Solera et al., 2013).

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The number of heated aliquots (model size) also had an impact on the accuracy.

Whereas the number of MPS decreased the bias, the number of heated aliquots increased the 474 475 accuracy through the improvement of precision (i.e., the decrease of SEP). A high number of 476 heated aliquots in the calibration set of a model should better describe the heat effect on the 477 heat-sensitive compounds. Consequently, it enhances the quantification of the *b*-coefficient 478 values of the implied heat-sensitive compounds, improving the calibration of models, which is 479 a basic premise for obtaining accurate predictions. Clearly, the best option is the development 480 of models with a large number of heated aliquots from several samples. The importance of the 481 variability and the number of samples used for calibration has been already demonstrated by 482 many authors with other soil properties (Brown, 2007; Shepherd and Walsh, 2002).

483 Very accurate predictions have been obtained in this study, even when the worst 484 models were used, which were those calibrated with low variability (such as those 24s–v1 and 485 24s-v1c). This is probably due to the very small size of the area (2500 m<sup>2</sup>) where the five 486 MPS were sampled in each site. Thus, these samples were separated by short distances (less 487 than 50 m; see section 2.2), and consequently, they were quite similar to each other. Hence, it 488 is reasonable to expect a substantially lower accuracy when this model type is used under 489 realistic conditions, where larger distances (and larger dissimilarity) may exist between 490 burned and unburned samples. Thus, we should also expect a larger difference in the accuracy 491 of predictions obtained with models calibrated with low and high variability.

This study provides valuable information about factors affecting the reliability of models. Most of these results are very interesting because they have a direct impact on how to design the calibration set, which even affects the collection of the unburned samples to be used as sources of aliquots, and the built of a calibration set for site-specific models. Nevertheless, more studies are needed to understand the limitations and capabilities of NIR spectroscopy to predict TR and how to construct models in a more efficient way. For instance, the transferability of models across sites and scales is an important issue because it may

499 facilitate a faster development of models, which may substantially decrease the time needed 500 to evaluate wildfire-affected sites. Dedicated experiments should be designed for that 501 purpose, especially because some of the results obtained in previous studies (Guerrero et al., 502 2007) have suggested its feasibility. These authors constructed several hundred different 503 models using soil samples from four sites. These models differed from each other in their 504 basic characteristics such as rank (number of PLS vectors), spectral range included and pre-505 processing (normalization, derivatives, etc.). Then, a few of these models (but not all of them) 506 were able to predict TR in samples from a new independent site (as left-out site). Similar 507 results were observed when repeated with each of the five sites as a prediction set. Thus, the 508 results undoubtedly demonstrated the presence of common heat-sensitive compounds in soils 509 from five different sites in Alicante province (southeast Spain). Anyway, it should be noted 510 that although independent sites were used in Guerrero et al. (2007), the identification of the 511 best model (correct design) was based on the results from the leave-out site and thus not an 512 independent selection. Therefore, these results should not be considered as the expected 513 accuracy for a true independent prediction, since hundreds of models (varying in its design) 514 can be fitted, but not all of them would produce accurate predictions. Nonetheless, the results 515 shown in Guerrero et al. (2007) were useful to illustrate the potential of NIR to predict TR. 516 For that reason, the results of the present study have an additional value because they provide 517 the first measure of the accuracy obtained by independent predictions.

The improvement of the ability to obtain more realistic standards (mimicking naturally burned soils) is also a step needed in the way towards the use of NIR as a thermometer. For instance, the convenience to built models including standards generated under different oxygen limitation levels should be also evaluated in future studies. Oxygen limitation level during heating could be an important variable since it controls the type of thermal changes in soil organic matter during heating (from combustion to pyrolysis), and it may represent one of

524 the most important difference between naturally burned soils respect to the artificial 525 standards, which act as their surrogates to calibrate models.

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#### 527 **5.** Conclusions

The models to predict TR through NIR are calibrated with standards generated by 528 529 heating soil samples at known temperatures under controlled conditions in the laboratory. 530 When all the standards are obtained from a unique sample, the variability of the calibration set 531 is minimal. In this case, the model is calibrated with the heat-sensitive compounds present in 532 the sample. However, due to spatial variation, these compounds can differ in samples located 533 at distant positions. Therefore, each sample-specific model may be based on a particular, 534 unique and singular group of predictors, which are virtually different in each model. Thereby, 535 we can fit a very large number of different models, although the applicability might be 536 restricted to similar samples. On the other hand, when the calibration set includes standards 537 from different samples (i.e., high variability), then the model is fitted only with the common 538 heat-sensitive compounds. If the number of different samples is high enough to cover the site 539 variability, then the model can be considered site-specific. In contrast with a sample-specific 540 model, a site-specific model can predict without bias in a large number of different samples, 541 being able to overcome the negative effects of the spatial variation. Despite their apparent 542 utility, models calibrated with low variability or those based on a composite sample should be 543 avoided since they might be not useful. In addition, the model size (number of standards) is 544 also an important factor to consider during the calibration of models. While the increase in 545 variability improved prediction accuracy (lower RMSEP) by a decrease in bias, the increase 546 of model size did so through the enhancement of precision (i.e., decrease of SEP).

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684 **Figures captions** 

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Figure 1. Four spectral regions (a-d) displaying in detail the NIR spectra (absorbance after first
derivative) of a soil sample, before heating (black colour, thin line) and after exposition to 700°C for
20 minutes (red colour, thick line).

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Figure 2. Measured *vs* predicted TR values obtained during the cross-validation (leave-one-out) of four randomly selected models: a) model #5 (type 24s–v1) from Maigmó site; b) model #61 (type 72s– v4) from Aitana site; c) model #27 (type 96s–v4) from Pinoso site; d) model #57 (type 48s–v4) from Aitana site. See more details in Table 2, and supplementary content (Tables S1, S2 and S3). The black line denotes the 1:1, and the grey dashed line is the linear regression.

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**Figure 3**. Representative predictions obtained with three models: a) predictions obtained in A5 with model #1, which was constructed with 24 heated aliquots from A1 and is therefore labelled 24s–v1; b) predictions obtained in A5 with model #35, which was constructed with 24 heated aliquots from A2 and A3 and is therefore labelled 24s–v2; c) predictions obtained in A2 with the model #62, which was constructed with 72 heated aliquots from A1, A3, A4 and A5 and is therefore labelled 72–v4. The black line denotes the 1:1, and the grey dashed line is the linear regression.

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Figure 4. Values of the root mean square error of prediction (RMSEP) obtained with different model types in Aitana site (a), Maigmo site (b) and Pinoso site (c). The grey bars denote the mean value, whereas dots and triangles are used for minimum and maximum values respectively. Please note that the scale of the y-axis varies for each site.

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**Figure 5.** Prediction performance parameters obtained with the different model types (results of the three studies were averaged): a) RMSEP, SEP and bias; b) R<sup>2</sup> and RPD. The first number in the model type label (24, 48, 72 or 96) denotes the number of heated aliquots (=number of spectra) used to calibrate the model (i.e., model size). The number after –v denotes the variability, which is the number of micro-plot scale soil (MPS) sample(s) used as source(s) of aliquots.

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**Figure 6.** Measured *vs* predicted TR values: a) original data; b) 100°C was added to original predicted values; c) 50°C was subtracted to original predicted values; d) original predicted values divided by two ( $\times 0.5$ ); e) random selection of 24 cases shown in panels a to d; f) random selection of 24 cases shown in panels a to d; g) predictions obtained with models 24s–v1c (three sites pooled); h) predictions obtained with models 96s–v4 (three sites pooled).

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727 Figure 7. Panel 7a contains the schematic representation of four NIR spectra (stacked), where black 728 and grey circles denote presence and absence, respectively, of the heat-sensitive compounds at four 729 wavelengths. The black arrows in panel 7b represent wavelengths selected in seven stacked models 730 (relevant *b*-coefficients), depending on the composition of heat-sensitive compounds present in the 731 MPS used as source(s) of aliquots. The sign of b-coefficients could be negative or positive, being 732 denoted as down or up arrow, respectively. The panels 7c to 7f represents predictions obtained with 733 four models (Models 1 to 4) applied to MPS1, MPS2 and MPS3, and the fail and pass symbols denote 734 biased and unbiased predictions, respectively.

### Highlights:

- NIR used to predict the temperature reached with different model types
- The higher the variability included in the calibration set, the better the accuracy
- High variability helps to fit with commonest thermosensible compounds
- Model size improves accuracy by enhancing precision (SEP)
- Calibration set should avoid low variability and composite sample

## Near infrared spectroscopy to quantify the temperature reached in burned soils: importance of calibration set variability

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- 4 Andrea Pérez-Bejarano, César Guerrero\*
- 5 Departamento de Agroquímica y Medio Ambiente, Universidad Miguel Hernández de Elche,
- 6 Avenida de la Universidad, s/n. E-03202 (Elche, Alicante), Spain
- 7 \* Corresponding author e-mail: cesar.guerrero@umh.es

#### 9 Abstract

10 Near infrared (NIR) spectroscopy is a potential technique for the quantification of the 11 temperature reached (TR) in burned soils. Due to spatial variation, inaccurate predictions can 12 result from calibrating a model with heat-sensitive compounds that are not present in the samples of the burned area. Therefore, we investigated how to develop robust models. The 13 14 progressive augmentation of the model size successively enhanced the precision, while the 15 increase of the calibration set's variability gradually improved the accuracy through decreases 16 in bias. The increase in calibration set variability enhances the probability of calibration using 17 only the most common heat-sensitive compounds, facilitating reliable predictions of TR 18 regardless of the spatial variation. On the other hand, models calibrated with heated aliquots 19 from a unique sample, even from a composite sample, should be totally avoided because, regardless of their apparent utility, they are prone to inaccurate predictions. 20

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Keywords: wildfire effects; heat-sensitive compounds; fire intensity; NIR spectroscopy;
 robust models; postfire assessment

#### 25 **1. Introduction**

26 Fire is an important process in several terrestrial ecosystems throughout the world. The immediate fire effects on soil properties are mainly related with the fire severity, where 27 28 the TR in soil is a crucial parameter (Neary et al., 1999; Vieira et al., 2015). Despite its 29 importance, soil heating or TR in soil is a parameter neither measured by post-fire assessment 30 teams, such as the Burned Area Emergency Response (BAER), nor by researchers, mostly 31 due to the lack of a standard, easy, cheap, rapid and accurate method (Fernández and Vega et 32 al., 2016; Parson et al., 2010). Some of the existing methods are subjective (Vega et al., 2013), complex and expensive (Merino et al., 2014, 2015; Neris et al., 2014; Santín et al., 33 34 2016; Verdes and Salgado, 2011), have moderate accuracy (Melguiades and Thomaz, 2016; 35 Pérez and Moreno, 1998), or need several indicators to derive wide classes or levels, such as 36 the soil burn severity index (Jain et al. 2008; Morgan et al., 2014; Parsons et al., 2010). To fill 37 this gap, Guerrero et al. (2007) proposed the use of near infrared (NIR) reflectance 38 spectroscopy as a potential technique for the quantification of TR in burned soils. The 39 approach suggested by Guerrero et al. (2007) is based on two basic premises: i) the NIR 40 spectrum of a soil sample contains information about the organic matter (quantity and 41 quality), clay (quantity and quality), minerals (such as carbonates and iron oxides) and water 42 content (Nocita et al., 2015; Stenberg et al., 2010; Viscarra Rossel and Behrens, 2010; 43 Viscarra Rossel et al., 2016); and ii) these components have different sensitivity to thermal shocks (DeBano et al., 1998; Knicker, 2007; Neary et al., 1999; Raison, 1979; Santín et al., 44 45 2016). Consequently, each temperature causes a group of changes in soil properties, leaving a 46 typical fingerprint in the NIR spectra (Guerrero et al., 2007; Lugassi et al., 2010, 2014). 47 Therefore, in this approach, the NIR spectrum is used as an integrative measurement of soil 48 properties, which can be modified by the temperature (i.e., heat-sensitive compounds). 49 However, as in other empirical approaches using NIR, a model is needed to relate the TR with 50 its fingerprint in the NIR spectrum. For the development of such a model, soil samples are 51 heated at known temperatures, which are used as standards (i.e., as calibration set) to calibrate 52 the model. Hence, once the model has been calibrated (using chemometrics), the TR can be 53 efficiently measured in very large numbers of samples because the NIR spectrum of a soil 54 sample is obtained in seconds, easily and without the need of chemical reagents (avoiding the 55 generation of toxic wastes in laboratories). To obtain high resolution maps of TR, which can 56 serve to locate prior intervention areas in burned sites, it is necessary to measure this 57 parameter (TR) in hundreds or even thousands of soil samples (Parsons et al., 2010; Jain et 58 al., 2012). Additionally, the TR can provide relevant information for a better description of 59 fire effects at very fine scale and to enhance the understanding of fire ecology, such as for the 60 *in situ* analysis of fire-mediated germination patterns (Keeley et al., 2008; Lentile et al., 2007; 61 Pausas et al., 2003).

62 The assessment of TR using NIR in a wildfire-affected area implies the collection of 63 burned samples in those target points where TR is needed (for instance, for mapping) but also 64 the collection of unburned soil samples to calibrate the model (Guerrero et al., 2007). As a consequence of the short-scale natural variation of the soil properties, a sample located at an 65 66 arbitrary position (regardless if burned or not) may present its particular composition of heat-67 sensitive compounds, and this composition can differ with respect to other samples located at 68 different positions. Two issues are imposed by the presence of spatial variation, which, 69 through its design, a suitable model should overcome. The first is the impossibility of 70 constructing a model using samples with similar composition to those to be predicted (except 71 for planned events, such as prescribed fires). This limitation may exert a negative effect on 72 prediction accuracy because the model might be fitted with heat-sensitive compounds that 73 might not be the same as those present in samples located in the wildfire-affected area. The second implication is that the wildfire will affect samples with different heat-sensitive 74
75 compounds; therefore, the model should be able to properly predict samples with different 76 spectral responses. For these reasons, we found it interesting to investigate how we can 77 develop robust models able to overcome such problems linked to the natural short-scale 78 spatial variation. The calibration set characteristics play an important role in model 79 performance. If the calibration set is composed by several different samples, only common 80 heat-sensitive compounds (i.e., common spectral changes) should be used to fit the model. 81 Consequently, accurate predictions are expected in those samples where the common heat-82 sensitive compounds are present. Therefore, the higher the variability included, the larger the 83 commonality of the predictors, and the wider the model applicability. Thus, we hypothesised 84 that increasing the calibration set variability should result in a progressive improvement of the 85 predictions accuracy. To test the hypothesis, different model types were constructed and were 86 then used to predict TR.

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# 88 2. Materials and methods

## 89 **2.1. Sites**

This study was performed using forest soil samples collected in three different sites located in Alicante province (Spain): Aitana, Maigmó and Pinoso. These sites were approximately 30 km apart from each other. The vegetation of these sites is composed of *Pinus halepensis* Mill., as the dominant species in the tree stratum, the understory vegetation being dominated by species such as *Quercus coccifera* L., *Rosmarinus officinalis* L., *Juniperus oxycedrus* L., *Stipa tenacissima* L. and *Brachypodium retusum* (Pers.) P. Beauv. The main characteristics of the three study sites are given in Table 1.

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### 98 **2.2. Sample collection**

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In each site, five microplots (1 m<sup>2</sup>) were randomly selected in a small area of

100 approximately 2500 m<sup>2</sup>. The minimum and maximum distance between microplots was 5 and 101 50 m, respectively. In each microplot, a topsoil sample (0-5 cm depth) of approximately three 102 kilograms was collected. These microplot-scale soil samples (hereafter MPS) collected in 103 Aitana site were identified as A1, A2, A3, A4 and A5. Those MPS collected in Maigmó were 104 identified as M1, M2, M3, M4 and M5. Similarly, the MPS collected in the Pinoso site were 105 identified as P1, P2, P3, P4 and P5. In each site, an additional "composite sample" was 106 obtained by bulking several subsamples collected at different points across the whole area; 107 these composite samples were identified as Ac, Mc and Pc for Aitana, Maigmó and Pinoso, 108 respectively.

109

# 110 **2.3. Obtaining standards (laboratory-heated samples)**

111 Once in the laboratory, the 18 samples (15 MPS + 3 composite samples) were air-112 dried for two weeks (at 25°C) and sieved to <2 mm. These 18 samples were the "sources of 113 standards." Twenty-four aliquots of approximately 10 g were obtained from each sample. 114 These aliquots were heated in a muffle furnace at 24 different combinations of temperatures 115 (70°C, 100°C, 200°C, 300°C, 400°C, 500°C, 600°C and 700°C) and exposure times (10, 20 116 and 40 minutes). In this way, a set of 24 heated aliquots was obtained from each MPS sample 117 (also from each composite sample). A total of 432 heated aliquots (24 heating combinations 118 per sample  $\times$  6 samples [5 MPS + 1 composite]  $\times$  3 sites) were obtained, which were used as 119 standards for the models (see section 2.6). Each aliquot was introduced in the pre-heated 120 furnace as a 1-mm layer in order to guarantee homogeneous heating (Guerrero, 2010). During 121 the heating, to register the exact TR, the temperature of the aliquot was monitored and 122 recorded every 10 seconds using a thermocouple (Guerrero et al., 2007).

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#### 124 **2.4.** Obtaining the NIR spectra of the heated aliquots

125 Once cooled, the NIR spectra (12000–3800 cm<sup>-1</sup>) of the 432 heated aliquots were 126 obtained using a FT-NIR diffuse reflectance spectrophotometer (MPA Bruker, Germany). 127 Further details about the scanning can be found in Guerrero et al. (2007). The x-scale of the 128 spectra was transformed to nanometres (834–2630 nm) and resampled to 1 nm. All the spectra 129 were transformed to absorbance, and then were pre-processed with the first derivative 130 (Savitzky-Golay, 25 points) and vector normalization (Standard Normal Variate). The 131 absorbance spectra of the 432 heated aliquots can be found as supplementary content. The 132 OPUS spectroscopic software (OPUS version 6.5 software; BrukerOptik GmbH, Ettlingen, 133 Germany) was used for spectral pre-processing.

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#### 135 **2.5. Regression method**

We have constructed different types of models relating the TR with the NIR spectra (see section 2.6). In all cases, the aliquots heated in laboratory (see section 2.3) were used as the "standards" to calibrate the models. All models were calibrated with partial least squares (PLS) as the multivariate regression method, using the OPUS spectroscopic software (OPUS version 6.5 software; BrukerOptik GmbH, Ettlingen, Germany). The leave-one-out crossvalidation was used to decide the number of PLS vectors to be included in models on the basis of the root mean square error of cross-validation (RMSECV) in a scree plot.

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# 144 **2.6. Model types**

Ten different model types were constructed in this study. The models differed in the size of the calibration set, and in the variability included. In this study, the *size* is the number of standards (i.e., number of heated aliquots) used to calibrate the model, and the *variability* is the number of different samples used as source(s) of heated aliquots. The "*label*" of the model type provides information on the size and the variability (Table 2). For instance, the label 150 72s-v3 denotes a model calibrated with 72 heated aliquots (72 standards) which were 151 obtained from three different samples (three MPS have been used as sources of heated 152 aliquots). At each site, ten different model types were constructed as follows (Table 2):

153 1) Models 24s-v1: these models were calibrated with 24 heated aliquots (24 standards)
154 obtained from one MPS. In each site, five models were constructed (models #1 to #5; Table

155 2).

156 2) Models 48s-v2: these models were calibrated with 48 heated aliquots (48 standards)
157 obtained from two MPS. In each site, ten models were constructed (#6 to #15; Table 2).

158 3) Models 72s–v3: these models were calibrated with 72 heated aliquots (72 standards)

159 obtained from three MPS. In each site, ten models were constructed (#16 to #25; Table 2).

160 4) Models 96s-v4: models calibrated with 96 heated aliquots (96 standards) obtained from

161 four MPS. In each site, five models were constructed (#26 to #30; Table 2).

162 5) Models 24s-v2: models were calibrated with 24 standards selected from two MPS. In each

site, ten models were constructed (#31 to #40; Table 2).

164 6) Models 24s–v3: models calibrated with 24 standards selected from three MPS. In each site,

165 ten models were constructed (#41 to #50; Table 2).

166 7) Models 24s–v4: models calibrated with 24 standards selected from four MPS. In each site,

167 five models were constructed (#51 to #55; Table 2).

168 8) Models 48s-v4: models calibrated with 48 standards selected from four MPS. In each site,

169 five models were constructed (#56 to #60; Table 2).

170 9) Models 72s-v4: models calibrated with 72 standards selected from four MPS. In each site,

171 five models were constructed (#61 to #65; Table 2).

172 10) Models 24s-v1c: models calibrated with the 24 heated aliquots (24 standards) obtained

173 from the composite sample. In each site, one model was constructed (model #66; Table 2).

175 A total of 66 models were constructed for each site (Table 2). The description of the 176 MPS used for each of model can be found in Tables S1, S2 and S3 for Aitana, Maigmó and 177 Pinoso site, respectively. In some cases (those marked with an asterisk in Table 2), the 178 required model size is smaller than the total number of available spectra. In these cases, a 179 principal component analysis (PCA) was performed with the NIR spectra of the available 180 spectra. Then, the Kennard–Stone algorithm was used to select the desired number of spectra. 181 The selected spectra were those whose scores were evenly distributed across the space defined 182 by the first three principal components. For instance, a model 48s-v4 (as an example) is a 183 model calibrated with 48 heated aliquots (48 standards), which were obtained from four 184 different samples. Since four MPS were used as sources of heated aliquots, a total of 96 185 spectra were available. Thus, a PCA was conducted with the 96 spectra, but only 48 spectra 186 were used to calibrate the model. These 48 spectra were selected with the Kennard-Stone 187 algorithm. The minimum variability would have been reached when all the standards used to 188 calibrate the model had been derived from a unique MPS sample (such as in models 24s-v1). 189 The maximum variability would have been reached when each standard included in the 190 calibration set has been obtained from a different sample (i.e., only one heated aliquot per 191 MPS sample). Such maximum variability has not been evaluated in this study, as the 192 maximum number of different MPS used as sources of heated aliquots was four (Table 2).

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# 194 **2.7 Predictions and data analysis**

The previously described models were used to predict the TR. Several prediction performance parameters were computed to analyse the quality of the predictions: determination coefficient ( $R^2$ ), root mean square error of prediction (RMSEP), standard error of prediction (SEP), bias and ratio of performance to deviance (RPD), which is the standard deviation of the prediction set divided by the RMSEP (Stenberg et al., 2010; Bellon-Maurel et

al., 2010). The RMSEP was used to measure the accuracy, and the SEP was used to measure
the precision (Bellon-Maurel et al., 2010; Næs et al., 2002).

These prediction performance parameters were computed using predictions obtained in 24 heated aliquots from a MPS not used to calibrate the model. Therefore, the setup provided independent predictions, similar to a MPS-hold-out cross-validation or leave-one-MPS-out cross-validation. In this way (although with some limitations), the approach tried to mimic a realistic scenario, where the samples used to construct the models, and those to be predicted, are irremediably located on different plots, since the former would be located in the unburned area and the latter in the burned area.

A complete identification of the MPS used as prediction set in each case can be found in Tables S1, S2 and S3 (indicated by a cross in the right side of the tables). At each site, the 66 models were used 145 times, and therefore, 145 different values of  $R^2$ , RMSEP, SEP, bias and RPD were obtained for each site (see supplementary Tables S1, S2 and S3). In each site, those values of  $R^2$ , RMSEP, SEP, bias and RPD obtained with the same model type were considered as replicates and were therefore averaged by model type. Since the bias can be positive or negative, in order to get a meaningful average, we used its absolute value.

The prediction performance parameters, once averaged by model type, were arranged in four groups, to facilitate the analysis of the results (Table 2). To facilitate the description and meaning of groups and its comparisons, some results included in the Group *i* have also been included in Group *ii* (24s–v1) and Group *iii* (96s–v4), as follows:

220 – Group *i*: this group contains results from models that differed in both the variability
221 (number of MPS used as source of standards) and model size (number of standards included
222 in the calibration set; Table 2).

223 – Group *ii*: this group contains results from models that differed only in variability because
224 the model size was constant (Table 2).

225 – Group *iii*: this group contains results from models that differed only in size because the
226 variability was constant (Table 2).

227 – Group *iv*: this group contains results obtained from the model calibrated with the composite
228 sample (Table 2).

229

230 **3. Results** 

**3.1.** Changes in soils and NIR spectra

232 The colour of the soil samples was modified as a consequence of heating. Due to the 233 carbonization of the soil organic matter, a progressive darkening was observed when the 234 exposure temperature was increased (up 450°C). As a consequence of such darkening, the baseline of the NIR spectra (absorbance) was increased, especially at shorter wavelengths 235 236 close to the visible spectral range (see supplementary figures Figs. S1-S27). The dark colour 237 abruptly disappeared when the temperature was above  $\sim 450^{\circ}$ C, mainly due to the combustion 238 of the organic compounds. The transformation of some iron oxides into hematite was an 239 additional change in the NIR spectra (approximately 890-892 nm; Fig. 1a) and in soil colour, 240 causing sample reddening (Ketterings and Bigham, 2000; Torrent and Barrón, 2002; Terefe et 241 al., 2005; Ulery and Graham, 1993), which was especially evident at high temperatures 242 (>500°C).

While changes at naked eye are the basis of visual estimators of fire severity (Pérez and Moreno, 1998; Vega et al., 2013), the NIR spectra can provide further additional information about the changes in soil properties, since NIR spectroscopy has been used to quantify a large number of soil properties, which in turn are affected by temperature (Santín et al., 2016; Stenberg et al., 2010). Other important changes in the NIR spectra were those observed in features located approximately 1414 nm (Fig. 1b), 1920 nm (Fig. 1c) and 2210 nm (Fig. 1d), related with adsorbed and free water –OH (at 1414 nm and 1920 nm) and clay –

OH (at 1414 nm and 2210 nm). These spectral features tended to decrease with the increase in TR, mostly due to dehydration and dehydroxylation processes but, as other authors have observed (Guerrero et al., 2007; Lugassi et al., 2010, 2014), also due to the combustion of the organic matter. A detailed discussion of changes in soil properties can be found in Certini (2005), and a detailed discussion of changes in the NIR spectra can be found in Lugassi et al. (2014).

256

## **3.2.** Calibrations

258 All the above mentioned changes, and others, facilitated the calibration of models to 259 quantify TR. Therefore, we could surmise that several heat-sensitive compounds were 260 involved in the calibrations. Despite the large differences between calibration sets, the 198 261 models showed high R<sup>2</sup> values (ranging from 0.92 to 0.99), and low RMSECV (RMSE of 262 cross-validation) values, ranging from 21°C to 58°C (data not shown). These values of R<sup>2</sup> and 263 RMSECV obtained in the cross-validations were similar to those from previous studies 264 (Guerrero et al., 2007; Guerrero, 2010; Maia et al., 2012). Fig. 2 shows the cross-validation 265 results of four randomly selected models, as a representative illustration of the 198 models 266 calibrated for this study. These results indicate the great capacity of NIR spectroscopy to 267 measure some of the soil properties that change with temperature (i.e., heat-sensitive 268 compounds). Apparently, they all seem to be useful to predict TR.

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# **3.3. Predictions**

The 435 values of RMSEP obtained with the different 198 models ranged from 14.3°C to 99.7°C. Three out of these 435 cases are shown in Fig. 3, as representative predictions obtained with three different types of models. Fig. 4 shows the RMSEP obtained with the models included in Group *i*, which were models constructed with all the heated aliquots from 275 one (24s-v1), two (48s-v2), three (72s-v3) and four MPS (96s-v4). The grey bars in Fig. 4 276 represent the RMSEP values averaged by model type (separately for each study site), whereas 277 the dots and triangles are used to show the minimum and the maximum RMSEP observed for 278 each model type, respectively. For the Aitana site (Fig. 4a), the RMSEP of predictions 279 obtained with the 20 models labelled as 24s-v1 ranged from 31.2°C to 60.2°C, with an 280 average of 40.9°C. In Maigmó (Fig. 4b), these 20 RMSEP values were slightly lower than in 281 Aitana, and ranged from 18.6°C to 44.9°C, with an average of 31.2°C. In Pinoso, the 20 282 RMSEP values obtained with models 24s-v1 were slightly higher than the other study sites, 283 and ranged from 24.8°C to 99.7°C, with an average of 49.4°C (Fig. 4c). Regardless of the site 284 considered, the progressive increase of the calibration set variability (i.e., number of MPS) and size (i.e., number of standards) coincided with the gradual decline of RMSEP (Fig. 4). 285 286 Other prediction performance parameters (such as  $R^2$ , SEP, etc.) also indicated an 287 improvement of predictions with the increase in variability and size of the calibration sets. 288 Since similar patterns were observed for the three study sites (data not shown), the values of 289 the three sites were pooled together, and averaged by model type (Fig. 5). Once averaged by 290 model type, the RMSEP dropped progressively from 40.5°C in models 24s-v1 to 29.6°C in 291 models 96s-v4 (Fig. 5a). A similar gradual change was also observed for the other prediction 292 performance parameters, such as the R<sup>2</sup>, SEP, bias and RPD (Fig. 5). For instance, RPD was 293 6.2 in models 24s-v1, and it increased to RPD 8.1 in models 96s-v4 (Fig. 5b). The 294 improvement of the predictions might be attributed to the higher variability included in the 295 calibration set, which was four times higher in models 96s-v4 than in models 24s-v1, 296 although such improvement could also be attributed to the calibration set size, which was also 297 four times higher. To discern whether the calibration set variability affects accuracy, we 298 constructed another group of models (Group *ii*) where the number of MPS varied while the 299 model size was constant at 24 in all cases (Table 2). For the average of the three sites, the

300 RMSEP decreased from 40.5°C in 24s-v1 to 35.7°C in 24s-v4, confirming the positive effect 301 of the increase in the calibration set variability on accuracy (Fig. 5a). The decrease in bias 302 from 15.1°C in 24s-v1 to 8.2°C in 24s-v4 was the main contributor to the RMSEP reduction 303 observed in Group *ii*, because SEP only decreased by 2°C (Fig. 5a). The increase of the 304 calibration set size was the additional factor explaining the progressive decrease of RMSEP 305 observed in the models from Group *i* (Fig. 4 and Fig. 5). Nevertheless, the size effect was 306 clearly observed when the RMSEP was compared for the models included in Group *iii* (Table 307 2), where the size was the unique difference between them. For that group of models (Group 308 *iii*), the decrease in RMSEP from 35.7°C in 24s–v4 to 29.6°C in 96s–v4 is mostly attributable 309 to an improvement in precision (lower SEP) because the bias remained fairly stable (only 310 decreased less than 1°C), whereas the SEP decreased by approximately 6.5°C (Fig. 5a).

The less accurate predictions were obtained with models constructed with the heated aliquots from the composite sample (model type labelled 24s–v1c). On average across the three sites, the bias was 22°C, explaining a substantial portion of the RMSEP (47.6°C). These errors were clearly higher than those obtained with models of similar size, such as models 24s–v4. Furthermore, these errors were also higher than those obtained with models having a similar degree of variability (i.e., same number of MPS) such as the models 24s–v1.

The R<sup>2</sup> values shown in Fig. 5b should be interpreted with some caution since they are 317 318 somehow affected by the approach used to compute results. In this study, the prediction 319 performance parameters (such as R<sup>2</sup>) have been computed using the predictions obtained in 320 sets of 24 heated aliquots, which belong to the same MPS (see tables S1, S2 and S3). 321 Consequently, the prediction performance parameters have been obtained at MPS scale (and 322 were then averaged by model type). At MPS scale, biased predictions do not affect the R<sup>2</sup>. 323 This can be seen in Figs. 6a to 6d. Fig. 6a shows TR predictions obtained in 24 heated aliquots from one randomly selected MPS. The cases shown in Figs. 6b, 6c and 6d are the 324

325 same predictions shown in Fig. 6a but after the manipulation of the predicted values in order 326 to have differently biased predictions. In Fig. 6b, 100°C was added to each predicted value 327 shown in Fig. 6a; in Fig. 6c, 50°C was subtracted from each predicted value; in Fig. 6d, each 328 predicted value was multiplied by 0.5 (divided by two). In all cases (Figs. 6a to 6d), the R<sup>2</sup> is 329 the same ( $R^2=0.99$ ) regardless of the bias (due to slope or due to offset). If we compute the 330 mean value of these four cases, the R<sup>2</sup> is 0.99 despite the different bias in each case. However, 331 under realistic conditions, the evaluation of the burned area implies making predictions from 332 samples collected at several positions. If the model predictions have a different bias 333 depending on the sample composition (which may vary with position), then we must expect 334 patterns as those shown in Figs. 6e and 6f, where due to differences in bias, such 335 heterogeneity in the prediction set results in much lower R<sup>2</sup> values. Figs. 6e and 6f contain a 336 random selection of 24 cases from those shown in Figs. 6a to 6d, and simulate two prediction 337 sets composed by samples with different bias. In these cases (Figs. 6e and 6f), the different 338 values of bias (by slope or by offset) were negatively affecting the R<sup>2</sup>. In these examples, the 339 values were R<sup>2</sup>=0.72 and R<sup>2</sup>=0.63, resulting in a mean R<sup>2</sup>=0.675, which clearly contrasts with 340 the R<sup>2</sup>=0.99, obtained as a mean of four values of R<sup>2</sup> obtained at MPS scale. To have a direct 341 measure of such discrepancy, we also computed the R<sup>2</sup> after pooling predictions from the 342 different MPS, mimicking prediction sets composed by samples that can have a different bias. 343 For that, predictions from two illustrative model types were selected: 1) predictions obtained 344 with models 24s-v1c (Fig. 6g), which was the worst option in terms of bias (highest bias in 345 Fig. 5a); 2) predictions obtained with models 96s-v4 (Fig. 6h), which was the best option in 346 terms of bias (lowest bias in Fig. 5a). A small discrepancy between the approaches was 347 observed when the predictions were obtained with models 96s-v4 (low bias; Fig. 6h), with 348  $R^2=0.981$  when the  $R^2$  was computed once predictions were pooled (Fig. 6h) and  $R^2=0.985$ 349 when R<sup>2</sup> was computed as an average of values obtained at MPS scale (Fig. 5b). As expected,

350 a larger discrepancy was observed when predictions were obtained with models 24s-v1c (high bias; Fig. 6g), with  $R^2=0.954$  when predictions were pooled (Fig. 6g) while  $R^2=0.971$ 351 352 when it was computed as an average of the five cases (Fig. 5b). Regardless of the approach, 353 the R<sup>2</sup> values were high because the bias values were not very large—clearly lower than those 354 shown in Figs. 6b or 6d. Moreover, the wide range included in the prediction sets also 355 contributed to the high R<sup>2</sup> values (Davies and Fearn, 2006). Despite their absolute values, the 356  $R^2$  values shown in Fig. 5b were in concordance with other performance parameters and 357 therefore support the same conclusions about the importance of the calibration set size and 358 variability. It is worth highlighting that other prediction performance parameters, such as 359 RMSEP, in contrast to  $R^2$ , are minimally affected by the way results were computed because RMSEP is a parameter related to the residuals, given that it is linked to each predicted value. 360

361

## 362 **4. Discussion**

363 Soil contains heat-sensitive compounds, which can be can be measured with NIR 364 spectroscopy, allowing the development of models to quantify the TR. However, the 365 composition of soils, including its heat-sensitive compounds, varies with space, even at short 366 distances. Therefore, each sample might possess its particular composition of heat-sensitive 367 compounds and that can exert an important effect on prediction reliability. We have observed 368 an effect of the calibration set variability on accuracy, which highlights important issues about 369 how adequate calibration sets should be developed to manage the spatial variation effects. To 370 facilitate the explanation of mechanisms connecting the calibration set variability with the 371 robustness of predictions, we discuss the results with the help of a schematic representation of 372 spectra, models and predictions (Fig. 7), since a direct analysis of the *b*-coefficients of PLS-373 models is not straightforward. Such simplification enhances the illustration and understanding 374 of mechanisms involved. A schematic representation of the NIR spectra of three MPS and one

375 composite sample is shown in Fig. 7a: a black circle denotes the wavelength ( $\lambda$ ) where the 376 spectral feature of a heat-sensitive compound appears, and a grey circle denotes its absence in 377 the sample. Fig. 7b contains the schematic representation of seven models calibrated with 378 aliquots from samples shown in Fig. 7a. The black arrows denote those wavelengths included 379 in the models, which depend on the heat-sensitive compounds present in the calibration set. 380 Thus, a black arrow at  $\lambda_n$  denotes where the *b*-coefficient has a large contribution in 381 predicting TR. As consequence of heating, some compounds trend to disappear, decreasing 382 their spectral features as temperature increases, such those related with -OH loss by 383 dehydroxilation (and organic matter combustion, in general). However, other compounds can 384 be generated by heating, such as certain iron oxides (as hematite), and thus they appear as 385 new spectral features as the temperature increases. Consequently, the sign of *b*-coefficients 386 could be negative or positive, being denoted as down or up arrows, respectively.

387 The first case shown at the top of Fig. 7b (case i) represents an example of a model 388 whose calibration set had minimal variability (variability=1) because all the heated aliquots 389 included in the calibration set had been obtained from the same sample (MPS1). Therefore, 390 this is a sample-specific model. This model had been fitted only on the basis on changes 391 produced in the heat-sensitive compounds present in that sample (those located at  $\lambda_3$  and  $\lambda_4$ ; 392 see black dots in Fig. 7a). As consequence, this model will predict TR using two b-393 coefficients located at  $\lambda_3$  and  $\lambda_4$  (see black arrows at  $\lambda_3$  and  $\lambda_4$  in Fig. 7b, case *i*). The second 394 and third models shown in Fig. 7b (cases *ii* and *iii*) are also examples of models constructed 395 with heated aliquots obtained from a unique sample (MPS2 for case *ii*; MPS3 for case *iii*), 396 therefore, these are also sample-specific models (variability=1). Since each MPS sample 397 would have had a different composition (Fig. 7a), we would therefore expect that the models 398 were based on the different wavelengths from each sample, as shown in Fig. 7b for cases *i* to 399 *iii*. The models shown in cases *iv* and *v* of Figure 7b represent models constructed with heated

aliquots from two MPS samples (i.e., variability=2). While these two MPS samples might 400 401 have had a different composition, only the common changes (common heat-sensitive 402 compounds) were used to fit the model. Similarly, when three MPS were used as the source of 403 the aliquots (Fig. 7b, case vii), three different sets of heat-sensitive compounds might have 404 been be present in the calibration set, but the model was fitted only with the common changes 405 (i.e., common heat-sensitive compounds, as in that located at  $\lambda_3$ ). Thus, the increase in the 406 number of MPS used as sources of standards (i.e., the increase of the calibration set 407 variability) forces the calibration of models on the basis of the most common heat-sensitive 408 compounds. It is worth mentioning that a model based on the most common heat-sensitive 409 compounds could also have been obtained with only two samples (MPS1 and MPS2), as 410 illustrated in Fig. 7b iv. However, two samples might not be enough, as in the case shown in 411 Fig. 7b v, explaining why a gradual augmentation in calibration set variability progressively 412 increases the chance to fit a model based only on the most common heat-sensitive 413 compounds. Fig. 7b clearly illustrates that several different models can be obtained with the 414 samples from a given site. Apparently, all of them seem to be useful since they relate NIR and 415 TR; however, most of them would have restricted applicability.

416 Figs. 7c to 7f represent the predictions obtained in MPS1, MPS2 and MPS3 from four 417 different models. The model used in each case is described by its b-coefficients (black 418 arrows). When the model is used in each of the three samples, a pass or fail symbol is added 419 to denote unbiased or biased predictions, respectively. We can expect accurate predictions 420 when the sample used for prediction has the same heat-sensitive compounds as the predictors 421 in the model. For instance, Model 1 (shown in Fig. 7c) predicts TR using the changes in the 422 heat-sensitive compounds located at  $\lambda_1$  and  $\lambda_3$  (black arrows). Thus, accurate predictions are 423 expected when Model 1 is used for sample MPS2 (Fig. 7c). Conversely, biased predictions are expected when Model 1 (Fig. 7c) is used for samples MPS1 and MPS3 because these 424

425 samples lack the heat-sensitive compound located at  $\lambda_1$ . Since the contribution of  $\lambda_1$  to the prediction is missing, then we would expect negatively biased predictions in MPS1 and MPS3 426 427 (a 50% underestimation assuming a similar contribution from both heat-sensitive 428 compounds). However, Figs. 7d and 7e show how we can obtain accurate predictions from 429 samples that contain a different composition of heat-sensitive compounds. This is the case for 430 Model 2 when used for sample MPS2 (Fig. 7d). The presence of an additional heat-sensitive 431 compound in MPS2 (the one located at  $\lambda_3$ ) does not distort the predictions obtained with Model 2, because this model does not consider the changes in wavelength  $\lambda_3$  when predicting 432 433 TR. Whereas Model 2 can predict without bias only for one sample (MPS2; Fig. 7d), Model 3 434 can do so for three samples (Fig. 7e). The reason is that Model 3 (Fig. 7e) predicts TR with 435 the heat-sensitive compound located at  $\lambda_3$ , which is the most common. Therefore, a model 436 such as this can predict without bias in a wide number of different samples. The unique 437 requirement is the presence of that heat-sensitive compound, which most of the samples 438 would contain since it is a very common compound. Therefore, the better identification of the 439 most common heat-sensitive compounds might be the link which explains why the 440 progressive augmentation of the calibration set variability successively improved prediction 441 accuracy.

442 In agreement with this supposed mechanism, a high variability in the calibration set 443 would allow obtaining reliable (not biased) predictions in dissimilar samples as well (Fig. 7e), 444 overcoming the restriction of predictions based only on similar samples. Consequently, it 445 increases the spatial applicability of the models, since they would not only be restricted to 446 adjacent sites, where similar samples would be expected. A high variability does not 447 completely exclude the possibility of bias in predictions, although this would be expected 448 only for those samples where the most common heat-sensitive compound is absent. Especially 449 if the collection of unburned samples used as sources of aliquots is sufficiently representative

450 of the unburned area, this should be a minor proportion of the total samples. Additionally, in 451 turn, the unburned area would also have to be representative of the burned area. Under these 452 conditions, a calibration set constituted by a number of different samples could be considered 453 a site-specific model.

454 This theory also explains why the most biased predictions were obtained with the 24s-455 v1c models. For an arbitrary study site, all the heated aliquots used for the model 24s-v1c 456 were obtained from the composite sample; therefore, it can considered as a sample-specific 457 model. The composite sample, as a bulked mixture of several subsamples, should contain 458 most of the heat-sensitive compounds (Fig. 7a). As a consequence, all these compounds 459 would probably have contributed to the calibration of the model (Fig. 7b, case vi). Thus, this 460 model (such as Model 4, Fig. 7f) should produce biased predictions in those samples where at 461 least one of the heat-sensitive compounds is absent. The observed results fully support this 462 theory, since the most biased predictions were obtained with models 24s-v1c. Clearly, any 463 attempt to include site variability through the use of a composite sample is not recommended. 464 A composite sample would describe a representative fingerprint of heat on soil properties, but 465 this would not be useful to obtain robust models because they would be prone to produce 466 biased predictions in many samples. Therefore, the development of models using a composite 467 sample should be totally avoided. This result might explain why, when using predictions from 468 this type of model, some authors did not observe the expected relationships between changes 469 in soil properties and temperature (Maia et al., 2012; Mataix-Solera et al., 2013).

The number of heated aliquots (model size) also had an impact on the accuracy. Whereas the number of MPS decreased the bias, the number of heated aliquots increased the accuracy through the improvement of precision (i.e., the decrease of SEP). A high number of heated aliquots in the calibration set of a model should better describe the heat effect on the heat-sensitive compounds. Consequently, it enhances the quantification of the *b*-coefficient

475 values of the implied heat-sensitive compounds, improving the calibration of models, which is 476 a basic premise for obtaining accurate predictions. Clearly, the best option is the development 477 of models with a large number of heated aliquots from several samples. The importance of the 478 variability and the number of samples used for calibration has been already demonstrated by 479 many authors with other soil properties (Brown, 2007; Shepherd and Walsh, 2002).

480 Very accurate predictions have been obtained in this study, even when the worst 481 models were used, which were those calibrated with low variability (such as those 24s-v1 and 482 24s-v1c). This is probably due to the very small size of the area (2500 m<sup>2</sup>) where the five 483 MPS were sampled in each site. Thus, these samples were separated by short distances (less 484 than 50 m; see section 2.2), and consequently, they were quite similar to each other. Hence, it is reasonable to expect a substantially lower accuracy when this model type is used under 485 486 realistic conditions, where larger distances (and larger dissimilarity) may exist between 487 burned and unburned samples. Thus, we should also expect a larger difference in the accuracy 488 of predictions obtained with models calibrated with low and high variability.

489 This study provides valuable information about factors affecting the reliability of 490 models. Most of these results are very interesting because they have a direct impact on how to 491 design the calibration set, which even affects the collection of the unburned samples to be 492 used as sources of aliquots, and the built of a calibration set for site-specific models. 493 Nevertheless, more studies are needed to understand the limitations and capabilities of NIR 494 spectroscopy to predict TR and how to construct models in a more efficient way. For instance, 495 the transferability of models across sites and scales is an important issue because it may 496 facilitate a faster development of models, which may substantially decrease the time needed 497 to evaluate wildfire-affected sites. Dedicated experiments should be designed for that 498 purpose, especially because some of the results obtained in previous studies (Guerrero et al., 499 2007) have suggested its feasibility. These authors constructed several hundred different

500 models using soil samples from four sites. These models differed from each other in their 501 basic characteristics such as rank (number of PLS vectors), spectral range included and pre-502 processing (normalization, derivatives, etc.). Then, a few of these models (but not all of them) 503 were able to predict TR in samples from a new independent site (as left-out site). Similar 504 results were observed when repeated with each of the five sites as a prediction set. Thus, the 505 results undoubtedly demonstrated the presence of common heat-sensitive compounds in soils 506 from five different sites in Alicante province (southeast Spain). Anyway, it should be noted 507 that although independent sites were used in Guerrero et al. (2007), the identification of the 508 best model (correct design) was based on the results from the leave-out site and thus not an 509 independent selection. Therefore, these results should not be considered as the expected 510 accuracy for a true independent prediction, since hundreds of models (varying in its design) 511 can be fitted, but not all of them would produce accurate predictions. Nonetheless, the results 512 shown in Guerrero et al. (2007) were useful to illustrate the potential of NIR to predict TR. 513 For that reason, the results of the present study have an additional value because they provide 514 the first measure of the accuracy obtained by independent predictions.

515 The improvement of the ability to obtain more realistic standards (mimicking naturally 516 burned soils) is also a step needed in the way towards the use of NIR as a thermometer. For 517 instance, the convenience to built models including standards generated under different 518 oxygen limitation levels should be also evaluated in future studies. Oxygen limitation level 519 during heating could be an important variable since it controls the type of thermal changes in 520 soil organic matter during heating (from combustion to pyrolysis), and it may represent one of 521 the most important difference between naturally burned soils respect to the artificial 522 standards, which act as their surrogates to calibrate models.

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## 524 **5.** Conclusions

525 The models to predict TR through NIR are calibrated with standards generated by heating soil samples at known temperatures under controlled conditions in the laboratory. 526 527 When all the standards are obtained from a unique sample, the variability of the calibration set 528 is minimal. In this case, the model is calibrated with the heat-sensitive compounds present in 529 the sample. However, due to spatial variation, these compounds can differ in samples located 530 at distant positions. Therefore, each sample-specific model may be based on a particular, 531 unique and singular group of predictors, which are virtually different in each model. Thereby, 532 we can fit a very large number of different models, although the applicability might be 533 restricted to similar samples. On the other hand, when the calibration set includes standards 534 from different samples (i.e., high variability), then the model is fitted only with the common 535 heat-sensitive compounds. If the number of different samples is high enough to cover the site 536 variability, then the model can be considered site-specific. In contrast with a sample-specific 537 model, a site-specific model can predict without bias in a large number of different samples, being able to overcome the negative effects of the spatial variation. Despite their apparent 538 539 utility, models calibrated with low variability or those based on a composite sample should be 540 avoided since they might be not useful. In addition, the model size (number of standards) is 541 also an important factor to consider during the calibration of models. While the increase in 542 variability improved prediction accuracy (lower RMSEP) by a decrease in bias, the increase 543 of model size did so through the enhancement of precision (i.e., decrease of SEP).

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681 Figures captions

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Figure 1. Four spectral regions (a-d) displaying in detail the NIR spectra (absorbance after first
derivative) of a soil sample, before heating (black colour, thin line) and after exposition to 700°C for
20 minutes (red colour, thick line).

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Figure 2. Measured vs predicted TR values obtained during the cross-validation (leave-one-out) of four randomly selected models: a) model #5 (type 24s–v1) from Maigmó site; b) model #61 (type 72s– v4) from Aitana site; c) model #27 (type 96s–v4) from Pinoso site; d) model #57 (type 48s–v4) from Aitana site. See more details in Table 2, and supplementary content (Tables S1, S2 and S3). The black line denotes the 1:1, and the grey dashed line is the linear regression.

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**Figure 3**. Representative predictions obtained with three models: a) predictions obtained in A5 with model #1, which was constructed with 24 heated aliquots from A1 and is therefore labelled 24s–v1; b) predictions obtained in A5 with model #35, which was constructed with 24 heated aliquots from A2 and A3 and is therefore labelled 24s–v2; c) predictions obtained in A2 with the model #62, which was constructed with 72 heated aliquots from A1, A3, A4 and A5 and is therefore labelled 72–v4. The black line denotes the 1:1, and the grey dashed line is the linear regression.

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Figure 4. Values of the root mean square error of prediction (RMSEP) obtained with different model types in Aitana site (a), Maigmo site (b) and Pinoso site (c). The grey bars denote the mean value, whereas dots and triangles are used for minimum and maximum values respectively. Please note that the scale of the y-axis varies for each site.

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Figure 5. Prediction performance parameters obtained with the different model types (results of the three studies were averaged): a) RMSEP, SEP and bias; b) R<sup>2</sup> and RPD. The first number in the model type label (24, 48, 72 or 96) denotes the number of heated aliquots (=number of spectra) used to calibrate the model (i.e., model size). The number after –v denotes the variability, which is the number of micro-plot scale soil (MPS) sample(s) used as source(s) of aliquots.

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**Figure 6.** Measured *vs* predicted TR values: a) original data; b) 100°C was added to original predicted values; c) 50°C was subtracted to original predicted values; d) original predicted values divided by two ( $\times 0.5$ ); e) random selection of 24 cases shown in panels a to d; f) random selection of 24 cases shown in panels a to d; g) predictions obtained with models 24s–v1c (three sites pooled); h) predictions obtained with models 96s–v4 (three sites pooled).

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724 Figure 7. Panel 7a contains the schematic representation of four NIR spectra (stacked), where black 725 and grey circles denote presence and absence, respectively, of the heat-sensitive compounds at four 726 wavelengths. The black arrows in panel 7b represent wavelengths selected in seven stacked models 727 (relevant *b*-coefficients), depending on the composition of heat-sensitive compounds present in the 728 MPS used as source(s) of aliquots. The sign of b-coefficients could be negative or positive, being 729 denoted as down or up arrow, respectively. The panels 7c to 7f represents predictions obtained with 730 four models (Models 1 to 4) applied to MPS1, MPS2 and MPS3, and the fail and pass symbols denote 731 biased and unbiased predictions, respectively.



Figure 1.



Figure 2.



Figure 3



Figure 4



Figure 5



Figure 6



Figure 7

Site a	UTM	T <sup>c</sup>	P <sup>d</sup>	Sand, silt, clay	$\text{SOC} \pm \text{SD}^{\text{e}}$	рН	CaCO <sub>3</sub>
Site "	Coordinates <sup>b</sup>	(°C)	(mm)	(%)	(%)		(%)
А	30SYH3080	13.8	706	33, 32, 35	$7.31 \pm 3.11$	7.5	46.9
М	30SYH0664	18.2	302	57, 22, 21	$3.60 \pm 1.23$	7.9	57.6
Р	30SXH7749	15.8	277	31, 56, 13	$4.47 \pm 1.64$	8.0	7.0

 Table 1. Main characteristics of sites and soils characteristics (0-5cm depth)

<sup>a</sup> A: Aitana; M: Maigmó; P: Pinoso

<sup>b</sup> UTM coordinates – WGS84 (at 1 km<sup>2</sup> resolution).

<sup>c</sup> T: Mean annual temperature

<sup>d</sup> P Mean annual precipitation.

<sup>e</sup> SD: standard deviation of the five MPS

	Model type	Size <sup>a</sup>	Variability <sup>b</sup>	Number of	Supplementary	Times used
	label			models	tables <sup>c</sup>	to predict <sup>c</sup>
Group <i>i</i>	24s-v1	24	1	5	#1 – #5	20
	48s–v2	48	2	10	#6-#15	30
	72s–v3	72	3	10	#16 - #25	20
	96s-v4	96	4	5	#26 – #30	5
Group <i>ii</i>	24s-v1	24	1	5	#1 – #5	20
	24s-v2	24 *	2	10	#31 - #40	30
	24s-v3	24 *	3	10	#41 – #50	20
	24s-v4	24 *	4	5	#51 – #55	5
Group iii	24s-v4	24 *	4	5	#51 – #55	5
	48s-v4	48 *	4	5	#56 – #60	5
	72s-v4	72 *	4	5	#61 – #65	5
	96s-v4	96	4	5	#26 – #30	5
Group <i>iv</i>	24s-v1c	24	1	1	#66	5
Total				66 <sup>d</sup>		145 <sup>d</sup>

Table 2. Description of models types in each site.

<sup>a</sup> Number of heated aliquots (number of spectra; number of standards) used to calibrate the model

<sup>b</sup> Number of different MPS used as sources of heated aliquots

<sup>c</sup> A full description of each model is shown in supplementary tables S1, S2 and S3 for Aitana,

Maigmó and Pinoso sites respectively.

<sup>d</sup> Total number (repeated cases excluded)

\* The Kennard-Stone algorithm was used to select the desired number of spectra (model size).
# Appendices

# Appendix 1: Supplementary content (Tables S1, S2 and S3):

### General comments about how interpret the tables S1, S2 and S3:

# 1) Regarding the construction of models:

This is a brief comment about how to read tables to understand the construction of models. The left part of tables (in blue colour) identifies each model with a number (#) and also contains information about the MPS used as source of aliquots. The model type (size, variability) is also shown. Examples for three models types are described, as follows:

#### - Model #1:

The model #1 in Aitana site was constructed with 24 standards (24 spectra) (see table S1). All the heated aliquots used were obtained from one MPS, namely A1.

The model #1 in Maigmó site was constructed with 24 standards (24 spectra) (see table S2). All the heated aliquots used were obtained from one MPS, namely M1.

The model #1 in Pinoso site was constructed with 24 standards (24 spectra) (see table S3). All the heated aliquots used were obtained from one MPS, namely P1.

#### - Model #26:

The model #26 in Aitana site was constructed with 96 standards (96 spectra) (see table S1). The standards (heated aliquots) were obtained from four MPS, namely A2, A3, A4 and A5.

The model #26 in Maigmó site was constructed with 96 standards (96 spectra) (see table S2). The standards (heated aliquots) were obtained from four MPS, namely M2, M3, M4 and M5.

The model #26 in Pinoso site was constructed with 96 standards (96 spectra) (see table S3). The standards (heated aliquots) were obtained from four MPS, namely P2, P3, P4 and P5.

- Model #63:

The model #63 in Aitana site was constructed with 72 standards (72 spectra) (see table S1). These 72 standards (heated aliquots) were selected from a pool with 96 (those available from four MPS, namely A1, A2, A4 and A5). The Kennard-Stone algorithm was used to select the 72 spectra.

The model #63 in Maigmó site was constructed with 72 standards (72 spectra) (see table S2). These 72 standards (heated aliquots) were selected from a pool with 96 (those available from four MPS, namely M1, M2, M4 and M5). The Kennard-Stone algorithm was used to select the 72 spectra.

The model #63 in Pinoso site was constructed with 72 standards (72 spectra) (see table S3). These 72 standards (heated aliquots) were selected from a pool with 96 (those available from four MPS, namely P1, P2, P4 and P5). The Kennard-Stone algorithm was used to select the 72 spectra.

# 2) Regarding the use of models (predictions):

The right part of tables S1, S2 and S3 shows what prediction sets were used for each model (in red colour).

A total of 66 models were constructed in each site. However, in each site we have obtained 145 values of  $R^2$ , RMSEP, bias, SEP and RPD. The reason is that some models were used to predict more than one time.

For instance, the model #26 in Aitana site was used to predict in the A1 as prediction set (indicated with a cross in table S1), and therefore one value of R<sup>2</sup>, RMSEP, bias, SEP and RPD was computed using the 24 heated aliquots from A1. Thus, this model was used only one time.

However, other models, such as model #1, were used more than one time. The model #1 in Aitana site was used to predict in the 24 heated aliquots of A2. But the model #1 was also used to predict in A3, A4 and A5. So, the model #1 was used four times, and consequently the model #1 produced four values of  $R^2$ , RMSEP, bias, SEP and RPD (different values for each case: A2, A3, A4 and A5).

In overall, the 66 models produced 145 values of R<sup>2</sup>, RMSEP, bias, SEP and RPD (in each site).

Those values of  $R^2$ , RMSEP, bias, SEP and RPD obtained with the same model type were considered replicates, therefore, they were averaged.

					N	MPS used as prediction			et
#	MPS used as	Type (label)	Size	Variability	A 1	<u>۸</u> 2	٨3	A.4	۸.5
	source of aliquots				AI	A2	AS	A4	AJ
#1	A1	24s-v1	24	1		×	×	×	×
#2	A2	24s-v1	24	1	×		×	×	×
#3	A3	24s-v1	24	1	×	×		×	×
#4	A4	24s-v1	24	1	×	×	×		×
#5	A5	24s-v1	24	1	×	×	×	×	
#6	A1+A2	48s-v2	48	2			×	×	×
#/ #0	A1+A3	48s-v2	48	2		×		×	×
#8 #0	$A_1 + A_4$	48S-V2	48	2		×	×	~	×
#9 #10	$A1 \pm A3$ $A2 \pm A2$	468-V2	40	2	~	^	^	Ŷ	~
#10	A2+A3 A2+A4	405-V2 //85-V2	40	$\frac{2}{2}$	Ŷ		×	^	Ŷ
#11	$A_2 + A_5$	405-V2 188-V2	40	2	×		Ŷ	×	~
#12	A2+A3 A3+A4	48s-v2	48	2	×	×			x
#14	A3+A5	48s-v2	48	2	×	×		×	
#15	A4+A5	48s-v2	48	2	×	×	×		
#16	A1+A2+A3	72s-v3	72	3				×	X
#17	A1+A2+A4	72s-v3	72	3			×		×
#18	A1+A2+A5	72s-v3	72	3			×	×	
#19	A1+A3+A4	72s-v3	72	3		×			×
#20	A1+A3+A5	72s-v3	72	3		×		×	
#21	A1+A4+A5	72s-v3	72	3		×	×		
#22	A2+A3+A4	72s-v3	72	3	×				×
#23	A2+A3+A5	72s-v3	72	3	×			×	
#24	A2+A4+A5	72s-v3	72	3	×		×		
#25	A3+A4+A5	72s-v3	72	3	×	×			
#26	A2+A3+A4+A5	96s-v4	96	4	×				
#27	A1+A3+A4+A5	96s-v4	96	4		×			
#28	A1+A2+A4+A5	96s-v4	96	4			×		
#29	A1+A2+A3+A5	96s-v4	96	4				×	
#30	A1+A2+A3+A4	96s-v4	96	4					×
#31	A1+A2	24s-v2	24	2			×	×	×
#32	A1+A3	24S-V2	24	2		×	~	×	×
#33 #24	A1 + A4 A1 + A5	248-V2 24s-v2	24	2		×	×	~	×
#34 #35	$A1 \pm A3$ $A2 \pm A3$	248-V2 24s x2	24	2	~	^	^	$\hat{\mathbf{v}}$	~
#35	$A_2 + A_3$ $A_2 + A_4$	245-v2 24s-v2	24	2	×		×	~	×
#30	A2+A5	245-v2 24s-v2	24	2	×		×	×	
#38	A3+A4	24s-v2	24	2	×	×			×
#39	A3+A5	24s-v2	24	$\frac{1}{2}$	×	×		×	
#40	A4+A5	24s-v2	24	2	×	×	×		
#41	A1+A2+A3	24s-v3	24	3				×	×
#42	A1+A2+A4	24s-v3	24	3			×		×
#43	A1+A2+A5	24s-v3	24	3			×	×	
#44	A1+A3+A4	24s-v3	24	3		×			×
#45	A1+A3+A5	24s-v3	24	3		×		×	
#46	A1+A4+A5	24s-v3	24	3		×	×		
#47	A2+A3+A4	24s-v3	24	3	×				×
#48	A2+A3+A5	24s-v3	24	3	×			×	
#49	A2+A4+A5	24s-v3	24	3	×		×		
#50	A3+A4+A5	24s-v3	24	5	×	×			
#51 #52	A2+A3+A4+A5	248-V4	24	4	×				
#32 #52	A1+A3+A4+A3 A1+A2+A4+A5	24S-V4	24	4		×	~		
#33 #51	$A_1 + A_2 + A_4 + A_3$ $A_1 + A_2 + A_3 + A_5$	248-V4 245-V4	24	4 1			×	~	
#34 #55	$A_1 + A_2 + A_3 + A_3$ $A_1 + A_2 + A_3 + A_4$	248-V4 248-VA	24	-+ 				^	×
#55 #56	$\frac{A1+A2+A3+A4}{A2+A3+A4+A5}$	<u></u> <u></u> <u></u>	<u></u> <u></u> <u></u>	4	×				^
#57	A1+A3+A4+A5	48s-v4	48	4		×			
#58	A1+A2+A4+A5	48s-v4	48	. 4			×		
#59	A1+A2+A3+A5	48s-v4	48	. 4				×	
#60	A1+A2+A3+A4	48s-v4	48	4					×
#61	A2+A3+A4+A5	72s-v4	72	4	×				
#62	A1+A3+A4+A5	72s-v4	72	4		×			
#63	A1+A2+A4+A5	72s-v4	72	4			×		
#64	A1+A2+A3+A5	72s-v4	72	4				×	
#65	A1+A2+A3+A4	72s-v4	72	4					×
#66	Ac (composite)	24s-v1c	24	1	×	×	×	×	×

# Table S1. Models and predictions in Aitana site

					]	MPS used as predi		liction set		
#	MPS used as	Type (label)	Size	Variability	M1	M2	M3	M4	M5	
	source of aliquots	24 1								
#1	M1	24s-v1	24	1		×	×	×	×	
#2 #2	M2 M2	248-V1	24	1	×	~	×	×	×	
#3 #A	MA	248-V1	24	1	Ŷ	$\hat{\mathbf{v}}$	~	^	$\hat{\mathbf{v}}$	
#4	M5	245-v1 24s-v1	24	1	×	x	×	×	~	
#6	M1+M2	48s-v2	48	2			×	×	×	
#7	M1+M3	48s-v2	48	2		×		×	×	
#8	M1+M4	48s-v2	48	2		×	×		×	
#9	M1+M5	48s-v2	48	2		×	×	×		
#10	M2+M3	48s-v2	48	2	×			×	×	
#11	M2+M4	48s-v2	48	2	×		×		×	
#12	M2+M5	48s-v2	48	2	×		×	×		
#13	M3+M4	48s-v2	48	2	×	×			×	
#14	M3+M5	48s-v2	48	2	×	×		×		
#15	M4+M5	48S-V2	48	2	×	×	×			
#10 #17	M1+M2+M4	72s-V3	72	3			~	×	×	
#17	M1+M2+M5	725-v3	72	3			×	×	^	
#19	M1+M2+M3	72s-v3	72	3		×			×	
#20	M1+M3+M5	72s-v3	72	3		×		×		
#21	M1+M4+M5	72s-v3	72	3		×	×			
#22	M2+M3+M4	72s-v3	72	3	×				×	
#23	M2+M3+M5	72s-v3	72	3	×			×		
#24	M2+M4+M5	72s-v3	72	3	×		×			
#25	M3+M4+M5	72s-v3	72	3	×	×				
#26	M2+M3+M4+M5	96s-v4	96	4	×					
#27	M1+M3+M4+M5	96s-v4	96	4		×				
#28	M1+M2+M4+M5	96s-v4	96	4			×			
#29	M1+M2+M3+M3	96s-V4	96	4				×	~	
#30	M1+M2	24s v2	24	4			~	~	~	
#31	M1+M2	245-v2 24s-v2	24	2		×		×	×	
#33	M1+M3	243 V2 24s-v2	24	2		×	×		×	
#34	M1+M5	24s-v2	24	2		×	×	×		
#35	M2+M3	24s-v2	24	2	×			×	×	
#36	M2+M4	24s-v2	24	2	×		×		×	
#37	M2+M5	24s-v2	24	2	×		×	×		
#38	M3+M4	24s-v2	24	2	×	×			×	
#39	M3+M5	24s-v2	24	2	×	×		×		
#40	M4+M5	24s-v2	24	2	×	×	×			
#41	M1+M2+M3	24s-v3	24	3				×	×	
#42 #42	M1+M2+M4 M1+M2+M5	248-V3 24a x2	24	3			×	~	×	
#45 #44	M1+M2+M13 M1+M3+M4	248-V3 248-V3	24	3		×	<b>^</b>	<b>^</b>	×	
#45	M1+M3+M5	243-V3	24	3		x		×		
#46	M1+M4+M5	24s-v3	24	3		×	×			
#47	M2+M3+M4	24s-v3	24	3	×				×	
#48	M2+M3+M5	24s-v3	24	3	×			×		
#49	M2+M4+M5	24s-v3	24	3	×		×			
#50	M3+M4+M5	24s-v3	24	3	×	×				
#51	M2+M3+M4+M5	24s-v4	24	4	×					
#52	M1+M3+M4+M5	24s-v4	24	4		×				
#53	M1+M2+M4+M5	24s-v4	24	4			×			
#54 #55	1V11+1V12+1V13+1V13 M1+M2+M2+M4	248-V4	24	4				×	$\sim$	
#55	$\frac{1}{1} + \frac{1}{1} + \frac{1}$	<u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u></u> <u>_</u> <u></u>	<u>4</u> 	4	~				~	
#50 #57	M1+M3+M4+M5	405-V4 48c-V4	+0 48	4	^	×				
#58	M1+M2+M4+M5	48s-v4	48	4			×			
#59	M1+M2+M3+M5	48s-v4	48	4				×		
#60	M1+M2+M3+M4	48s-v4	48	4					×	
#61	M2+M3+M4+M5	72s-v4	72	4	×					
#62	M1+M3+M4+M5	72s-v4	72	4		×				
#63	M1+M2+M4+M5	72s-v4	72	4			×			
#64	M1+M2+M3+M5	72s-v4	72	4				×		
#65	M1+M2+M3+M4	72s-v4	72	4					×	
#66	Mc (composite)	24s-v1c	24	1	×	×	×	×	×	

# Table S2. Models and predictions in Maigmó site

					]	MPS used as prediction set			
#	MPS used as source of aliquots	Type (label)	Size	Variability	P1	P2	P3	P4	P5
#1	P1	24s-v1	24	1		×	×	×	×
#2	P2	24s-v1	24	1	×		×	×	×
#3 #4	P3	24s-v1	24	1	×	×		×	×
#4 #5	P4 P5	248-V1 248-V1	24	1	×	×	×	×	×
#6	P1+P2	48s-v2	48	2	~	~	×	×	
#7	P1+P3	48s-v2	48	2		×		×	×
#8	P1+P4	48s-v2	48	2		×	×		×
#9	P1+P5	48s-v2	48	2		×	×	×	
#10 #11	P2+P3	48s-v2	48	2	×		~	×	×
#11 #12	P2+P4 P2+P5	408-V2 48s-V2	40 48	$\frac{2}{2}$	×		×	×	^
#13	P3+P4	48s-v2	48	2	×	×			×
#14	P3+P5	48s-v2	48	2	×	×		×	
#15	P4+P5	48s-v2	48	2	×	×	×		
#16	P1+P2+P3	72s-v3	72	3				×	×
#17	P1+P2+P4	72s-v3	72	3			×		×
#18 #10	P1+P2+P3 P1+P3+P4	/28-V3 728-V3	72	3		×	×	×	×
#19	P1+P3+P5	72s-v3	72	3		×		×	~
#21	P1+P4+P5	72s-v3	72	3		×	×		
#22	P2+P3+P4	72s-v3	72	3	×				×
#23	P2+P3+P5	72s-v3	72	3	×			×	
#24	P2+P4+P5	72s-v3	72	3	×		×		
#25	$P_{3+P_{4+P_{5}}}$ $p_{2+p_{3+p_{4+p_{5}}}}$	/28-V3	06	3	×	×			
#20	$P_{1+P_{3+P_{4+P_{5}}}}$	96s-v4	90	4	^	×			
#28	P1+P2+P4+P5	96s-v4	96	4			×		
#29	P1+P2+P3+P5	96s-v4	96	4				×	
#30	P1+P2+P3+P4	96s-v4	96	4					×
#31	P1+P2	24s-v2	24	2			×	×	×
#32	$P_1+P_3$ $P_1+P_4$	24s-v2	24	2		×	~	×	×
#33 #34	P1+P5	248-v2 248-v2	24	2		×	×	×	^
#35	P2+P3	24s-v2	24	2	×			×	×
#36	P2+P4	24s-v2	24	2	×		×		×
#37	P2+P5	24s-v2	24	2	×		×	×	
#38	P3+P4	24s-v2	24	2	×	×			×
#39 #40	P3+P5 P4+P5	248-V2 248-V2	24	2	×	×	~	×	
#40	P1+P2+P3	248-v2 248-v3	24	3	~	~	~	×	
#42	P1+P2+P4	24s-v3	24	3			×		×
#43	P1+P2+P5	24s-v3	24	3			×	×	
#44	P1+P3+P4	24s-v3	24	3		×			×
#45	P1+P3+P5	24s-v3	24	3		×		×	
#46 #17	$P_{1+P_{4+P_{5}}}$	248-V3 24s-V2	24	3 3	~	×	×		~
#47 #48	P2+P3+P5	245-v3 248-v3	24 24	3	×			×	^
#49	P2+P4+P5	24s-v3	24	3	×		×		
#50	P3+P4+P5	24s-v3	24	3	×	×			
#51	P2+P3+P4+P5	24s-v4	24	4	×				
#52	P1+P3+P4+P5	24s-v4	24	4		×			
#53 #54	P1+P2+P4+P5 P1+P2+P3+P5	24s-v4	24	4			×	~	
#54	P1+P2+P3+P4	248-v4 248-v4	24	4				~	×
#56	P2+P3+P4+P5	48s-v4	48	4	×				
#57	P1+P3+P4+P5	48s-v4	48	4		×			
#58	P1+P2+P4+P5	48s-v4	48	4			×		
#59	P1+P2+P3+P5	48s-v4	48	4				×	
#60	P1+P2+P3+P4	48s-v4	48	4					X
#01 #62	P2+P3+P4+P5 P1+P3+P4+P5	/2S-V4 72s-v/	12 72	4	×	×			
#63	P1+P2+P4+P5	72s-v4	72	4			×		
#64	P1+P2+P3+P5	72s-v4	72	4				×	
#65	P1+P2+P3+P4	72s-v4	72	4					×
#66	Pc (composite)	24s-v1c	24	1	×	×	×	×	×

# Table S3. Models and predictions in Pinoso site





Figure S1. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Aitana (A3): without preprocessing. Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S2. Principal component analysis of the NIR spectra (without preprocessing) of the 144 heated aliquots from Aitana site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S3. Representative NIR spectra of a few heated aliquots from Aitana (A3) at different exposure times. Without preprocessing.



Figure S4. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Aitana (A3): Standard normal variate. Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S5. Principal component analysis of the NIR spectra (Standard normal variate) of the 144 heated aliquots from Aitana site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S6. Representative NIR spectra of a few heated aliquots from Aitana (A3) at different exposure times. Standard normal variate.



Figure S7. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Aitana (A3): First derivate (25 points, Savitzky-Golay). Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S8. Principal component analysis of the NIR spectra (First derivate, 25 points, Savitzky-Golay) of the 144 heated aliquots from Aitana site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S9. Representative NIR spectra of a few heated aliquots from Aitana (A3) at different exposure times. First derivate (25 points, Savitzky-Golay).



Figure S10. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Maigmo (M3): Without preprocessing. Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S11. Principal component analysis of the NIR spectra (without preprocessing) of the 144 heated aliquots from Maigmo site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S12. Representative NIR spectra of a few heated aliquots from Maigmo (M3) at different exposure times. Without preprocessing.



Figure S13. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Maigmo (M3): Standard normal variate. Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S14. Principal component analysis of the NIR spectra (Standard normal variate) of the 144 heated aliquots from Maigmo site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S15. Representative NIR spectra of a few heated aliquots from Maigmo (M3) at different exposure times. Standard normal variate.



Figure S16. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Maigmo (M3): First derivate (25 points, Savitzky-Golay). Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S17. Principal component analysis of the NIR spectra (First derivate, 25 points, Savitzky-Golay) of the 144 heated aliquots from Maigmo site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S18. Representative NIR spectra of a few heated aliquots from Maigmo (M3) at different exposure times. First derivate (25 points, Savitzky-Golay).



Figure S19. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Pinoso (P3): Without preprocessing. Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S20. Principal component analysis of the NIR spectra (without preprocessing) of the 144 heated aliquots from Pinoso site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S21. Representative NIR spectra of a few heated aliquots from Pinoso (P3) at different exposure times. Without preprocessing.



Figure S22. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Pinoso (P3): Standard normal variate. Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S23. Principal component analysis of the NIR spectra (Standard normal variate) of the 144 heated aliquots from Pinoso site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S24. Representative NIR spectra of a few heated aliquots from Pinoso (P3) at different exposure times. Standard normal variate.



Figure S25. Representative NIR spectra of a few heated aliquots (only those heated during 20 minutes) from Pinoso (P3): First derivate (25 points, Savitzky-Golay). Samples heated at 70°C and 100°C were omitted to enhance the clarity.



Figure S26. Principal component analysis of the NIR spectra (First derivate, 25 points, Savitzky-Golay) of the 144 heated aliquots from Pinoso site. Left: scores over first and second factors. Right: scores over the first and third factors.



Figure S27. Representative NIR spectra of a few heated aliquots from Pinoso (P3) at different exposure times. First derivate (25 points, Savitzky-Golay).