



# Home-based prehabilitation improves physical conditions measured by ergospirometry and 6MWT in colorectal cancer patients: a randomized controlled pilot study

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## Abstract

**Purpose/background** Prehabilitation aims to improve physical condition in the preoperative period and, therefore, decrease the loss of cardiopulmonary capacity postoperatively, with the aim of reducing complications and promoting an early recovery. This study aims to evaluate the impact of home-based prehabilitation on the physical condition of patients treated surgically for colorectal cancer.

**Methods** A prospective and randomized clinical study was conducted on 60 patients during two periods from October 2018 to February 2019 and from September 2019 to September 2020, in a single university hospital. Patients were randomized into two study groups (30 per group): prehabilitation vs. standard care. Changes in physical condition, measured at diagnosis, the day before surgery, and at 6–8 weeks after surgery using the cardiopulmonary exercise testing (CPET) and the 6-minute walk test (6MWT) were evaluated.

**Results** Prehabilitation reduced postoperative complications (17.4% vs. 33.3%,  $p = 0.22$ ) and hospital stay (5.74 vs. 6.67 days,  $p = 0.30$ ). 6MWT showed a significant improvement in the prehabilitation group (+78.9 m). Six weeks after surgery, prehabilitation showed a significant improvement in the 6MWT (+68.9 m vs. -27.2 m,  $p = 0.01$ ). Significant differences were also observed in the ergospirometry between the diagnosis and postoperative study (+0.79 METs vs. -0.84 METs,  $p = 0.001$ ). A strong correlation was observed between CPET and 6MWT (0.767 ( $p < 0.001$ )).

**Conclusion** Home prehabilitation achieved lower overall postoperative complications than standard care and reached significant improvements in 6MWT and CET. A strong correlation was observed between CET and 6MWT, which allows validation of 6MWT as a valid and reliable measure of functional exercise capacity in colorectal patients when other, more specific and expensive tests are not available.

**Trial registration** Registered in ClinicalTrials.gov in August 2018 with registration number <https://clinicaltrials.gov/study/NCT03618329?cond=Prehabilitation%20cancer&term=arroyo&distance=50&rank=1> (NCT03618329). Initial results published in Supportive Care in Cancer: Effect of home-based prehabilitation in an enhanced recovery after surgery program for patients undergoing colorectal cancer surgery during the COVID-19 pandemic. DOI: <https://doi.org/10.1007/s00520-021-06343-1>.

**Keywords** Colorectal cancer · Prehabilitation · Home-based · Ergospirometry/Cardiopulmonary exercise testing · 6-minute walk test

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## Abbreviations

CRC	colorectal cancer
CPET	cardiopulmonary exercise testing
PreH	prehabilitation group
SC	standard care group
ERAS	enhanced recovery after surgery
METs	metabolic equivalents of task
6MWT	6-minute walk test
HR	heart rate
VO <sub>2</sub>	oxygen consumption

VCO <sub>2</sub>	carbon dioxide production
VE	minute ventilation
RER	respiratory exchange rate
BMI	body mass index
ASA-PS	American Society of Anesthesiologists-Physical Status
C-D	Clavien-Dindo

## Introduction

Colorectal cancer (CRC) is the third most common cancer and the second leading cause of death worldwide [1]. Surgery is the standard treatment, and despite technological advances and patient management during and after the intervention, this is not exempt from risks, complications, and longer hospital stays, which increase with age and the fragility of patients [2–4]. In developed countries, the median age at diagnosis of colorectal cancer has increased to 70 years [5], so it is necessary to create individualized programs optimized for fragile patients with high surgical risk.

In addition to age, other factors, such as malnutrition, sarcopenia, or low cardiorespiratory fitness, are associated with worse perioperative outcomes and a delay in the onset of adjuvant chemotherapy, with globally worse oncological outcomes [6–11]. Adequate cardiorespiratory function and physical capacity of a patient with colorectal cancer should be considered in the perioperative period [12].

Prehabilitation aims to optimize the patient's health in terms of physical, nutritional, and cognitive status in the period between diagnosis and surgery, with the aim of reducing complications and promoting an early recovery of activity prior to the intervention [12], which is one of the main objectives to mitigate the loss of cardiorespiratory function in the early postoperative period [13–17]. For these patients, prehabilitation programs can be performed under supervision or without supervision on an outpatient basis, with an actual debate about in-hospital vs. home-based prehabilitation. Moreover, it is still unknown which tests are the most accurate, provide the best information on the patient's physical condition, help adapt the patient's prehabilitation, and anticipate perioperative complications [18]. The ideal test to predict the risk of postoperative complications should determine the aerobic capacity and functional reserve that would enable the patient to cope with the physical demands of surgery. Cardiopulmonary exercise testing (CPET) includes a wide spectrum of clinical applications. It can be used to evaluate patient physical fitness and has been considered as the gold standard for predicting surgical risk, but despite its usefulness, ergospirometry is not available in most hospitals [19]. Moreover, its value as a routine preoperative test for

predicting postoperative complications after CRC surgery remains unknown.

The main objective of the study is to evaluate the impact of outpatient prehabilitation on the physical condition of patients treated surgically for colorectal cancer, measured with the 6MWT and CPET, and to establish a relationship between both tests.

## Methods

This is a prospective, randomized, controlled, clinical study with two study groups, the prehabilitation group (PreH) and the standard care (SC) group.

Patients treated for colorectal cancer were consecutively selected from October 2018 to February 2019 and from September 2019 to September 2020. The COVID-19 pandemic forced the study to be conducted over 2 different time periods. Patients with metastatic disease or nutritional supplementation at diagnosis and/or neoadjuvant chemotherapy–radiotherapy were excluded. In addition, a minimum physical condition and/or autonomy allowing the patient to safely perform the program exercises was needed.

We designed a study with 60 patients, assuming a 20% loss.

All patients were evaluated in clinics where they were informed about the prehabilitation program, and the program was explained. Informed consent was obtained, and block randomization was performed using a previously obtained random sequence in two groups: the CS group and the PreH group. Patients in both groups underwent minimally invasive surgery performed by members of the colorectal surgery unit and followed Enhanced Recovery After Surgery (ERAS) standardized perioperative care protocols.

The main variables of the study were changes in physical condition, measured using metabolic equivalents of task (METs) and the 6-minute walk test (6MWT). These measurements were evaluated at diagnosis, the day before surgery (in the PreH group), and at 6–8 weeks after surgery in both groups. The CPET study was carried out in collaboration with the hospital cardiology department.

Symptom-limited CPET was performed on a symptom-limited treadmill (General Electric T2100®, Germany) with continuous breath-by-breath respiratory gas exchange analysis (Carefusion, Germany, software V 5.72). A ramped Bruce protocol or a modified ramped Bruce protocol was followed for treadmill testing. The CPET operating physician chose the protocol based on his clinical criteria. Electrocardiograms were continuously monitored, and dynamic changes were considered when ST segment depression > 1 mm was observed. Arrhythmias were reported. The duration of the exercise was expressed in minutes.

Measurements included heart rate (HR), blood pressure, arterial blood oxygen saturation, oxygen consumption (VO<sub>2</sub>), carbon dioxide production (VCO<sub>2</sub>), and minute ventilation (VE). The quality of exercise effort was assessed by respiratory exchange rate [RER = (VCO<sub>2</sub>/VO<sub>2</sub>)]. RER > 1.05 was considered maximal effort.

Expiratory flow measurements were taken using a mass flow sensor calibrated with a mixture of gasses of known concentration before each test.

Peak O<sub>2</sub> consumption was defined as the average VO<sub>2</sub> during the last minute of exercise and expressed as ml/kg of body weight per minute. The MET of physical capacity was obtained by dividing the peak VO<sub>2</sub> by 3.5.

The clinical variables analyzed included age, sex, body mass index (BMI), major comorbidities, American Society of Anesthesiologists-Physical Status (ASA-PS) score, type of surgery, tumor stage, and use of anxiolytic and/or antidepressant medications. Hospital stay time and postoperative complications in the first 30 days were collected and divided into minor (classified as Clavien–Dindo (C-D) I-II), which included low-risk events such as surgical wound infection, and postoperative and major ileus pneumonia (Clavien–Dindo III-IV), including life-threatening events and cases requiring radiological, surgical, or endoscopic intervention, such as anastomotic leakage [20]. We considered anastomotic leakage that required radiological drainage

(Grade B, C-D IIIa) to be minor and anastomotic leakage that required surgical reintervention (Grade C, C-D IIIb) to be major [21].

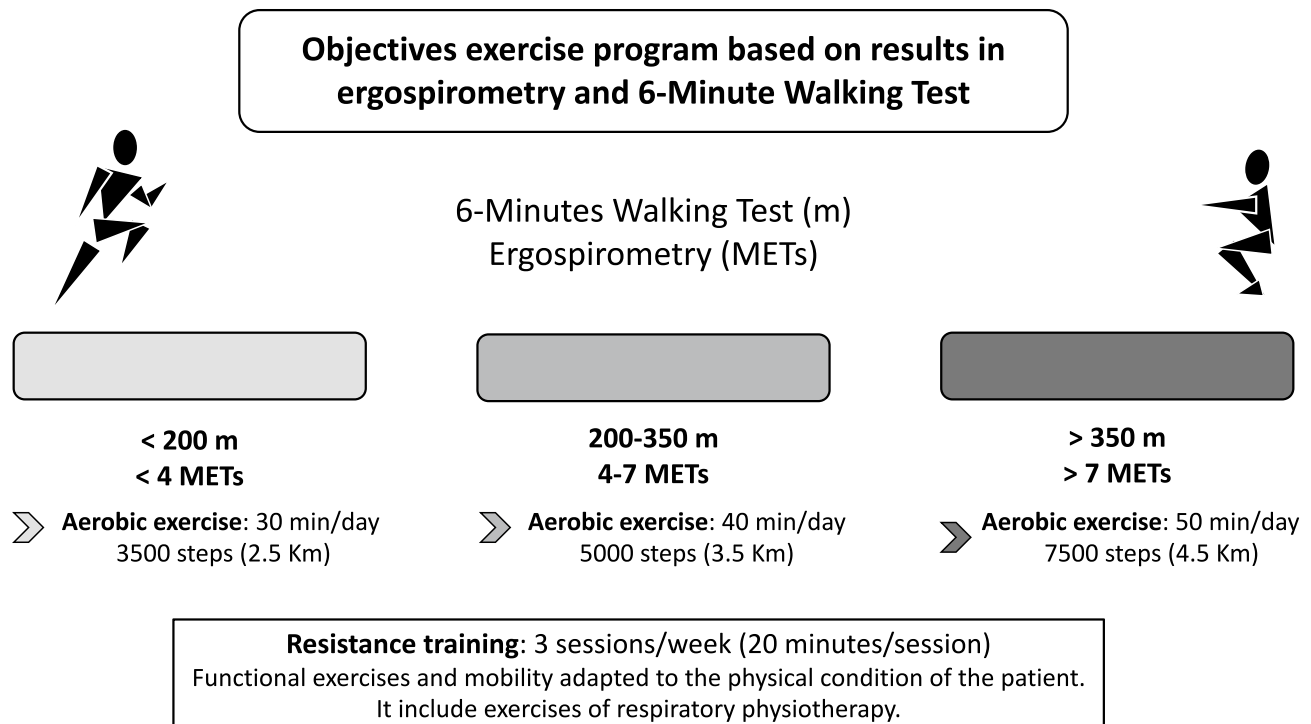
This study obtained approval from the ethics committee of our hospital with registration number NCT03618329.

### Prehabilitation program

The prehabilitation program was trimodal, with recommendations for physical activity, nutritional supplementation, and relaxation exercises to be performed by patients during the preoperative period and during 6–8 weeks after surgery.

The exercise program was based on daily aerobic exercises and 3 weekly sessions of muscle endurance exercises. It was individualized according to the physical condition of the patient at the time of diagnosis as measured by ergospirometry and 6MWT (Fig. 1). Muscle endurance exercises were simple and functional, and they could be performed without additional materials. In the postoperative period, aerobic exercise was resumed from the moment of discharge, and muscle endurance exercises were delayed until 3–4 weeks.

The patients received dietary recommendations, highlighting the limitation of caloric intake to avoid weight gain and the reduction of toxic habits, such as smoking and alcohol. Hyperproteic nutritional supplementation, high in vitamin D and calcium-β-hydroxy-β-methylbutyrate (CaHMB)



**Fig. 1** Algorithm of the objectives of the prehabilitation program according to the results obtained by ergospirometry (CPET) and 6-minute walking test

(Ensure Plus Advance, Abbott), was also administered to ensure a minimum supply of 1.2 to 1.5 g of protein/kg/day. To reduce perioperative anxiety, at the time of diagnosis, all patients received recommendations for relaxation and breathing exercises at least twice a week.

Patients in the SC group who agreed to participate in the study did not receive any education or recommendation on patterns of physical activity, nutrition, or relaxation according to standard clinical practice (ERAS protocols).

## Statistical analysis

Data analysis was performed using IBM SPSS Statistics software. Continuous variables are described as medians and standard deviations, and discrete variables are described as frequencies and percentages. The comparative analysis between the PreH group and SC group was performed using a *t* test or Mann–Whitney *U* test from independent samples for continuous variables and the chi-squared test or Fisher's exact test for discrete variables.

Pearson's correlation coefficient was calculated between the results of the 6MWT and ergospirometry. *P* values <0.05 were considered significant.

## Results

A total of 66 patients were evaluated to participate in the study. Six were excluded, 2 for their refusal to participate and 4 for not fulfilling the required characteristics (autonomy to carry out the home-based prehabilitation program). Finally, 60 patients were randomized, 30 in the PreH group and 30 in the SC group. There were 16 losses during follow-up, 11 as a result of the COVID-19 pandemic and 5 for heart disease detected by CPET that contraindicated surgery until the study of cardiorespiratory function was completed. Figure 2 shows the CONSORT diagram of the study.

Table 1 presents the demographic characteristics of the patients: age, sex, BMI, ASA classification for anesthesia, comorbidities (diabetes, hypertension and smoking), type of surgery performed, tumor stage, postoperative

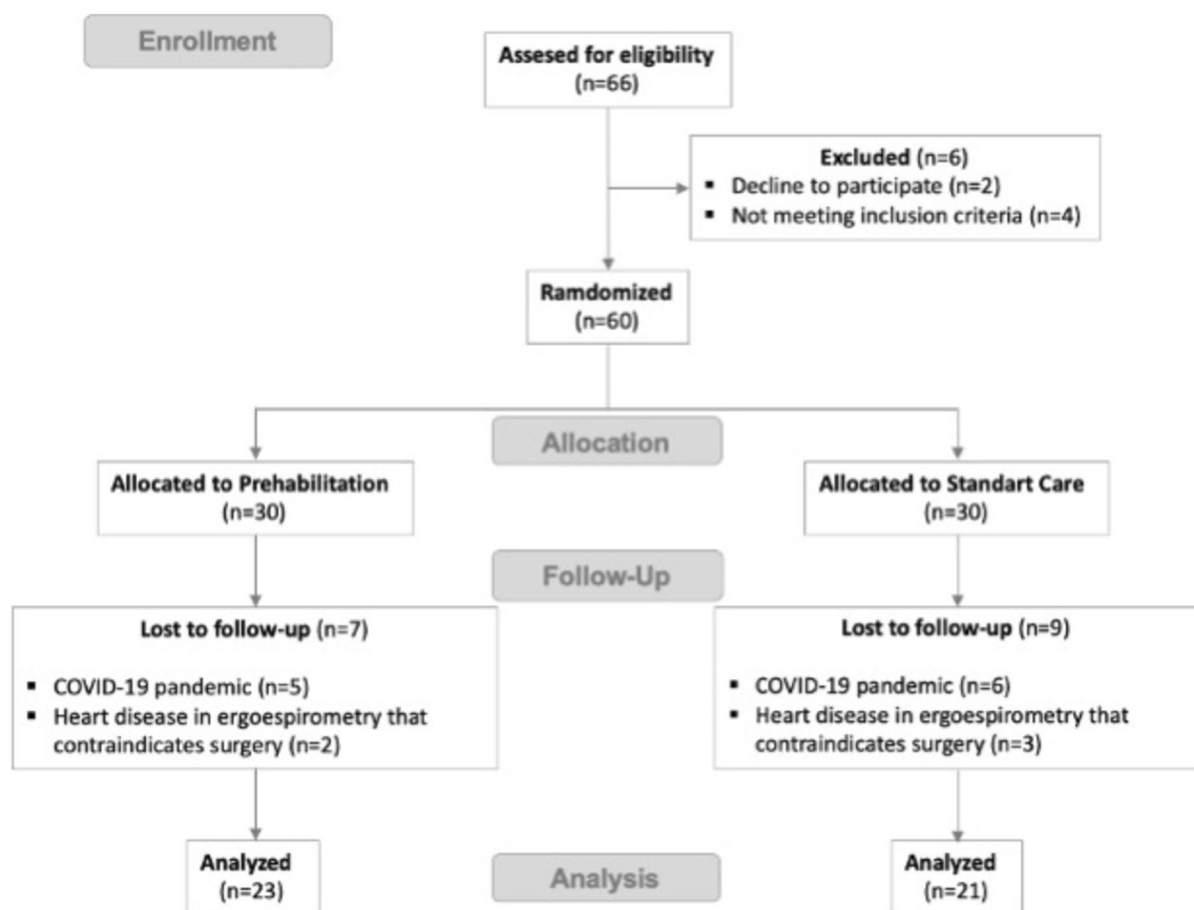


Fig. 2 CONSORT diagram for the trial

**Table 1** Demographic characteristics, type of surgery performed, tumor stage, postoperative complications, and length of hospital stay of the study patients

	Study cohort ( <i>n</i> = 44)	Prehabilitation ( <i>n</i> = 23)	Standard care ( <i>n</i> = 21)
Age median (years)	67,68 (SD = 7,99)	68,09 (SD = 7,67)	67,24 (SD = 8,51)
Sex ratio (F:M)	15:29	7:16	8:13
Body mass index median (kg/m <sup>2</sup> )	28,14 (SD = 8,26)	28,06 (SD = 10,21)	26,3 (SD = 5,02)
ASA			
1	5 (11,4%)	4 (17,4%)	1 (4,8%)
2	31 (70,4%)	16 (69,2%)	15 (71,4%)
3	8 (18,2%)	3 (13%)	5 (23,8%)
Comorbidity			
Diabetes	7 (15,9%)	4 (17,4%)	3 (14,3%)
Smoker	7 (15,9%)	4 (17,4%)	3 (14,3%)
Hypertension (HTA)	19 (43,2%)	12 (52,2%)	7 (33,3%)
Type of surgery			
Right hemicolectomy	16 (36,4%)	8 (34,8%)	8 (38,1%)
Left hemicolectomy	8 (18,2%)	4 (17,4%)	4 (19%)
Sigmoidectomy	14 (31,8%)	9 (39,1%)	5 (23,8%)
Low anterior resection	6 (13,6%)	2 (8,7%)	4 (19%)
TNM staging system			
T0-T1-Tis	15 (34%)	11 (47,8%)	4 (19%)
T2-T3	23 (52,3%)	11 (47,8%)	12 (57,1%)
T4	6 (13,6%)	1 (4,3%)	5 (23,8%)
N0	32 (72,7%)	19 (82,6%)	13 (61,9%)
N1	12 (27,3%)	4 (17,4%)	8 (38,1%)
Complications			
Global complications			
Anastomosis leak	4 (9,1%)	2 (8,7%)	2 (9,5%)
Surgical site infections	3 (6,8%)	0 (0%)	3 (14,3%)
Ileus	4 (9,1%)	1 (4,3%)	3 (14,3%)
Length of stay (days)		5,74 (SD = 3,54)	6,67 (SD = 3,49)

complications, and length of hospital stay. No statistically significant differences were found between the two study groups.

In the comparative analysis between the two groups, overall postoperative complications were lower in the PreH group than in the SC group (17.4% vs. 33.3%;  $p = 0.22$ ). The overall percentage of anastomotic leakage was 9.1% (2 patients in each group). Two patients in the PreH group had major leakage requiring surgical treatment, and two patients in the SC group had minor leaks; both cases were treated with radiological drainage. Postoperative ileus was more frequent in the SC group (14.3% vs. 4.3%;  $p = 0.22$ ). Infectious complications were only present in the SC group, with 3 surgical wound infections and 1 case of pneumonia.

The length of hospital stay was lower in the PreH group (5.74 vs. 6.67 days;  $p = 0.30$ ).

The relationship between anastomotic leakage and comorbidities was analyzed, and 5.4% of leaks were observed in nonsmoking patients compared to 28.6% in smokers ( $p = 0.05$ ).

Previously to the prehabilitation intervention, the mean distance covered in the 6MWT was 344.9 m (SD = 138.6) in the SC group and 300.6 m (SD = 85.6) in the PreH group, with no statistically significant difference ( $p = 0.216$ ). After the preoperative period of prehabilitation, an average improvement of 78.9 m was observed in the PreH group. Six weeks (45 days) after the surgery, the SC group had a mean distance traveled of 313.9 meters (SD=154.2), and the PreH group had a mean distance of 372.1 m (SD = 115.7). These results are shown in Fig. 3.

Comparing the distance traveled at the time of diagnosis and weeks after the surgery, we observed a slight worsening in the SC group, with a decrease of 27.2 m (SD = 59.5), and a significant improvement in the PreH group, with an increase of 68.9 m (SD = 129.8); these results were statistically significant ( $p = 0.01$ ).

Cardiorespiratory function measured by ergospirometry at diagnosis showed a mean of 10.5 METs (SD = 3.43) in the SC group and 10.36 METs (SD = 2.99) in the PreH group ( $p = 0.85$ ). The improvement observed after the prehabilitation

period in the PreH group was 0.99 METs. At 6 weeks after surgery, the SC group had a mean of 9.65 METs (SD =

3.53), while the PreH group had a mean of 11.15 METs (SD = 3.27). These results are shown in Fig. 4.

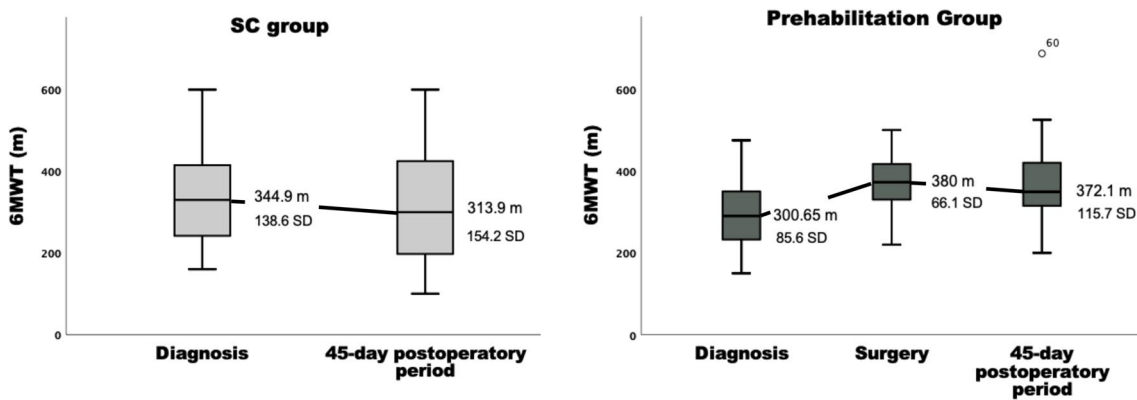


Fig. 3 Box diagram showing the mean and SD of the 6MWT at diagnosis, at surgery and on postoperative day 45 in both groups

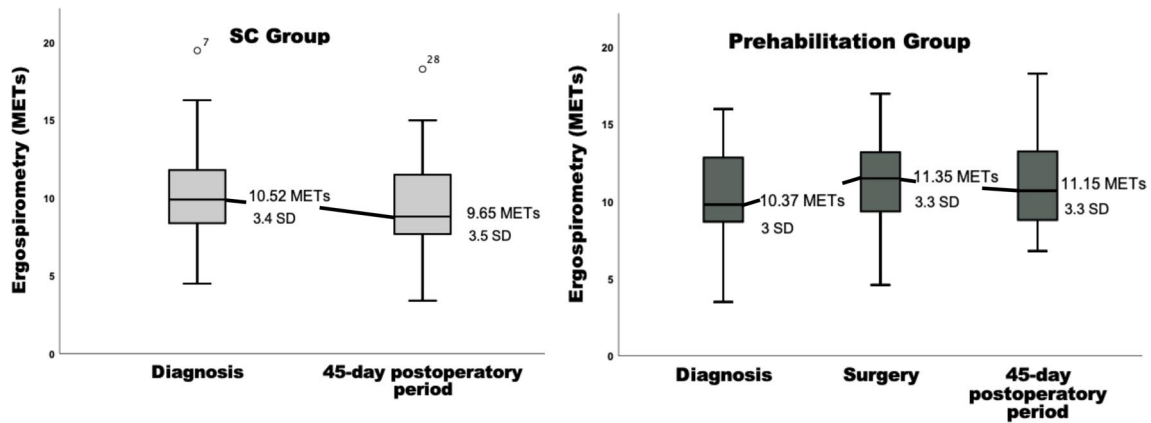
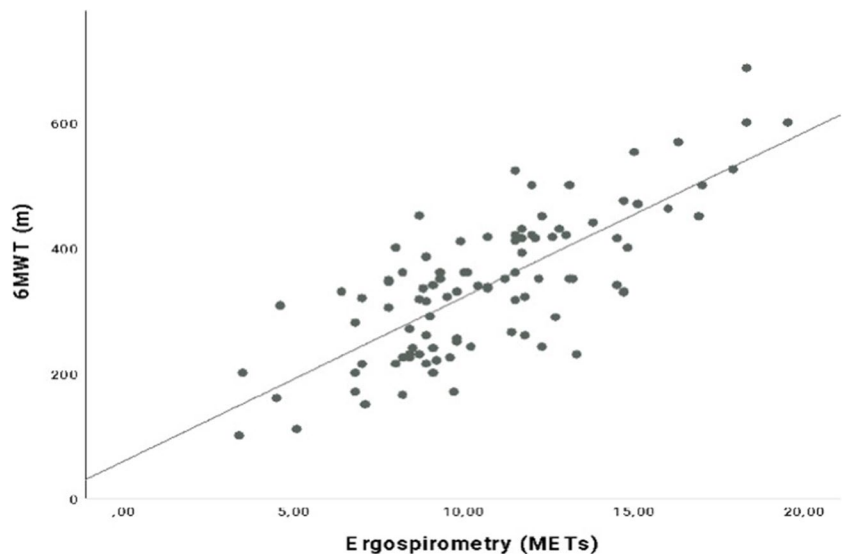


Fig. 4 Box diagram showing the mean and SD in ergospirometry at diagnosis and day 45 postoperatively

Fig. 5 Scatterplot representing the relationship between ergospirometry and the 6-minute walking test results



The difference observed between the diagnosis and the postoperative study was  $-0.84$  METs in the SC group ( $SD = 0.99$ ) and  $0.79$  METs in the PreH group ( $SD = 1.9$ ), with statistically significant differences ( $p = 0.001$ ).

Pearson's correlation between the CPET measurements in METs and the 6MWT in meters presented a strong correlation of  $0.767$  ( $p < 0.001$ ). Figure 5 shows the relationship between the two measurements.

## Discussion

The present study indicates the importance of preoperative prehabilitation, reporting a decrease in perioperative complications and hospital stay, as well as a good correlation between 6MWT and CPET, allowing an assessment of the patient's physical condition.

In our study, we did not observe differences in terms of major complications such as anastomosis leakage; however, patients treated with prehabilitation presented with minor complications such as surgical wound infection, ileus, and pneumonia. The hospital stay was one day shorter in the group treated by the prehabilitation protocol. The integration of physical exercise in prehabilitation programs helps to reduce pulmonary complications and overall comorbidity in patients undergoing major abdominal surgery [22, 23]. In addition, physical exercise programs associated with prosthetic supplementation in colorectal cancer surgery, compared to protein supplementation alone, reduce the length of hospital stay by 2 days [24, 25]. Therefore, prehabilitation improves the perioperative physical condition and potentially reduces the risk of complications in the postoperative period [26].

As our results show, with an improvement of 79 m in the 6MWT and 1 MET measured by ergospirometry, prehabilitation improves physical condition in the preoperative period and decreases the loss of functional capacity in the early postoperative period ( $-27$  m and  $-0.84$  METs in the SC group vs.  $+69$  m and  $+0.8$  METs in the PreH group) in relation to baseline physical capacity at diagnosis, and the results in the 6MWT were comparable to those reported by Li et al. [16]. This improvement in the physical condition associated with prehabilitation protocols allows the patient to recover their normal daily activity quickly and be prepared for possible future treatments. Therefore, it could have important clinical and prognostic significance since it would decrease the time until the start of possible adjuvant chemotherapy, also improving the associated oncological results [6, 27].

To the best of our knowledge, this is the first project to study functional capacity in patients treated for colorectal cancer by analyzing spirometric values and 6MWT, correlating the two results, in an unsupervised home-based prehabilitation program.

The 6MWT is a common and low-cost test. One of the main strengths of this study is that it discovered a good correlation with ergospirometry ( $r = 0.767$  ( $p < 0.001$ )), which will allow us to perform an initial screening of the physical capacity of the patient and define objectives depending on the initial condition of the patient. The scatterplot shows that some patients with poor results in the 6MWT have good physical condition according to CPET, which indicates that this test may underestimate the results in those patients who do not reach a maximum effort; this "target group" of patients would benefit from the spirometric risk study to assess their physical condition.

Studies have been published on exercise programs with hospital supervision [14, 17, 18, 28], home supervision [15], and without supervision [29–31], showing better adherence in supervised patients but without differences in terms of improvement in physical condition, hospital stay, or complications. Our prehabilitation program is performed on an outpatient basis from a triptych delivered to the patient during the diagnostic consultation, a follow-up sheet given on the preoperative day and exercises performed during the preoperative and postoperative periods, achieving good results in meeting objectives.

The exercise programs proposed within the prehabilitation protocols differ both in the types of exercises performed: aerobic [15–17], anaerobic, both [14, 18, 29, 30], and/or respiratory exercises and in the duration of the exercises, and the same protocol was used for all patients regardless of basal physical ability. Programs with individual objectives, such as ours, facilitate adherence compared to less defined and more complex programs.

As limitations of our work, we must highlight the small sample size resulting from being a pilot study. However, the randomization process allowed us to highlight the results.

## Conclusions

Home-based prehabilitation significantly attenuates the loss of functional capacity in patients with colorectal cancer. The 6MWT is a basic but efficient test to study the physical capacity of patients and assess perioperative changes, with a good correlation with ergospirometry, which may be reserved only for selected patients.

**Author contribution** All authors contributed to the manuscript. Daniel Triguero-Cánovas, Francisco López-Rodríguez-Arias, Luis Sánchez-Guillén, María José Alcaide-Quirós, Antonio Arroyo, Jose Manuel Ramírez wrote the main manuscript text. Manuel Gómez-Martínez Franc Peris-Castelló, Pedro Morillas-Blasco, and participated in the clinical research.

**Data Availability** The data that support the findings of this study are available on request from the corresponding author, L. S-G. The data are not publicly available due to their containing information that could compromise the privacy of research participants.

#### Declarations

This study obtained approval from the ethics committee of Elche University Hospital and registered in ClinicalTrials.gov in August 2018 with registration number NCT03618329. Initial results published in *Supportive Care in Cancer: Effect of home-based prehabilitation in an enhanced recovery after surgery program for patients undergoing colorectal cancer surgery during the COVID-19 pandemic*. DOI: <https://doi.org/10.1007/s00520-021-06343-1>.

The authors have no conflicts of interest to declare. All co-authors have seen and agreed with the contents of the manuscript, and there is no financial interest to report. We certify that the submission is original work and is not under review at any other publication.

**Conflict of interest** No conflicts of interest of financial nature.

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